

For TEA Use Only Adjustments and/or annotations made on this page have been confirmed with _____	TEXAS EDUCATION AGENCY Standard Application System (SAS) School Years 2010-2013	Houston ISD Organization Name	101-912 County-District#
		Dowling Middle School Campus Name	075 Campus Number
by telephone/e-mail/FAX on _____ by _____ of TEA.		74-6001255 9-Digit Vendor ID#	IV ESC Region
		NOGA ID# (Assigned by TEA)	Amendment #

Texas Title I Priority Schools Grant

Schedule #1 - General Information

Use of the Standard Application System: This system provides a series of standard schedules to be used as formats by applicants who apply for funds administered by the Texas Education Agency. If additional clarification is needed, please call 512-463-9269.

Program Authority: P.L. 107-110, Section 1003(g), as amended by ARRA; CFDA # 84.377A & 84.388A

Project Beginning Date: 08/01/2010

Project Ending Date: 06/30/2013

Select the appropriate eligibility tier for the campus included in this application:

Tier I ☐ Tier II ☐ Tier III ☐

Part 1: Index to the Application

An X in the "New Application" column indicates those schedules that **must** be submitted as part of the application. The applicant must place an X in this column for each additional schedule submitted to complete the application. For amendments, the applicant must place an X in the Amendment Application column next to the schedule(s) being submitted as part of the amendment.

Sch No.	Schedule Name	Application	
		New	Amend
1	General Information	X	X
3	Purpose of Amendment	NA	☐
4	Program Requirements	X	☐
4A	Program Abstract	X	☐
4B	Program Description	X	☐
4C	Performance Assessment and Evaluation	X	☐
4D	Equitable Access and Participation	X	☐
5	Program Budget Summary	X	X
5B	Payroll Costs 6100	☒	☐
5C	Professional and Contracted Services 6200	☒	☐
5D	Supplies and Materials 6300	☒	☐
5E	Other Operating Costs 6400	☒	☐
5G	Capital Outlay 6600/15XX (Exclusive of 6619 and 6629)	☒	☐
6A	General Provisions	X	NA
6B	Debarment and Suspension Certification	X	NA
6C	Lobbying Certification	X	NA
6D	Disclosure of Lobbying Activities	☒	☐
6E	NCLB Provisions and Assurances	X	NA
6F	Program-Specific Provisions and Assurances	X	NA

Certification and Incorporation

I hereby certify that the information contained in this application is, to the best of my knowledge, correct and that the organization named above has authorized me as its representative to obligate this organization in a legally binding contractual agreement. I further certify that any ensuing program and activity will be conducted in accordance with all applicable Federal and State laws and regulations, application guidelines and instructions, the Provisions and Assurances, Debarment and Suspension, lobbying requirements, Special Provisions and Assurances, and the schedules attached as applicable. It is understood by the applicant that this application constitutes an offer and, if accepted by the Agency or renegotiated to acceptance, will form a binding agreement.

Authorized Official

Typed First Name	Initial	Last Name	Title
Terry	B.	Grier	Superintendent of Schools
Phone	Fax	Email	Signature/Date Signed (blue ink preferred)
713-556-6300	713-556-6323	HISDSuperintendent@houstonisd.org	 5/25/10

Only the legally responsible party may sign this application.

6 complete copies of the application, at least 3 with original signature(s), must be received by 5:00 p.m. Thursday, June 3, 2010:

Texas Education Agency
 William B. Travis Bldg.
 Document Control Center, Room 6-108
 1701 North Congress Avenue
 Austin, Texas 78701-1494

TEA DOCUMENT CONTROL NO.

01-10-112-343

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Texas Title I Priority Schools Grant Schedule #1—General Information		
Part 2: List of Required Fiscal-Related Attachments and Assurances		
For competitive applications, the application will not be reviewed and scored if any of the required attachments do not accompany the application when it is submitted. Applicants will not be permitted to submit required attachments, or any revisions to those required attachments, after the closing date of the grant. Attach all required attachments to the back of the application as an appendix.		
1 ☐	Proof of Nonprofit Status Required for all open-enrollment charter schools sponsored by a nonprofit organization: Check box to indicate that proof of nonprofit status is attached. (See Part 1: General and Fiscal Guidelines and Part 3: Schedule Instructions for acceptable proof.)	
2 ☒	Assurance of Financial Stability Required for all independent school districts, open-enrollment charter schools, and education service centers: Check box to indicate assurance that audit requirements have been met. All public school districts, open-enrollment charter schools, and education service centers must be in compliance with submitting the required annual audit for the immediate prior fiscal year to TEA in the time and manner requested by TEA, and the audit must be determined by the TEA Division of Financial Audits to be in compliance with the applicable audit standards. TEA reserves the right to ensure that all applicants are deemed by TEA to be financially stable at the initial time of preliminary selection for funding to receive a grant award. The TEA Division of Financial Audits will determine financial stability based on the required annual audit for the immediate prior fiscal year.	
3 ☒	Assurance of Submittal of Reviewer Information Form Required for all applicants: Check box to indicate assurance that reviewer information form will be submitted. All applicants are required to complete the Reviewer Information Form and to submit it online by Thursday, May 6, 2010 . (See Part 2: Program Guidelines, "Reviewer Information Form," for instructions on how to access and submit the form.)	

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Texas Title I Priority Schools Grant Schedule #1—General Information					
Part 3: Applicant Information					
Local Educational Agency (LEA) Information					
LEA Name					
Houston Independent School District					
Mailing Address Line - 1	Mailing Address Line - 2	City	State	Zip Code	
4400 W. 18 th Street		Houston	TX	77092	
U.S. Congressional District Number	Primary DUNS Number	Central Contractor Registration (CCR) CAGE Code	NCES Identification Number		
18	061292124	37QE6	4823640		
Campus Name			County-District Campus Number		
Dowling Middle School			101-912-075		
Mailing Address Line - 1	Mailing Address Line - 2	City	State	Zip Code	
14000 Stancliff		Houston	Texas	77045	
Applicant Contacts					
Primary Contact					
First Name	Initial	Last Name	Title		
Julia		Dimmitt	Executive Principal		
Telephone	Fax	Email			
713-434-5600	713-434-5608	jdimmitt@houstonisd.org			
Mailing Address Line - 1	Mailing Address Line - 2	City	State	Zip Code	
14000 Stancliff		Houston	TX	77045	
Secondary Contact					
First Name	Initial	Last Name	Title		
Annetra		Piper	Manager, Grant Dept.		
Telephone	Fax	E-mail			
713-556-6785	713-556-6730	apiper@houstonisd.org			
Mailing Address Line - 1	Mailing Address Line - 2	City	State	Zip Code	
4400 West 18 th Street		Houston	TX	77092	

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Texas Title I Priority Schools Grant Schedule #3—Purpose of Amendment						
Part 1: Schedules Amended (Check all schedules that are being amended.) When submitting a revision or an amendment, please indicate by checking the appropriate box what schedules are being revised/amended. Submit supporting budget schedules that are being revised or amended.						
☐ Schedule #1—General Information ☐ Schedule #3—Purpose of Amendment ☐ Schedule #4—Program Requirements ☐ Schedule #4A—Program Abstract ☐ Schedule #4B—Program Description ☐ Schedule #4C—Performance Assessment and Evaluation ☐ Schedule #4D—Equitable Access and Participation ☐ Schedule #5—Program Budget Summary ☐ Schedule #5B—Payroll Costs 6100 ☐ Schedule #5C—Professional and Contracted Services 6200 ☐ Schedule #5D—Supplies and Materials 6300 ☐ Schedule #5E—Other Direct Costs 6400 ☐ Schedule #5G—Total Outcomes 65XX (Exclusive of 6100, 6200, 6300, 6400, and 65XX)						
NOTE: The last day to submit an amendment to TEA is _____ days prior to the start date of the grant.						
Part 2: Revised Budget Complete this page to show budget changes.						
Line No.	Sch. No.	Cost Category	Original Budget	Amount Deleted	Amount Added	New Budget
01	5B	Payroll Costs	\$ 00	\$	\$	\$
02	5C	Professional and Contracted Services	\$ 00	\$	\$	\$
03	5D	Supplies and Materials	\$ 00	\$	\$	\$
04	5E	Other Direct Costs	\$ 00	\$	\$	\$
05	5G	Total Outcomes 6600/15XX	\$	\$	\$	\$
06		Total Direct Costs	\$	\$	\$	\$
07		Indirect Cost (%)	\$	\$	\$	\$
08		Total Costs	\$	\$	\$	\$

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Texas Title I Priority Schools Grant Schedule #3—Purpose of Amendment		
Part 3: Reason for Amendment Request. For all grants, regardless of dollar amount, check the appropriate box to indicate reason for amendment request.		
☐	1. Addition of a class/object code not previously budgeted on Schedule #5—Budget Summary	
☐	2. Increase or decrease the amount approved in any class/object code on Schedule #5—Budget Summary (i.e., 6100-6600) by more than 25% of the current amount approved in the class/object code	
☐	3. Addition of a new line item on any of the supporting budget schedules (i.e., Schedules #5B-5G)	
☐	4. Increase or decrease in the number of positions budgeted on Schedule #5B—Personnel	
☐	5. Addition of a new item of computer hardware/equipment (not capitalized) on Schedule #5C—Supplies and Materials	
☐	6. Addition of a new item or increase in quantity of capital outlay item(s) ≥ \$5,000 on Schedule #5G—Capital Outlay for articles costing \$5,000 or more.	
☐	7. Addition of a new item of capital outlay items approved on Schedule #5G—Capital Outlay for articles costing less than \$5,000.	
☐	8. Reduction of funds allotted for travel	
☐	9. Additional funds needed	
☐	10. Change in scope of objectives, regardless of whether there is an approved budget revision requiring prior approval	
☐	11. Other (Specify): _____	
Part 4: Amendment		

The last day to submit an amendment to TEA is 90 days prior to the ending date of the grant.

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Texas Title I Priority Schools Grant		
Schedule #4—Program Summary and Application Requirements		
Part 1: Grant Program Information;		
Summary of Program: Purpose and Goals		
School Improvement Grants, authorized under section 1003(g) of Title I of the Elementary and Secondary Education Act of 1965 (Title I or ESEA) as amended by ARRA, are grants, through the Texas Education Agency, to local educational agencies (LEAs) for use in Title I schools identified for improvement, corrective action, or restructuring and other eligible campuses that demonstrate the greatest need for the funds and the strongest commitment to use the funds to provide adequate resources in order to raise substantially the achievement of their students so as to enable the schools to make adequate yearly progress and exit improvement status. Under the final requirements, as amended through the interim final requirements published in the Federal Register in January 2010 school improvement funds are to be focused on each State's "Tier I" and "Tier II" schools. Tier I schools are a State's persistently lowest-achieving Title I schools in improvement, corrective action, or restructuring and certain Title I eligible elementary schools that are as low achieving as the State's other Tier I schools. Tier II schools are a State's persistently-lowest achieving secondary schools that are eligible for, but do not receive, Title I, Part A funds and certain additional Title I eligible secondary schools that are as low achieving as the State's other Tier II schools or that have had a graduation rate below 60 percent over a number of years. An LEA may also use school improvement funds in Title I schools in improvement, corrective action, or restructuring that are not identified as persistently lowest-achieving schools and certain additional Title I eligible schools ("Tier III schools"). In the Tier I and Tier II schools an LEA chooses to serve, the LEA must implement one of four school intervention models: turnaround model, restart model, school closure, or transformation model.		
Allowable Activities		
Tier I and Tier II Grantees ■ Grantees must expend grant funds for the required activities delineated for the selected intervention model as defined in the final federal regulations. ■ Grantees may expend grant funds for the permissible activities delineated for the selected intervention model as defined in the final federal regulations. ■ Grantees may expend grant funds for other school improvement activities deemed needed to address identified needs not addressed by the intervention model selected. Tier III Grantees ■ Tier III grantees may select one of the four intervention models in the federal regulations or the state's Tier III Transformation Model. ■ If selecting one of the four models in the federal regulations, grantees must expend grant funds for the required activities delineated for the selected intervention model as defined in the final federal regulations. Grantees may expend grant funds for the permissible activities delineated for the selected intervention model as defined in the final federal regulations. ■ Grantees may expend grant funds for other school improvement activities deemed needed to address identified needs not addressed by the intervention model selected. In addition, a Tier I, Tier II, Tier III grantee campus that has implemented, in whole or in part, either the Turnaround, Restart, or Transformation models within the last two years may continue or complete the implementation of the intervention model with the TTIPS grant funds. For example, if a grantee campus has replaced its principal within the last two years, the LEA/campus will not be required to hire another new principal. An LEA/campus that receives TTIPS SIG funds in accordance with this flexibility must fully implement the selected model as required by the final federal requirements. In other words, if the school had been implementing the model only in part, it must use the funds it receives to expand its implementation so that it fully complies with the federal regulatory requirements. The detailed required and permissible activities for each intervention model, as defined in the final federal regulations are listed on Schedule #4—Program Requirements and are incorporated by reference herein.		

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Texas Title I Priority Schools Grant Schedule #4—Program Requirements			
Part 2: Statutory Requirements			
#	Requirement Description – Federal Statutory Requirements	Primary Component Where Described	
1	The LEA must demonstrate that the LEA has analyzed the needs of each school and selected an intervention for each school and selected an intervention for each school.	Comprehensive Needs Assessment	
2	The LEA must demonstrate that it has the capacity to use these grant funds to provide adequate resources and related support to each Tier campus identified in the LEA's application in order to implement, fully and effectively, the required activities of the school intervention model it has selected.	Project Management—Capacity Project Management—LEA Support	
3	If the LEA is not applying to serve each Tier I school (through a separate application for each campus), the LEA must explain why it lacks capacity to serve each Tier I school.	Project Management—Lack of Capacity	
4	The LEA must describe actions it has taken, or will take, to design and implement interventions consistent with the final federal requirements, including the services the campus will receive or the activities the campus will implement.	Program Abstract Intervention Model	
5	The LEA must describe actions it has taken, or will take, to recruit, screen, and select external providers, if applicable, to ensure their quality.	Project Management—External Providers	
6	The LEA must describe actions it has taken, or will take, to align other resources with the interventions.	Project Management—Resource Management Program Budget Summary	
7	The LEA must describe actions it has taken, or will take, to modify its practices or policies, if necessary, to enable its schools to implement the interventions fully and effectively.	Project Management—Management of Grant Activities	
8	The LEA must describe actions it has taken, or will take, to sustain the reforms after the funding period ends.	Project Management—Program Continuation and Sustainability	
9	The LEA must include a timeline delineating the steps it will take to implement the selected intervention in each campus.	Project Management—Activity Timeline	
10	The LEA must describe the annual goals for student achievement on the State's assessments in both reading/language arts and mathematics that it has established in order to monitor its Tier I and Tier II schools that receive school improvement funds.	Performance Assessment and Evaluation—Annual Performance Goals	
11	As appropriate, the LEA must consult with relevant stakeholders regarding the LEA's application and implementation of school improvement models on its campus	Comprehensive Needs Assessment—Groups of Participants Project Management—Partnerships/Involvement of Others	
12	Applicant provides assurance that financial assistance provided under the grant program will supplement, and not supplant, the amount of state and local funds allocated to the campus.	Program Assurances	
13	Applicant provides assurance that it will use its School Improvement Grant to implement fully and effectively an intervention in each Tier I and Tier II school that the LEA commits to serve consistent with the final federal requirements.	Program Assurances	

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Part 2: Statutory Requirements			
#	Requirement Description – Federal Statutory Requirements	Primary Component Where Described	
14	Applicant provides assurance that it will establish annual goals for student achievement on the State's assessments in both reading/language arts and mathematics and measure progress on the leading indicators in section III of the final federal requirements in order to monitor each Tier I and Tier II school that it serves with school improvement funds, and establish goals (approved by the TEA) to hold accountable its Tier III schools that receive grant funds.	Program Assurances	
15	Applicant provides assurance that it will, if it implements a restart model in a Tier I or Tier II school, include in its contract or agreement terms and provisions to hold the charter operator, charter management organization (CMO), or education management organization (EMO) accountable for complying with the final federal requirements.	Program Assurances	
16	Applicant provides assurance that it will report to the TEA the school-level data required under section III of the final federal requirements.	Program Assurances	
17	If the LEA/campus selects to implement the <u>turnaround model</u> , the campus must implement the following federal requirements. a. Replace the principal and grant the principal sufficient operational flexibility (including in staffing, calendars/time, and budgeting) to implement fully a comprehensive approach in order to substantially improve student achievement outcomes and increase high school graduation rates; b. Use locally adopted competencies to measure the effectiveness of staff who can work within the turnaround environment to meet the needs of students; 1. Screen all existing staff and rehire no more than 50 percent; and 2. Select new staff. c. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in the turnaround school; d. Provide staff ongoing, high-quality, job-embedded professional development that is aligned with the school's comprehensive instructional program and designed with school staff to ensure that they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; e. Adopt a new governance structure, which may include, but is not limited to, requiring the school to report to a new "turnaround office" in the LEA or SEA, hire a "turnaround leader" who reports directly to the Superintendent or Chief Academic Officer, or enter into a multi-year contract with the LEA or SEA to obtain added flexibility in exchange for greater accountability; f. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; g. Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students; h. Establish schedules and implement strategies that provide increased learning time (as defined in this notice); and i. Provide appropriate social-emotional and community-oriented services and supports for students.	Program Assurances	

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Texas Title I Priority Schools Grant Schedule #4—Program Requirements			
Part 2: Statutory Requirements			
#	Requirement Description – Federal Statutory Requirements	Primary Component Where Described	
18	If the LEA/campus selects to implement the turnaround model , the campus may implement the following federal requirements. a. Any of the required and permissible activities under the transformation model; or b. A new school model (e.g., themed, dual language academy).	Program Assurances	
19	If the LEA/campus selects to implement the school closure model , the campus must implement the following requirement. a. Enroll the students who attended that school in other schools in the LEA that are higher achieving within reasonable proximity to the closed school and may include, but are not limited to, charter schools or new schools for which achievement data are not yet available. b. A grant for school closure is a one-year grant without the possibility of continued funding.	Program Assurances	
20	If the LEA/campus selects to implement the restart model , the campus must implement the following federal requirements. a. Convert or close and reopen the school under a charter school operator, a charter management organization (CMO), or an education management organization (EMO) that has been selected through a rigorous review process. A CMO is a non-profit organization that operates or manages charter schools by centralizing or sharing certain functions and resources among schools. An EMO is a for-profit or non-profit organization that provides "whole-school operation" services to an LEA. b. Enroll, within the grades it serves, any former student who wishes to attend the school.	Program Assurances	
21	If the LEA/campus selects to implement the transformation model , the campus must implement the following federal requirements. 1. Develop and increase teacher and school leader effectiveness. (A) Replace the principal who led the school prior to commencement of the transformation model; (B) Use rigorous, transparent, and equitable evaluation systems for teachers and principals that-- (1) Take into account data on student growth as a significant factor as well as other factors such as multiple observation-based assessments of performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and (2) Are designed and developed with teacher and principal involvement; (C) Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so; (D) Provide staff ongoing, high-quality, job-embedded professional development (e.g., regarding subject-specific pedagogy, instruction that reflects a deeper understanding of the community served by the school, or differentiated instruction) that is aligned with the school's comprehensive instructional program and	Program Assurances	

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Part 2: Statutory Requirements			
#	Requirement Description – Federal Statutory Requirements	Primary Component Where Described	
21	designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; and (E) Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation school. 2. Comprehensive instructional reform strategies. (A) Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; and (B) Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students. 3. Increasing learning time and creating community-oriented schools. (A) Establish schedules and strategies that provide increased learning time; and (B) Provide ongoing mechanisms for family and community engagement. 4. Providing operational flexibility and sustained support. (A) Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and (B) Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school turnaround organization or an EMO).	Program Assurances	
22	An LEA may also implement other strategies to develop teachers' and school leaders' effectiveness, such as-- (A) Provide additional compensation to attract and retain staff with the skills necessary to meet the needs of the students in a transformation school; (B) Institute a system for measuring changes in instructional practices resulting from professional development; or (C) Ensure that the school is not required to accept a teacher without the mutual consent of the teacher and principal, regardless of the teacher's seniority.	Program Assurances	

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Part 2: Statutory Requirements			
#	Requirement Description – Federal Statutory Requirements	Primary Component Where Described	
23	An LEA may also implement comprehensive instructional reform strategies, such as-- (A) Conduct periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective; (B) Implement a school wide "response-to-intervention" model; (C) Provide additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content; (D) Use and integrate technology-based supports and interventions as part of the instructional program; and (E) In secondary schools-- (1) Increase rigor by offering opportunities for students to enroll in advanced coursework (such as Advanced Placement; International Baccalaureate; or science, technology, engineering, and mathematics courses, especially those that incorporate rigorous and relevant project-, inquiry-, or design-based contextual learning opportunities), early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework; (2) Improve student transition from middle to high school through summer transition programs or freshman academies; (3) Increase graduation rates through, for example, credit-recovery programs, re-engagement strategies, smaller learning communities, competency-based instruction and performance-based assessments, and acceleration of basic reading and mathematics skills; or (4) Establish early-warning systems to identify students who may be at risk of failing to achieve to high standards or graduate.	Program Assurances	
24	An LEA may also implement other strategies that extend learning time and create community-oriented schools, such as-- (A) Partner with parents and parent organizations, faith- and community-based organizations, health clinics, other State or local agencies, and others to create safe school environments that meet students' social, emotional, and health needs; (B) Extend or restructure the school day so as to add time for such strategies as advisory periods that build relationships between students, faculty, and other school staff; (C) Implement approaches to improve school climate and discipline, such as implementing a system of positive behavioral supports or taking steps to eliminate bullying and student harassment; or (D) Expand the school program to offer full-day kindergarten or pre-kindergarten.	Program Assurances	

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25	The LEA may also implement other strategies for providing operational flexibility and intensive support, such as-- (A) Allow the school to be run under a new governance arrangement, such as a turnaround division within the LEA or SEA; or (B) Implement a per-pupil school-based budget formula that is weighted based on student needs.	Program Assurances	
26	An LEA that has nine or more Tier I and Tier II schools is prohibited from implementing the transformation model in more than 50% of those schools.	Program Assurances	
By submitting the application and signing Schedule #1, the applicant is certifying that all requirements are met in these program narrative component descriptions and activities.			
Part 3: Statutory Assurances			
#	Statutory Assurance Description		
1	Applicant provides assurance that financial assistance provided under the grant program will supplement, and not supplant, the amount of state and local funds allocated to the campus.		
2	Applicant provides assurance that it will use its TTIPS Grant to implement fully and effectively an intervention in each Tier I and Tier II school that the LEA commits to serve consistent with the final federal requirements.		
3	Applicant provides assurance that it will establish annual goals for student achievement on the State's assessments in both reading/language arts and mathematics and measure progress on the leading indicators in section III of the final federal requirements in order to monitor each Tier I and Tier II school that it serves with school improvement funds, and establish goals (approved by the TEA) to hold accountable its Tier III schools that receive school improvement funds.		
4	Applicant provides assurance that it will, if it implements a restart model in a Tier I or Tier II school, include in its contract or agreement terms and provisions to hold the charter operator, charter management organization, or education management organization accountable for complying with the final federal requirements.		
5	Applicant provides assurance that it will report to the TEA the school-level data required under section III of the final federal requirements.		
6	Applicant provides assurance that it will participate in any evaluation of the grant conducted by the U.S. Department of Education, including its contractors, or the Texas Education Agency, including its contractors.		
Part 4: TEA Program Assurances			
#	TEA Assurance Description		
1	Before full implementation funds are made available, the grantee must demonstrate that all early implementation activities have been completed. Successful completion of the early implementation will be measured in the Quarterly Implementation Reports (QIR), the Model Selection and Description Report, and through participation in TEA technical assistance. a. The Model Selection and Description Report must be submitted to TEA no later than February 1, 2011 . This report may be submitted at any time prior to the deadline. Grantees must demonstrate successful completion of the following activities: i. Comprehensive Needs Assessment process. ii. Establish the grant budget by the required categories. iii. Identification and Selection of the intervention model. iv. Development of activities to implement selected intervention model. v. Development of Timeline of Grant Activities.		

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Part 4: TEA Program Assurances			
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2	The applicant provides assurance that the LEA will designate an individual or office with primary responsibilities for supporting the LEA/campus' school improvement efforts. This individual/office will have primary responsibility and authority for ensuring the effective implementation of the grant option approved by TEA; serve as the district liaison to TEA and those providing technical assistance and/or contracted service to the LEA/campus as part of the approved grant.		
3	The applicant provides assurance that a team from the grantee LEA/campus will attend and participate in grant orientation meetings, technical assistance meetings, other periodic meetings of grantees, the Texas School Improvement Conference, and sharing of best practices.		
4	For the LEAs selecting the TEA Designed Models the applicant provide assurances that it will participate in and make use of technical assistance and coaching support provided by TEA, SIRC, and/or its subcontractors.		
5	The applicant will establish or provide evidence of a system of formative assessment aligned to the Texas Essential Knowledge and Skills which provides robust, targeted data to evaluate the effectiveness of the LEA's curriculum and its alignment with instruction occurring on the campus; assesses progress on student groups' academic achievement at the campus level; and guide instructional decisions by teachers for individual students.		
6	The applicant will participate in a formative assessment of the LEA's capacity and commitment to carry out the grant intervention models.		
7	The applicant will provide access for onsite visits to the LEA and campus by TEA, SIRC and its contractors.		
8	The applicant, if selecting the Restart Model, agrees to contract only with CMO or EMO providers on the State's approved list of CMO and EMO providers.		
9	The applicant, if selecting the Turnaround Model or Transformation Model (Tiers I and Tiers II only) agrees to the participation of the campus principal or principal candidates in a formative assessment of their turnaround leadership capacity.		
10	If the LEA/Tier III campus selects to implement the transformation model , the campus assures That it will it implement the following federal requirements. 1. Develop and increase teacher and school leader effectiveness. A. Evaluate the effectiveness of the current principal and use the results of the evaluation to determine whether the principal should be replaced, be retained on the campus, or be provided leadership coaching or training. B. Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so; C. Provide staff ongoing, high-quality, job-embedded professional development (e.g., regarding subject-specific pedagogy, instruction that reflects a deeper understanding of the community served by the school, or differentiated instruction) that is aligned with the school's comprehensive instructional program and designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; and D. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation school based on rigorous, transparent, and equitable evaluation systems for teachers and principals: 1. Takes into account data on student growth as a a factor as well as other factors such as multiple observation-based assessments of student performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and 2. Are designed and developed and with teacher and principal involvement		

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10	2. Comprehensive instructional reform strategies. A. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; and B. Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students. 3. Increasing learning time and creating community-oriented schools. A. Establish schedules and strategies that provide increased learning time; and B. Provide ongoing mechanisms for family and community engagement. 4. Providing operational flexibility and sustained support. A. Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and B. Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school turnaround organization or an EMO).		
11	An LEA may also implement other strategies to develop teachers' and school leaders' effectiveness, such as-- (A) Provide additional compensation to attract and retain staff with the skills necessary to meet the needs of the students in a transformation school; (B) Institute a system for measuring changes in instructional practices resulting from professional development; or (C) Ensure that the school is not required to accept a teacher without the mutual consent of the teacher and principal, regardless of the teacher's seniority.		
12	An LEA may also implement comprehensive instructional reform strategies, such as-- (A) Conduct periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective; (B) Implement a school wide "response-to-intervention" model; (C) Provide additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content; (D) Use and integrate technology-based supports and interventions as part of the instructional program; and (E) In secondary schools-- (1) Increase rigor by offering opportunities for students to enroll in advanced coursework (such as advanced Placement; International Baccalaureate; or science, technology, engineering, and mathematics courses, especially those that incorporate rigorous and relevant project-, inquiry-, or design-based contextual learning opportunities), early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework; (2) Improve student transition from middle to high school through summer transition programs or freshman academies; (3) Increase graduation rates through, for example, credit-recovery programs, re-engagement strategies, smaller learning communities, competency-based instruction and performance-based assessments, and acceleration of basic reading and mathematics skills; or (4) Establish early-warning systems to identify students who may be at risk of failing to achieve to high standards or graduate.		

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13	An LEA may also implement other strategies that extend learning time and create community-oriented schools, such as-- A. Partner with parents and parent organizations, faith- and community-based organizations, health clinics, other State or local agencies, and others to create safe school environments that meet students' social, emotional, and health needs; B. Extend or restructure the school day so as to add time for such strategies as advisory periods that build relationships between students, faculty, and other school staff; C. Implement approaches to improve school climate and discipline, such as implementing a system of positive behavioral supports or taking steps to eliminate bullying and student harassment; or D. Expand the school program to offer full-day kindergarten or pre-kindergarten.		
14	The LEA may also implement other strategies for providing operational flexibility and intensive support, such as-- A. Allow the school to be run under a new governance arrangement, such as a turnaround division within the LEA or SEA; or B. Implement a per-pupil school-based budget formula that is weighted based on student needs.		
15	The LEA/campus assures TEA that data to meet the following federal requirements will be available and reported as requested. a. Number of minutes within the school year. b. Average scale scores on State assessments in reading/language arts and in mathematics, by grade, for the "all students" group, for each achievement quartile, and for each subgroup. c. Number and percentage of students completing advanced coursework (e.g., AP/IB), early-college high schools, or dual enrollment classes. (High Schools Only) d. College enrollment rates. (High Schools Only) e. Teacher Attendance Rate f. Student Completion Rate g. Student Drop-Out Rate h. Locally developed competencies created to identify teacher strengths/weaknesses i. Types of support offered to teachers j. Types of on-going, job-embedded professional development for teachers k. Types of on-going, job-embedded professional development for administrators l. Strategies to increase parent/community involvement m. Strategies which increase student learning time		
By submitting the application and signing Schedule #1, the applicant is certifying it will comply with the above assurances.			

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Texas Title I Priority Schools Grant Schedule #4A—Program Abstract		
Part 1: Grant Eligibility		
☐ Tier I Eligible Campus ☐ Tier II Eligible Campus ☒ Tier III Eligible Campus		
Identify which timeline the LEA/Campus the applicant will implement.		
☒ Option 1: LEA/campus currently engaged in aggressive reform ☐ Option 2: LEA/campus in need of foundational technical assistance		
Part 2: Grant Program Summary. Provide a brief overview of the program you plan to implement on the campus. Be sure to address fundamental issues such as your local program goals and objectives that align with the RFA purpose and goals, rationale for program design, etc. Address the specific gaps, barriers, or weaknesses to be addressed by the intervention model to be selected. A response to this question must be completed in the original submission regardless of whether the LEA/campus has selected an intervention model at this time or not. Responses are limited to the space provided, front side only, with a font size no smaller than 9 point (Arial or Verdana).		
GAPS, Barriers and Weakness: Dowling Middle School was unacceptable for the school year 2008-2009. Mathematics has been a struggle for the students at Dowling in all grades (6 th – 8 th). Dowling's report card in 2008 – 2009 depicted that only 65% in math, and Science 46%, on TAKS. Also, parental involvement has been an issue, as a nominal number of parents consistently attend Parent, Teacher, Organization meetings or attend meeting regarding their child's educational issues.		
Program Design: Under the school transformation model, Dowling will extend the school day by one hour and decrease the number of lunch periods to three from four. This modification alone will add one and a half hours to each instructional day, resulting in a total increase of seven and one half hours per week to the instructional calendar. The school day hours will be 8 a.m. to 3:30 p.m. Monday through Friday. The master schedule will be revised to allow department and grade level meeting time during the school day. The staff will participate in job embedded professional development and the outcome monitored in the instructional setting; in order to evaluate effectiveness and impact on student achievement. These professional development activities will be directly tied to job duties and have a primary focus of increasing teachers' capacity for facilitating effective teaching and learning. Coaching support for teachers in need of assistance will be provided by administrators or outside external designees to improve teaching practices and move the teacher to be able to manage and produce effective results for student achievement. Administrators will identify the teachers in need of assistance and make the recommendation to an external coach, identified by the school as having exhibited a track record of high student performance. The coach will then use strategies used to develop reflective school teachers capable of transforming the most challenging urban schools. These strategies include but are not limited to collaborative inquiry, discovery, and learning processes that empower the teachers to explore their innermost thoughts, strengths, beliefs, and goals to create outer results.		
Goals: Be mindful of improving student achievement in math, reading and science, the goals of this initiative are to do the following: • Align middle school, postsecondary education, and economic development activities across content and broader middle school curriculum. • Lead the transition from middle to high school through summer transition programs. • Train administrators, principals, and educators in effective leadership strategies for supporting instruction in secondary schools.		
Objectives: • Increase the amount of students who take Advanced Placement exams by 50%. • Increase college/career readiness by 50% • Increase community/business partnerships by 25%		

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Texas Title I Priority Schools Grant Schedule #4B—Program Description															
Part 1: Comprehensive Needs Assessment															
Section A: Campus Grade Levels															
Type of School	Number of Students Enrolled in Grade Levels on the Campus to be Served with Grant														
	PK	K	1	2	3	4	5	6	7	8	9	10	11	12	Total
Public School								487	463	483					1433
Open-Enrollment Charter School															0
Total Students:	0	0	0	0	0	0	0	487	463	483	0	0	0	0	1433
Total Instructional Staff													93		
Total Support Staff													70		
Section B: Data Sources Reviewed or to be Reviewed in the Comprehensive Needs Assessment Process															
1	Benchmarks- 2009-10 for all students (Grades 6-8)														
2	TAKS- 2009-10														
3	Curriculum Based Assessment's- 2009-10														
4	Stanford- 2009-10														
5	Overall parental involvement data provided by HISD														
6	Student Report Cards every 6 week cycle														
7	Adequate Yearly Progress data – 2008-09														
8	Campus On-line data -2009-10														
9															
10															

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Part 1: Comprehensive Needs Assessment Cont.		
Section C: Process Responses are limited to the space provided, front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Describe, in detail, the process the LEA and campus followed/will follow to identify the needs of the campus.		
The campus identifies needs through assessing, analyzing, comparing, or disaggregating information and knowledge gained through internal and external sources. - Disaggregate student performance scores on TAKS to determine which students need additional academic support and in what areas the support is needed. Discuss the information and plan the instructional interventions that meet student needs. - Assess the progress that was made by individual teachers on a grade level to help identify possible areas of weakness in the instructional delivery that need to be addressed. Plan for collaborative discussions on lesson planning, budgetary needs, personnel, student progress, and or professional development training that will help teachers meet the needs of students. - Analyze and compare the performance data from 2009 and 2010 to determine if there are trends in the student performance data. Look for those areas in trends that indicate a need to improve the rigor in the instructional delivery or a need to address areas that will 'grow' students who have mastered particular objectives. - Assess the trends for the purpose of planning professional development and lesson planning. Check for professional development training opportunities that support and enhance the teacher's ability to deliver instructional information that is consistent with campus and district academic goals. Place planning information into an academic calendar for the 2010-2011 school year for the purpose of keeping all shareholders on track and informed of expectations for performance outcomes. - Compare the 2010 performance data to the performance data for middle schools in HISD and in the State of Texas to gain a perspective of where the campus ranks in performance with other campuses; particularly those campuses that are demographically similar. - Disaggregate information concerning particular campus strengths and weakness shown in the TAKS item analysis to help with planning for lessons, tutorials, and in-house tutorials. - Use disaggregated data and comparative analyses to plan for benchmark and formative assessments that track incremental student progress on a regular basis, as noted on the campus academic calendar. - Assess the need and provide tutorials (in-house, after school, and Saturday) for diverse groupings of students to ensure that students get extra academic support. - Assess and update any and all procedures developed through the process of disaggregating and analyzing student performance data to ensure that the actions are 'data-driven.' Discard or discontinue practices which do not support sustained or continuous academic improvement and student growth.		

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Part 1: Comprehensive Needs Assessment Cont.			
Section D: Groups of Participants Contributing/to Contribute to Needs Assessment Process, ensuring Parents and Community Groups were involved in the process.			
1	Administrative Team		
2	Instructional Coordinators		
3	Department Chairs		
4	Faith Based Organization		
5	Parents		
6	Teachers		
7	Students		
8	Campus Shared Decision-Making Committee (SDMC)		
9			
10			

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Part 2: Project Management		
Section A: LEA (District) Capacity Responses are limited to one page each , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Capacity -- Describe the LEA's capacity to use grant funds to provide adequate resources and related services/support to the campus to implement, fully and effectively, the required activities of the school intervention model.		
The Houston Independent School District is committed to utilizing the TTIPS grant funds to provide adequate resources and related services and supports to implement, fully and effectively, the required activities of the school intervention model.		
The District's Chief Academic Officer and Transformation School Improvement Officer will monitor the ongoing progress and implementation of the following transformation strategies:		
▪ Capitalizing on the small schools model for greater personalization between teachers and students ▪ Extended learning time ○ Extended instructional day ▪ Intensive (high dosage) tutoring ○ Before school ○ After school ○ Two Saturdays per month ▪ Parental and Community Involvement ▪ Human Capital ○ Ongoing professional development for teachers and administrators ▪ Data-driven instruction ○ Assessed often ○ Assessments by objectives		
Additionally, the HISD will provide technical assistance to <u>Dowling Middle School</u> on multiple levels via <u>Central Office Administration</u> including the:		
▪ Grant Development Department: General grant management and technical assistance in the completion and filing of progress reports with TEA. ▪ Research And Accountability: Assistance in accessing student data for evaluation reports. ▪ Accounting/ Budgeting/Purchasing Departments: Assistance in managing budgets, tracking of expenses, purchases; and completion of periodic financial reports as required by TEA. ▪ Legal Department: Assistance in the development and approval of contracts. ▪ Curriculum Department: Support of curricular enhancements and assistance with the district's online curricular alignment resource. ▪ Transformation School Improvement Officer: Provide forums for disseminating and sharing information regarding the outcomes of the project and assistance with observation and monitoring of campus transformation.		

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Part 2: Project Management Cont.		
Section A: LEA (District) Capacity Responses are limited to one page each , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Partnership/Involvement of Others – Describe how the LEA/campus consulted with relevant stakeholders (students, staff, parents, community) regarding this application and proposed implementation of a school intervention model.		
The students, parents, teachers, support staff, administrators, and community partners were and will continue to be consulted regarding the application and proposed implementation of the school intervention model at Dowling Middle School. Dowling Middle School teachers, support staff, and administrators met with students, informally and formally, to gather information and elicit ideas and suggestions on how to improve the campus. Students were asked a series of questions that range from relationships with faculty and staff, the delivery of instruction, learning time, attendance, disciplines, extra help/support provided to students, tutorials, incentives, rigor and relevance instruction, hands-on-learning, and demonstration of what students had learned. Students echoed the need for stronger leadership, student input, rigor and relevance of the courses, technology to be continually updated, updated training for teachers, differentiation of instruction, and 21st century teaching methods, clubs, organizations, and various school related activities for students to actively participate. School staff completed a survey during the Spring semester of 2009-2010 school year. The survey asked faculty and staff to respond to key elements related to rigor, relevance, and relationship. Major areas included on the survey were high expectations, collaborative teaching, interventions, organization, and professional development. The campus principal met with cohorts to review grant information and rationale, eligibility, and mandates. The campus principal reviewed eligibility criteria and LEA proposals with teachers and professional staff. Campus collaborative teams organized and facilitated a community forum. The results were shared by the campus stakeholders. The parent and community/business partnerships also completed a survey to determine what is needed in order to help our students and families succeed. Comments ranged from encouraging teacher/administrator relationships, including parents in the decision making and putting equal responsibility for success on them, access to the parent center, the need for campus improvements, dress code, and discipline concerns. All the comments could be directly linked to the campus leadership, concerns about teachers, having high standards and expectations for all students, the need to educate parents on supporting students, and cultural diversity. Parents expressed wishes for weekly communication with specific information of what has happened at the school, what is to be expected in the future, and more specific requests of parental involvement needed by the school. The district and campus stakeholders are consistently provided with ongoing information about various school intervention models, visual diagrams, interviews from experts, reviews of articles, videos, reviews of current district and campus policies and procedures were provided in a variety of communication media. Campus and district support team leaders will meet monthly using data provided to ensure that each of the indicators contributing to the school's low performance areas is addressed effectively.		

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Part 2: Project Management Cont.		
Section A: LEA (District) Capacity Responses are limited to one page each , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Management of Grant Activities – Describe how the LEA and campus will modify its practices and/or policies, as necessary, to ensure its implementation of the intervention(s) fully and effectively.		
A striking element of the research on turnarounds is that successful turnaround leaders use speedy, focused results as a major catalyst to change the organization's culture. This stands in contrast to research about incremental (or "first order") change leaders, who focus on a broader process of cultural change to improve long-term results (Kotter, 1996; Senge, 1990; Waters et al., 2003). The Houston Independent School District will transform identified schools, such as Dowling Middle School, by implementing the following: • Expand Elongated School Day and Intensive Tutoring During 2010-2011 School Year • Develop World Class Data Management System • Design and Align Curriculum to World Class Standards Including Strong Formative Assessment Process • Implement New School Improvement Plans District wide • Continue to Focus on Placing Quality Teachers in Every Classroom and Quality Principals in Each School • Provide Research Based Training Programs for Teachers and Principals Houston ISD has made a clear commitment to strive for a dramatic departure from the status quo, and the Superintendent and Board Members have signaled the magnitude and urgency of that needed change. Each Secondary campus that fails to make school improvement targets must transform the school and demonstrate improvement within a short timeframe; it does not have the luxury of years to implement incremental reforms. HISD Transformation Tenets for Secondary Schools: • Human Capital Development: quality teachers, quality leadership • Data Systems: access to critical data points • Time: extend the school calendar/day/schedule • Data-Driven Instruction: use of formative and summative assessments every two to four weeks and re-teach when necessary • Tutoring: provide intensive tutoring for students (one to one-and-a-half hours per day) • Culture of High Expectations The current principal of Dowling Middle School has implemented various school wide initiatives, programs, and strategies to increase the campus accountability ratings. To further increase the development of student learning and campus effectiveness, a change in leadership is necessary. The new principal will create a stronger direction for the school, strengthening partnerships across the school community, regularly visiting classrooms and monitoring instruction, being visible throughout the school, and directly addressing discipline issues. Student achievement will be addressed by analyzing and creating a sense of community, curriculum, assessment and instruction, teaching and learning systems, facilities and use of available resources. A change in leadership practices, with a principal knowledgeable about transformational strategies, will demonstrate a new commitment for improvement. The principal can signify change in a number of ways by: a) clarifying expectations for students and faculty, b) initiating a new atmosphere of change on the first day, and c) sending the message that business-as-usual will not be acceptable; everyone needs to regroup. The new principal and staff will participate in specialized training and learn strategies to establish a stronger direction for the school. The staff will concur on the focus or mission of the school, agree upon a set of core beliefs, distribute leadership, institutionalize teams for planning, analyze student progress, coordinate the curriculum with research-based materials, and implement interventions for struggling students.		

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Part 2: Project Management Cont.		
Section A: LEA (District) Capacity Responses are limited to one page each , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Resource Management – Describe how the LEA/campus will align other resources (federal, state, local, and community) with the school improvement intervention.		
In order to ensure that all students are progressing adequately and exceeding the standard, Dowling Middle School will have a comprehensive and systematic assessment and progress-monitoring plan in place that aligns resources of the federal, state, and local education agencies with the school improvement intervention by providing training for teachers, parents, and community/business partners to increase quality involvement on our school campus.		
Currently, Dowling Middle School has several federal resources that will be used to increase or expand the services available to students. Dowling Middle School will use Title 1 and School Improvement funds to ensure that the student – teacher ratio is appropriate to providing intensive and specialized assistance to the students. These funds will also be used to provide additional supplies and materials that the students will need to ensure their success.		
Dowling Middle School has some partnerships that it will leverage in order to provide support to the students and staff. These partnerships will actively work with the campus in order to find additional funding to support the initiatives of Dowling Middle School.		
Dowling Middle School is fully committed and looking forward to the continuation of this TTIPS grant beyond the initial period of the grant. Therefore, it will actively work to meet the needs and objectives stated in the grant, as well as seek other sources to fully continue the program in subsequent years beyond the grant period. There are several key strategic plans in place to sustain beyond the end of the grant cycle as follows:		
• Dowling Middle School has been awarded a 21st Century Community Learning Centers grant funded by the Texas Education Agency. This program is designed to prepare students of all ages for the workforce and higher education after graduation. • District funding for professional development will be used to ensure that the teachers and staff at Dowling Middle School are well trained to assist the students at every academic level. The district's Professional Development Department is available to train faculty and staff with additional training as needed at no cost to the school. • Alignment of other resources with the school improvement intervention will take place through implementation of additional programs. Our goals for school improvement intervention will include summer and academic school year transition programs for 6th and 8th grade students, school –to- career programs that will boost student motivation and achievement, literacy across the curriculum programs, and targeted professional development for teachers and other staff. • Additionally, we will develop stronger partnerships with business, community, and families. • On-going monitoring and support will take place by the administrative team.		

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Part 2: Project Management Cont.		
Section A: LEA (District) Capacity Responses are limited to one page each , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Grant Project Manager Qualifications – Describe the qualifications of the person selected to be the project manager for this grant on the campus.		
The Grant Project Manager will be the Transformation School Improvement Officer (SIO). This person must have at least a Master's Degree from an accredited college or university. The Grant Project Manager must have at least five years successful experience as a principal, with leadership in secondary schools preferred. The Transformation SIO should be familiar with HISD board policy and procedures, and a working knowledge of federal, State Board of Education, and Texas Education Agency policies and procedures. The Transformation SIO must be able to: • Provide leadership in planning, developing, implementing, and supervising quality, research-based instructional programs. • Exhibit a knowledgeable, passionate, and enthusiastic commitment to continuous student improvement with a focus on the district's instructional priorities. • Work with transformation schools to develop and execute comprehensive strategic plans that meet the affective, cognitive, and physical needs of the students. • Assist principals with resource allocations that allow schools to excel instructionally and execute district initiatives. • Collaborate with and counsel principals on working with their surrounding communities and other publics. • Ensure compliance of assigned schools with all pertinent federal and state regulations and requirements related to various data and operational regulations. Additionally, the grant manager must possess: • <u>School Administrator Certification and Leadership Responsibilities</u> The Transformation SIO will direct two or more levels of management in the development, deployment and ongoing management of transformation efforts with direct accountability for results in terms of effectiveness, costs, methods, and employees. This person will directly supervise principals and indirectly supervise all teachers in the feeder-pattern(s). The Transformation SIO will have full management responsibilities for multiple schools including all aspects of line responsibility. • <u>Work Complexity/Independent Judgment</u> Since the work is non-standardized and widely varied, involving many complex and significant variables including significant time spent planning, evaluating complex solutions and issues, and negotiating outcomes, the Transformation SIO must have strong analytic ability and inductive thinking to devise new approaches to situations where previously accepted solutions have proven inadequate. The position regularly makes recommendations to management on areas of significance to the division. Supervision provided by the Transformation SIO typically consists of providing periodic coaching, advice and feedback. • <u>Budget Authority</u> The Transformation SIO should be able to assist the schools with their budgets as needed, but each campus will have authority over their own budgets. • <u>Communication/Interactions</u> The Transformation SIO must interpret department strategies and services, resolve conflicts, influence outcomes on matters of significance for the division, conduct final negotiations and coordinate approvals/decision making below the executive level. The Transformation SIO will interact with customers and Top Management, and therefore must have excellent communication skills. • <u>Customer Relationships</u> The Transformation SIO will have the ability to lead others in the resolution of highly sensitive and confidential issues on behalf of the district. The Transformation SIO will act as a trusted advisor, and will become involved in the school's decision making process.		

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LEA Support – Describe how the LEA will structure and implement an individual or office with responsibility for supporting the campus' school improvement efforts.		
Houston ISD is committed to the success of all of the students attending each of the campuses struggling to improve academic and behavioral outcomes. Beginning June 1, 2010 the district's organizational structure and campus support systems will be realigned to ensure each campus realizes the improvement required. The Deputy Chief Academic Officer is responsible for all school operations of the district. He is supported by three Chief School Officers – one each for elementary, middle and high schools. Each chief supervises and supports a group of School Improvement Officers (SIOs). School Improvement Officers are responsible for coaching and supporting the principal and campus leadership of a group of schools, typically 6-10, representing diverse neighborhoods and demographic groups across the city and district. Recognizing that the schools identified as Title I Priority schools have unique and significant challenges to improvement, a single SIO is assigned responsibility for the eight (8) identified middle and high schools in need of transformational change and improvement. The Transformation School Improvement Officer operates outside of the typical reporting structure reporting directly to the Chief Academic Officer. The Transformation SIO leads a team of support staff including a math instructional specialist, a language arts instructional specialist, a science instructional specialist and a performance data manager. The Transformation SIO is directly responsible for the effective implementation of all grant components and for ensuring that each campus reaches or surpasses its annual goals. The Transformation SIO: - Coaches and supports the development of leadership skills for campus principals; - Creates the opportunities, expectations and supports for school leaders to learn and work collaboratively to improve student outcomes; - Works collaboratively to transform central office work practices and district-school relationships to develop and sustain instructional leadership capacity at the campuses; - Secures and aligns necessary district resources including those of the transformation team to support campus leaders, teachers and staff in the implementation of high-yield strategies for instructional and academic improvement; - Represents the unique and urgent needs of the campuses directly to the Deputy Chief Academic Officer of the district and works to identify and remove or overcome any institutional, traditional or procedural barriers to the effective implementation of campus strategies and programs; - Maintains a persistent and public focus on instructional goals that maximize the quality and equity of instruction for all students; - Anchors instructional improvement activities to useful and timely student performance data, evidence and inquiry of various types; - Models instructional leadership thinking and action; - Brokers external resources to support principals' focus on instructional leadership; - Supports principals in the recruitment, selection, training and retention of highly effective teachers; and - Uses best practices in the design of high quality professional learning opportunities and delivery within the context of each administrator's and each teacher's daily work. This SIO has the operational flexibility, resources and direct access to senior district leaders required to lead and support these campuses and to ensure the accomplishment of their student performance goals.		

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Program Continuation and Sustainability – Describe how the LEA will sustain the campus reforms after the funding period ends.		
The strategic intent of the Houston ISD is to transform persistently low performing schools and to close the achievement gap between the economically disadvantaged students and the majority. With that in mind the district is poised to lengthen the school hour, with additional teacher time and rigorous tutorials in the lowest performing high schools as a means of closing this achievement gap. HISD is adopting the lengthened time, rigorous tutorials and parent commitment as a culture in the bid to transform these schools into high achieving, college and career ready life-long learning community. The district is committed to finding resources through Title 1, foundations matching grants and other resources to support this new direction in the attempt to transform its low performing schools into schools of choice where students feel safe, valued and can develop relationships with their educators.		
Incorporation of Project activities into district strategic and academic plan: Mentoring relationships between Exemplar and Targeted Schools to foster best practices and develop relevance and rigor in student activities. Targeted schools will meet regularly in PLCs to examine student work and teacher curriculum delivery with exemplar schools as coaches and mentors. Principals will provide on-going feedback after classroom walk-through to validate learning and to help teachers improve on student learning. New teacher pathways include Principals, district administrators and all teachers new to the district, training-of-trainer (TOT): training incorporated in new teacher in-service to enable teachers to understand the core values of the district.		
The Houston ISD Board of Education is totally on board with transforming schools to be a benefit to the students. Houston ISD has developed a strategic direction that will guide the best course of action for its students in order to realize the goals outlined by the Board of Education. The objectives of strategic planning process are:		
(1) Create a clear picture around efforts to increase student achievement; (2) Integrate findings from other ongoing efforts (e.g., The New Teacher Project (TNTP); (3) Outline a set of strategic initiatives that enable HISD to meet its goals, assembling current efforts into a single picture and identifying gaps; and (4) Determine any adjustments required to current resources both human and financial to support the strategic initiatives. Houston ISD plans to create an implementation and communication plan to support actions and gain alignment from key stakeholders on path forward.		

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External Providers -- Describe how the LEA will recruit, screen, and select external providers to ensure their quality.		
The district's Procurement Services Department is responsible for facilitating the processes governed by state and federal law to ensure best practices and a level playing field, as well as fair and equitable treatment for all suppliers. Through this synergistic effort, both the Supplier and HISD will benefit from working as business partners to strengthen the social and economic foundation of the district and the community at large. The mission of the HISD Procurement Services Department is to ensure the uninterrupted flow of goods and services needed to efficiently operate the district cost effectively. In other words, the right products and services, at the right cost, from the right Supplier, to the right location, at the right time, every time.		
Procurement Services has the authority to commit district funds for the acquisition of goods and services. Procurement Services is responsible for facilitating the processes governed by state and federal law to ensure best practices, and an equally competitive environment for the purchase of all goods and services. The district purchases a wide variety of goods and services, including but not limited to: (1) Consultants/Professional Services; (2) Construction/Repairs of Facilities; and (3) All other goods and services. The district is required to competitively bid any/all expenditures greater than \$10,000.00 in the aggregate; meaning just about every purchase made by the district.		
Bids and Proposals: All expenditures (district-wide) must be competitively bid by the Procurement Services Department, only. Competitive bids are generally solicited either by means of a Request For Proposal (RFP), or a competitive sealed bid (or proposal). While RFP's may result in additional negotiations before a contract award takes place, all bids/proposals should always be prepared with utmost care, providing the bidder's best offer. Contracts awarded as a result of an erroneous offer may result in serious financial loss or other hardship for the bidder. Current bid/proposal solicitations are announced in one or more local newspapers of mass-distribution for two consecutive weeks. They are also posted on the district's Procurement Web site and are physically posted on the district bulletin board in the Hattie Mae White Educational Support Center.		
Usually, all contracts that require Board of Education approval (\$10,000. or greater) will result in a Master Agreement (contract), against which, one or more purchase orders may reference.		
Minority and Women Business Enterprises (M/WBE) Program: HISD, in keeping with its high standards, good business practices and fiduciary responsibility as a taxpayer supported public entity, continually strives to ensure that all Suppliers have the opportunity to support HISD, and is committed to providing equal procurement opportunities to MWBE Suppliers. HISD defines M/WBE Suppliers as a business enterprise that is at least 51% owned, operated, managed, and controlled by one or more members of the following socially or economically disadvantaged groups: African Americans, Hispanic Americans, Asian/Pacific Island Americans, native American Indians (American Indians, Eskimos, Aleuts, and native Hawaiians), and non-minority women. HISD's minimum MWBE goal is 20% of all purchasing and construction, and 25% for professional services. At the very least, good faith efforts in the use of MWBE's are required by policy in the purchase of:		
• Goods and Nonprofessional Services s (General and Subcontractors) • Construction Contract • Professional Services		
All district Suppliers are asked to commit to the same goals when doing business with their Suppliers. M/WBE seminars are held on the first (business) Monday of each month.		

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Site Visits – If the intervention program includes site visits to other campuses successfully implementing the intervention model, describe the process for selecting the locations and the expected outcomes of the site visit.		
The process used to select site visits reflects an on-going analysis of the needs assessment for the campus. The selection for site visits at the beginning of the year reflects choices based on what the campus learns from the disaggregated analysis of the TAKS data. As the school year progresses, the disaggregated analysis of formative assessments and other objective observations provide guidance for site visits. Visits to other sites reflect a desire to learn about and from other campuses that are demographically similar and dissimilar to this campus. Recommended site visits to campuses, such as Kipp Academy, or YES, which have extended day schedules help in the planning process for the extended day on this campus. Visits to Johnston or Thomas help shareholders on this campus gain a better perspective of teacher-led professional learning communities and site visits to Hartman or Burbank help in a better understanding of the use of the 5E Model for direct instruction. Site visits provide a better perspective of how other campuses use resources (human and monetary) to achieve and sustain student academic growth. Campus representatives (teachers and or administrators) make site visits to gain additional strategies, knowledge, and insight for increasing student academic growth. Individuals selected to make site visits go with the intent of gathering information that is pertinent to the continuous improvement of instructional delivery and academic progress on this campus. It is required that individuals making site visits present findings and information to teachers, teacher committees, SDMC members, parents, or students as needed to support and ensure continuous improvement on this campus.		

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Lack of Capacity -- If the LEA is not applying to serve each Tier I school (is not applying for grant funding for each Tier I school), provide a detailed explanation of why the LEA lacks capacity to serve each Tier I school.		
		

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Part 3: Intervention Model		
Section A: Intervention Model Selection Process		
Intervention Model to be Implemented – Indicate the model(s) being <i>considered</i> by the LEA/campus for implementation. Indicate whether the LEA/campus will participate in the TEA Approved Model with Technical Assistance provided by the TEA-funded School Improvement Resource Center or the LEA/campus will implement its own intervention design within the requirements of the grant program.		
☒ Turnaround ☐ Closure ☐ Restart ☐ Transformation ☒ Tier III Modified Transformation ☐ TEA Designed Model with Technical Assistance Provided by the School Improvement Resource Center ☒ Supplemental Education Services (SES) incorporated into the intervention model <i>Note: Applying to implement the TEA Approved Model with Technical Assistance Provided by the School Improvement Resource Center in no way implies or guarantees funding.</i> ☒ The LEA/campus will implement its own intervention design, within the parameters required by the final federal regulations released by USDE		
Schedule #4B—Program Description, Part 3, Intervention Model, continued on next page		

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Section B: Model Selection Process –Describe in detail:		
1. The process the LEA and campus followed/will follow to select a school intervention model that aligns to the identified needs of the campus. 2. The timeline delineating the steps the campus will take to implement the selected intervention.		
Responses are limited to <u>five pages</u>, front side only, with a font size no smaller than 9 point (Arial or Verdana).		
The Transformational Model requires HISD to use locally adopted competencies to measure the effectiveness of staff, who can work within the transformation environment to meet the needs of students. HISD has screened all existing staff in the Tier I school and will continue to monitor the success of the current staff in year one of the grant. The current school principal will be replaced by a new transformation principal. The school's assessment and school profile data indicates that the school needs to focus on the following areas: 1) Create and maintain an effective school professional learning community to support teacher and student learning. 2) Ensure that all professional learning activities promote the sustained development of teachers' deeper understanding of content knowledge, research-based instructional strategies, and assessment strategies. 3) Monitor teachers' progress in implementing research-based practices, provide feedback, and coaching.		
After intense scrutiny of multi-year student TAKS scores, common benchmark assessments, and triangulation of data, Dowling Middle School developed its Transformation Model to be implemented campus-wide. The following transformational intervention model will be implemented: (1) Capitalizing on the small schools model for greater personalization between teachers and students; (2) Extended learning time (i.e., extended instructional day); (3) Intensive (high dosage) tutoring (i.e., Before and After school and Two Saturdays per month); (4) Parental and Community Involvement; (5) Human Capital via ongoing professional development for teachers and administrators; and (6) data-driven instruction—assessed often and assessments by objectives.		
Comprehensive instructional reform strategies will be implemented and data will be used to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with state academic standards. Professional development will be provided by content specific areas in order to utilize and identify current best-practice. Departments will utilize skills learned regarding creating rubrics for assessing student generated products, book studies related to identified data improvement, spiraling curriculum for re-teaching purposes, teaching students to identify areas for growth and setting goals based on areas of improvement, team teaching, and specialized scheduling that provides instruction through teacher strengths by objective for student success.		
Required Professional Development: Specific professional learning sessions over the three year period and beyond will include the following topics: (1) Team Building Professional Learning; (2) Implementing the New School Improvement Transformational Model in a Professional Learning Community and Daily Collaborative Planning; (3) Standards-Based Instruction Practices; (4) Administrator Workshop; (5) Initial Teacher Institute & SpringBoard Professional Learning (all ELA and Mathematics teachers); (6) Cognitive Coaching (to build sustainability); (7) Laying the Foundation (all teachers); (8) Using Formative Assessments to Adjust Instruction; (9) Progress Monitoring for Student Progress; (10) Use of Interventions in Reading and Mathematics; and (11) Meeting the Social-Emotional Needs of Students.		
As a result of implementation, the effectiveness of teachers and school leaders will be increased. The principal who led the school prior to the start of the transformation model will be replaced. There will be use of rigorous, transparent, and equitable evaluation systems for teachers and principals that take into account data on student growth as a significant factor. These systems will be developed collaboratively with teacher and school leader involvement. There will also be a focus on other factors including, but not limited to observation-based assessments of performance and ongoing collections of professional practice reflective of student achievement. The next school leader will value this process and work within the boundaries set to facilitate a positive impact on teaching and learning. In an effort to move this work forward the Leadership Team will conduct an Environmental Scan/SWOT Analysis (Strengths, Weaknesses, Opportunities, and Threats) of all departments and processes.		

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Section B: Model Selection Process Cont. Responses are limited to five pages , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
Through the collection of data, Action Plans will be created for the campus and for each department. By identifying these key areas each department will be trained to create an Action Plan that will be updated each six weeks and create SMART Goals each six weeks that are reviewed and revisited based on specific timelines. This professional development will be provided by external consultants that train the Leadership Team and the departments in order to build capacity for this initiative so that department chairs and instructional specialists can continue the work once all staff has been trained. School leaders, teachers, and other staff who have increased student achievement will be rewarded and those who, despite opportunities to improve their professional practice, who have not done so will be removed. Staff will be provided with ongoing, high-quality, job-embedded professional development related to subject-specific pedagogy. This would include topics related to instruction reflecting a deeper understanding of the community served by the school, or differentiated instruction that is aligned with the school's comprehensive instructional program. Financial incentives, increased opportunities for promotion and career growth, flexible work conditions, and other benefits designed to recruit, place, and retain staff would be implemented. Professional development will be provided through external consultants and identified professional development specialists to provide training in the areas of Data-Driven Decision Making, Reflective practices for school leaders, teachers and students, and Creating Positive School Climate and Culture. The continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students will be promoted. Departments will utilize a tracking sheet for CBAs and three week assessments to determine which objectives should be reviewed with students. Learning time will be increased in a schedule that meets the needs of all students and provides more time on task in the classroom setting, with an intense focus on raising rigor and creating community-oriented schools that support parental and community engagement in the educational process. Professional development will be provided through departments that address rigor and relevance, teachers will work in cross-curricular teams to embed common themes into activities and assessment tasks, and teachers will collaborate through planning periods to create Units of Inquiry that address the highest level of Bloom's Taxonomy. A reflective piece will be created so that teachers can track activities, lessons and data in order to determine effectiveness of thematic units. The district and campus will demonstrate successful school improvement practices, including shared purpose, data, and monitoring of the TTIPS transformation project at Dowling Middle School, which will occur on several levels. At the lowest level, students will be responsible for monitoring their progress through mechanisms such as portfolios, journals, and electronic databases. The next level will include teachers, tutors, and mentors periodically monitoring students' progress using student progress checklists, standardized progress reports, and pre- and post-tests. In addition, the district will hire an external technical assistance provider that will work closely with the campus, administration, SDMC, school faculty, parents, and students. The results will be reported monthly to the campus principal, SDMC, and the Transformation School Improvement Office (SIO). The campus principal will compile the results of all assessments and on a quarterly basis, share the results with the SIO, district administration, SDMC, school faculty, parents, and students.		
The Transformational Model timeline of major activities include the following: June, 2010-July, 2010 1. Meet with the campus business manager and or district budget analyst to review budgetary information and determine immediate and long-term budget allocations. 2. Meet with representatives from grade level teams as needed to continue the disaggregation of the TAKS data. Use the data as the basis for decisions for student schedule assignments, instructional planning focus, in-house tutorials plans, and professional development training etc.		

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3. Meet with SDMC members as needed to inform, update, and receive feedback concerning the implementation of the Apollo Schools initiative. 4. Meet with the department chairpersons to continue the disaggregation of TAKS data. Use the data as the basis to align student needs to the HAPG instructional guidelines and begin the development of the academic calendar for 2010-2011, include benchmark testing dates, Stanford 10, TAKS, teacher-made assessments, and other academic concerns (professional development, field lessons or student presentations) 5. Meet with parents and community members to inform, update, and receive feedback concerning the implementation of the Apollo Schools initiative. 6. Provide updated information to counselor to change or modify master scheduling and student schedules as needed to conform to changes dictated by implementation of the Apollo schools interventions.																				
July 2010-August, 2010																				
1. Train paraprofessionals and plan to conduct early registration July 26, 2010, 8:00-12:00; 1:00-4:45, prepare materials. 2. Meet with grade level teacher representatives from 1:00-4:45 to discuss and plan for 'new' student orientation to take place on July 28, 2010, from 8:00-10:00. 3. Conduct early registration days July 27, 2010 through August 21, 2010. 4. Prepare (July 20-22) for teacher professional development training August 9, 16-20, 2010*: <table style="margin-left: 20px; border: none;"> <tr> <td style="padding-right: 20px;">August 9</td> <td>Teacher organizational skills</td> </tr> <tr> <td>August 16</td> <td>EEO/Special Education/Literacy Presentations- (compensation paid by the campus)</td> </tr> <tr> <td>August 17</td> <td>District job-alike professional development</td> </tr> <tr> <td colspan="2" style="padding-top: 10px;">August 18</td> </tr> <tr> <td colspan="2">Texan Schools Updates/Professional development-student agendas, lesson planning, Grade level and department calendar</td> </tr> <tr> <td colspan="2" style="padding-top: 5px;">August 19</td> </tr> <tr> <td colspan="2">Professional Learning Community Meetings</td> </tr> <tr> <td colspan="2" style="padding-top: 5px;">August 20</td> </tr> <tr> <td colspan="2">Teacher work-day</td> </tr> </table>			August 9	Teacher organizational skills	August 16	EEO/Special Education/Literacy Presentations- (compensation paid by the campus)	August 17	District job-alike professional development	August 18		Texan Schools Updates/Professional development-student agendas, lesson planning, Grade level and department calendar		August 19		Professional Learning Community Meetings		August 20		Teacher work-day	
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August 20																				
Teacher work-day																				
*The activities scheduled for these days may shift or change as needed to accommodate other district mandated training or the availability training.																				
August, 2010-September, 2010																				
• Continue analysis of student performance data to determine student groupings, provide training workshops for students and adjust student schedules as needed. Establish 'data room' and publish/post all student academic data on a regular basis. • Review and follow-up on activities and initiatives began during the Summer school enrichment sessions. • Work with 21st Century coordinator to plan and coordinate after school enrichment activities for students (4:45-6:45) • Conduct Open House September 22, 2010 to update parents on Apollo8 schools initiatives and introduce staff. Distribute Parent Handbooks, encourage sign-ups as room parents/sponsors, volunteers, etc.																				
August 24- October 1																				
1. Extended day activities: Review Code of Student Conduct, expectations for behavior, Student handbook etc. 2. August 30-September 3 Extended day activities: Train students to use student agenda books and begin organization and study skills training workshops/per content area-(11-6399). 3. September 7-September 10 Extended day activities: 2009 TAKS Released testing for all students, especially students from out-of-state or out-of-district. Begin to develop student profiles of academic performance. 4. September 13-17 Extended day activities: 2009 TAKS Released testing for all students, especially students from out-of-state or out-of-district.																				

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5. September 20-24 Extended day activities: Complete personal graduation plans (PGPs) with all students, especially students at risk of failing. 6. September 27-October 1 Extended day activities: Share feedback and scores for the 2009 TAKS Released testing. Work with students to set Individual Intervention Plan Goals for the Fall semester grading cycles based on all cumulative data available. October, 2010-December, 2010 (Fall Semester) • Begin extended day tutorials during fourth period for all students based on the cumulative data as prescribed in the Individual Intervention Plan Goals developed for each student. • Implement the Plan, Do, Check, Act continuous improvement model to provide feedback and to inform decisions concerning lesson planning and activities as they apply to the academic goals (TEA performance requirements for Recognized and Exemplary rating). Ensure that bi-weekly assessments are conducted. • December, 2010 assess the progress of students toward meeting the goals and reset the goals for the Spring semester (December 6-10). January, 2011-March, 2011 • Continue analysis of student performance data to determine student groupings, provide training workshops for students and adjust student schedules as needed. Publish/post all student academic data on a regular basis in the data room. • Resume extended day tutorials during fourth period for all students based on the cumulative data as prescribed in the Individual Intervention Plan Goals developed for each student. • Implement the Plan, Do, Check, Act continuous improvement model to provide feedback and to inform decisions concerning lesson planning and activities as they apply to the academic goals (TEA performance requirements for Recognized and Exemplary rating). Ensure that bi-weekly assessments are conducted. • March, 2011 assess the progress of students toward meeting the goals. Update Individual Intervention Progress Goals as needed based on bi-weekly assessments. • 2009 TAKS Released testing for all students (March 21-25). Compare analysis of data to the data collected in September and make adjustments as needed for instructional planning and student interventions. • Begin planning 'countdown' focus in extended day tutorial sessions to support any gaps in student learning ascertained from PDCA analysis and the TAKS Released comparison. Regroup students (not on Chancery) as needed to ensure that student learning needs are met. • Complete Stanford 10 assessment. • Review and update TAKS DATA analysis worksheet. • Conduct Open House February 2011 to update parents on Texan schools initiatives and student academic progress toward performance goals.		

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Section B: Model Selection Process Cont. Responses are limited to <i>five pages</i> , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
March, 2011-June, 2011 • Complete all mandated state testing. • Complete all mandated state testing. • Publish/post results. • Review Individual Intervention Plan Goals. Work with students to analyze the student profiles to determine academic gains. • Continue extended day tutorials to prepare students for the next grade level learning objectives and to read selected novels (May 2-June 2). In addition to the extended day activities described above, the campus intervention model includes: June, 2010-July, 2010 (ICF Grant + 21st Century Grant) • Enrichment activities for students during the 2010 Summer school session for the purpose of providing enrichment activities for students and field trips (June 7-July 2). July, 2010-August, 2010 (ICF Grant) • Scheduled field trips or special presentations on campus once per month for students (July, August). • Communities in Schools working with parents in workshops: Computer basics, data entry, budgeting, etc. or help in finding uniforms or clothing for students, housing, transportation for doctor's appointments, etc. • July 27 through August 21, 2010 early registration and Back To School activities-parents, students, teachers; • "Community Walk" focusing on commitment to academic excellence for 2010-2011. September, 2010 (ICF Grant + 21st Century Grant) • CIS provides back to school workshops for parents to assist them with preparing to take self-improvement classes: Reading, ESL classes, Spanish classes, complete job applications, imaging workshops, creating home study environments, etc. • Parents attend academic conferences or workshops. • Student presentations during 21st Century: Houston Museum Natural Science, Young Audiences, etc. October, 2010 (ICF Grant + 21st Century Grant) • Parent, teacher professional development sessions: diversity or multicultural training, conflict resolution, 40 Developmental Assets for Parents; • Student motivational speaker presentations and activities-Moran Norris and Vincent Young, Character Education Department, November, 2010-December, 2010 • CIS helps to sponsor Charitable food drives, clothing donations, cards or letters to incarcerated parents; • Fall Semester Academic Recognition programs (December, 2011). January, 2011-February, 2011 • Parent workshops on all district/state assessments-What are they? How do they impact your child's future? • Content-specific field lessons to support or augment classroom instruction. March, 2011-June, 2011 • CIS workshops and presentations for parents continue. • Spring Semester Academic Recognition programs (May, 2011).		

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Section C: Groups of Participants – List the groups of participants who will actively assist in the process to select a school intervention model that aligns to the identified needs of the campus.			
1	Administrative Team		
2	Instructional Coordinators		
3	Department Chairs		
4	Faith Based Organization		
5	Parents		
6	Teachers		
7			
8			
9			
10			

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Section D: Improvement Activities and Timeline		
On the following pages of charts applicants should describe all other school improvement activities that will be incorporated with the model to be selected.		
For each additional improvement activity entered, enter the Critical Success Factor Code(s) from this table, enter the LEA/campus' rationale for including the activity, provide the supporting research that indicates the activity will be effective, and indicate the beginning and ending date of the activity.		
1 – Improve Academic Performance, including (but not limited to) Reading/ELA and Math A. Data-driven instruction B. Curriculum Alignment (both horizontal and vertical) C. On-going Monitoring of Instruction 2 – Increase the Use of Quality Data to Drive Instruction A. Data Disaggregation/Training B. Data-driven Decisions C. On-going Communication 3 – Increase Leadership Effectiveness A. On-going Job Embedded Professional Development B. Operational Flexibility C. Resource/Data Utilization 4 – Increase Learning Time A. Flexible Scheduling B. Instructionally-focused Calendar C. Staff Collaborative Planning 5 – Increase Parent/Community Involvement A. Increased Opportunities for Input B. Effective Communication C. Accessible Community Services 6 – Improve School Climate A. Increased Attendance B. Decreased Discipline Referrals C. Increased Involvement in Extra/Co-Curricular Activities 7 – Increase Teacher Quality A. Locally Developed Appraisal Instruments B. On-going Job Embedded Professional Development C. Recruitment/Retention Strategies		

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Critical Success Factor 1: Improve Academic Performance including (but not limited to) Reading/ELA and Math					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1A	Improve student achievement in Reading/ELA, Math, and Science	Data analysis helps determine lesson planning and instructional focus.	- American School Board/ November 2001 - National Staff Development Council/ 2010 - Connect for Kids/February 26, 1999 - National School Board Association/2001 - Turning Around Low- Performing Schools: A Guide for State and Local Leaders (May 1998) - Roland Fryer Time/ April 2010	Aug, 2010	July, 2013
1A	Improve Student Achievement in Mathematics	Teachers must understand the mathematics they teach.	<i>Doing What Works Research Based Education Practices-online</i>	August 2010	June 2013
1A	Enhance teacher understanding of the topics of Mathematics and the links across topics, and how to teach those topics, including strategies for addressing student misconceptions in learning Mathematics.	Teachers need to provide students with many opportunities to practice problem formulation, translation, and transformation of equations so they can be efficient in solving algebra problems. In addition, students must have experiences with explaining the steps they take to solve problems.	Berliner, D. <i>The Half-full Glass: A Review of Research on Teaching</i> . Ed. P. Hosford, <i>Using What We Know About Teaching</i> . Alexandria, Va.: Association for Supervision and Curriculum Development, 1984.	August 2010	June 2013
Add additional pages as needed.					

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Critical Success Factor 1: Improve Academic Performance including (but not limited to) Reading/ELA and Math					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1A	Teachers will provide explicit vocabulary instruction both as part of reading and language arts classes and as part of content area classes such as science and social studies.	Learning specialized vocabularies contributes to the success of reading among adolescent students. By giving students explicit instruction in vocabulary, content area teachers help them learn the meaning of new words and strengthen their independent skills in constructing the meaning of text.	Office of Planning, Evaluation, & Policy Development (OPEPD): Doing What Works Research Based Education Practices Online. US Department of Education. U.S., n.d. Web. 17 May 2010. < http://dww.ed.gov/ >.	August 2010	June 2013
1A	Content area teachers and reading specialists can provide direct instruction in comprehension strategies by encouraging active participation with text as well as opportunities for both guided and independent practice.	Students can improve their comprehension through the use of specific comprehension strategies such as questioning and summarizing. Many students in middle and high school can decode the words in a text, but are unable to identify the central ideas or to explain the meaning of what they have just read.	Doing What Works Research Based Education Practices	August 2010	June 2013
1A	Teachers need to make connections across topics and help students understand these connections and build proficiency in Mathematics.	Build understanding of major algebra topics and connections: symbols and expressions, linear and quadratic equations, functions, algebra of polynomials, and finite probability.	Doing What Works Research Based Education Practices	August 2010	June 2013
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Critical Success Factor 1: Improve Academic Performance including (but not limited to) Reading/ELA and Math					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1A	Develop students' proficiency in solving problems, which includes problem formulation, problem translation, transformation of equations, and explanation of the steps in problem solving.	Students must be proficient in problem-solving skills to achieve success in algebra and geometry.	Doing What Works Research Based Education Practices	August 2010	June 2013
1A	Spacing Learning Over Time- spacing the introduction of material over time and by reviewing material with short quizzes, review games, targeted homework assignments, and exams.	A key aspect of effective teaching and learning is helping students to retain information over the course of the school year and beyond. Use quizzes and fun games for retrieval practice and retention.	Caldwell, J. H., Hultt, W. G., and Graeber, A.O. <u>Time Spent in Learning: Implications from Research.</u> <i>Elementary School Journal</i> , 1982: 471-480.	August 2010	June 2013
1A	Students are provided with samples questions including step by step processes followed with students completing independent practice questions	Worked examples can be provided for every other problem in a homework assignment or teachers can provide worked examples by thinking aloud with the whole class, assigning a similar problem, then doing another think aloud, followed by more practice.	Students benefit from this approach, learn effective problem-solving strategies, transfer these strategies more easily, and, ultimately, solve problems more quickly. Doing What Works Research Based Education Practices-online	August 2010	June 2013
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Critical Success Factor 1: Improve Academic Performance including (but not limited to) Reading/ELA and Math					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1A	Teachers will teach from Abstract concepts to Concrete concepts with students making connections to real-world applications. Connecting abstract ideas with concrete contexts can help students understand challenging topics and learn to transfer their understanding to new situations.	Creating connections between the abstract and the concrete will improve the likelihood that students will master what is being taught. There are many ways teachers can connect the abstract and the concrete including using stories, simulations, hands-on activities, visual representations, and real-world problem solving situations.	Teaching a new concept in purely abstract terms can make it difficult for students to fully understand what is being taught. Doing What Works Research Based Education Practices-online	August 2010	June 2013
1A	Teachers will teach students to use and apply Higher-Order thinking skills with Inquiry Questions and problem-solving techniques. There are a number of ways teachers can encourage their students to develop explanations. During class discussions, homework assignments, or while reading, teachers can encourage students to explain their thinking out loud or in writing.	Higher-order questions often start with question stems like: why, what caused, how did it occur, what if, how do they compare, or what is the evidence? When teachers ask higher-order questions and encourage explanations, they are helping their students to develop important critical thinking skills.	Doing What Works Research Based Education Practices-online	August 2010	June 2013
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Critical Success Factor 1: Improve Academic Performance Including (but not limited to) Reading/ELA and Math					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1A	Units of study that begin with a provocative question, or set of questions, will also encourage students to develop explanations and deepen their understanding of key content.	There are a number of ways teachers can encourage their students to develop explanations. During class discussions, homework assignments, or while reading, teachers can encourage students to explain their thinking out loud or in writing.	Doing What Works Research Based Education Practices-online	August 2010	June 2013
1A	Hands on Experiments and engaging activities in all core classes	Allows students the chance to "demonstrate" the concepts being taught.	Doing What Works Research Based Education Practices-online	August 2010	June 2013
1B	All core teachers' need to work at the curriculum and alignment that utilize the reader, Writer's Craft Vocabulary	Teacher use HAPG's - practice with the teaching calendar to create the curriculum	Schlechty, Phillip C. <u>Working on the Work: An Action Plan for Teachers, Principals, and Superintendents</u> . San Francisco, CA: Jossey - Bass, 2002.	August 2010	June 2013
1B	All core teachers will use a diagnostic assessment to determine what skills the students were supposed to learn	The teacher can then check for understanding before following with another round of demonstration and practice.	Schlechty, Phillip C. <u>Leading to Learning: How to Transform Schools into Learning Organizations</u> . San Francisco, CA: Jossey - Bass, 2009.	August 2010	June 2013
1C	Teachers leaders will be train in classroom observations and walkthroughs	To ensure that teachers receive frequent feedback from colleagues	HISD Best Practices	August 2010	June 2013

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CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
1C	Teachers need to provide a supportive environment for students to share more in-depth and analytical thoughts in class discussions (e.g., allow sufficient wait time for responses, provide sentence starters to scaffold explanations, create norms and structures for respectful dialogue).	Students are often reluctant to share their ideas beyond a one-word answer. Without a classroom culture that encourages academic risk-taking, students may limit their responses to simple, repetitive answers.	Doing What Works Research Based Education Practices-online	August 2010	June 2013
1C	Provide teachers with professional development about how to make question-asking and explanation-generating a natural part of the classroom environment.	Teachers need time to learn effective questioning strategies and to examine students' responses in a professional development environment that values well-supported arguments and critical thinking.	Doing What Works Research Based Education Practices-online	August 2010	June 2013
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Critical Success Factor 2: Increase the use of Quality Data to Drive Instruction					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
2A	Pre-Assessments/Post-Assessment once every grading period in all core courses.	The assessments are starting points to understand what students know, are learning, and what they weren't learning. Using data to inform instructional strategies, measure growth over time, and identify misunderstanding and measure of mastery is required to demonstrate increase student achievement.	Doing What Works Research Based Education Practices	August 2010	June 2013
2A	School-wide and Classroom Data training for all of faculty, staff, and administrators to identify achievement gaps, compare student performance on district and statewide assessments.	Assessing teaching practices and effectiveness of lesson taught and learned will set goals and expectations for increasing individual achievement Using data to inform instructional strategies, measure growth over time, and identify misunderstanding and measure of mastery is required to demonstrate increase student achievement.	Doing What Works Research Based Education Practices	August 2010	June 2013
2A	Focus on formal and informal assessments: campus and district CBAs, State assessments TAKS, EOCE, National assessments, Stanford 10 and NAEP, surveys, observations, running records, reading inventories, anecdotes, writing samples, student work, reflections, portfolios, checklists, interviews	Choosing the best data sources to gather information and examining the best ways to collect information in the most efficient way to evaluate strengths and weaknesses. Action research is critical to determining what it is we suppose is the cause of the problems and is one of the most important parts for creating, planning, and implementing an action plan or strategy.	Doing What Works Research Based Education Practices	August 2010	June 2013
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Critical Success Factor 2: Increase the use of Quality Data to Drive Instruction					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
2A	Immediate analysis: assessments are administered, graded, and analyzed within one week. This includes updating, tracking student's progress and updating parents, faculty, staff and administrators.	Students, parents, and teachers need immediate feedback on student progress. After each interim assessment week, the following week will be devoted to re-teaching difficult standards.	Schlechty, Phillip C. <u>Working on the Work: An Action Plan for Teachers, Principals, and Superintendents</u> . San Francisco, CA: Jossey - Bass, 2002.	August 2010	June 2013
2B	Teachers and administrators in their analyses will do line-item analysis and develop a targeted monthly cycle to address re-teaching of difficult standards.	To shift the focus of what was learned from what was taught in every core content area to eliminated the achievement gap between its student, district, state, and federal levels.	Schlechty, Phillip C. <u>Working on the Work: An Action Plan for Teachers, Principals, and Superintendents</u> . San Francisco, CA: Jossey - Bass, 2002.	August 2010	June 2013
2B	Utilizing aligned assessments and curriculum with the campus teaching calendar will create focused teaching on the most important standards.	During mandated meeting faculty and staff members will create action planned "Data Talks" based on assessment results.	Enhancing the effectiveness of observations and focusing on what students actually learned to make a tool to target and improve student achievement.	August 2010	June 2013
2B	One-to-one individual conferences with teachers and principal and other campus instructional leaders to guarantee quality analysis.	Gather information, analyze, and discuss findings. Thru these meeting increases accountability and review a concrete record of student/class achievement.	Enhancing the effectiveness of observations and focusing on what students actually learned to make a tool to target and improve student achievement.	August 2010	June 2013
2C	Clear and transparent communicates regarding the use of quality data to drive instruction.	The principal structures and communications processes and monitoring of data and inform all stakeholders on a continuous bases	Using data to inform instructional strategies, measure growth over time, and identify misunderstanding and measure of mastery is required to demonstrate increase student achievement.	August 2010	June 2013
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Critical Success Factor 3: Increase Leadership Effectiveness					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
3A	Engage the Instructional Leadership Team (ILT) in PD that will effectively assess and evaluate excellent teaching and learning.	Creating continuity in how campus leaders assess observed teaching with the strength to engage in conversations needed to improve teacher delivery of quality lessons.	"Doing What Works" Research Based Education Practices	August 2010	June 2013
3A	Leaders will engage in training that allows having fierce conversations needed with low performing teachers to improve instructional practice and student performance.	Encourages teacher leaders to be created and become accountable as they share practices	"Fierce Conversations" - Susan Scott "Working on the Work" - by Phillip C. Schlechty	August 2010	June 2013
3A	Provide ongoing ESC Coaching for the administrative and support staff on effective supervision, monitoring quality instruction, team collaboration, and effective assessment	Strengthen/build skills to ensure that the school moves from compliance to engagement. Consistency in evaluation of staff.	"Doing What Works" Research Based Education Practices "Creating Great Schools" - Phillip Schlechty.	August 2010	June 2013
3A	Integrate research based strategies into Professional Development (for example, providing articles, inviting guest speakers, book study, and online training) on effective practices that improve performance.	Using proven methods instrumental in transforming low performing schools to exemplary schools	"Leading to Learning: How To transform schools into Learning Organizations" Phillip C. Schlechty	August 2010	June 2013
3B	Create a modified accelerated block schedule that slots in more time on task during the school day and provides extended day and extended week support.	Fewer failing grades, Less time lost in the halls between classes , More time for student-teacher interaction, More time for labs and advanced topics with motivated students, More time for off-site work experiences for school-to-work programs	"The Block Scheduling Handbook" - James Queen	August 2010	June 2013
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Critical Success Factor 3: Increase Leadership Effectiveness					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
3B	Design/monitor full time tutorial service for low performing students in all core subjects during the instructional day.	To improve struggling students' performance in Math/ELA with additional and quality support and intervention during the instructional day.	"Tutoring Programs for Struggling Readers" by Lesley Morrow & Deborah Woo	August 2010	June 2013
3B	Leaders must create/attend/support opportunities for teachers and support staff to engage in quality, uninterrupted, common planning at least three times per week.	To create commitment from the top down. Provide opportunity for staff to review, modify, and adjust needed changes to improve instruction and student performance and teacher behaviors.	"High Impact Tools and Activities for Strategic Planning: Creative Techniques for Facilitating Your Organization's Planning Process"- Rod Napier	August 2010	June 2013
3B	Require daily feedback to walk through observations reports recording the results of daily administrative walk-through observations - due at 8:30 a.m. the next day for review in 30-Minute Sharpening the Saw Meetings with principal	To have instant and continuous feedback on teaching performance to ensure appropriate support is rendered to improve instruction quickly and effectively.	"Working on the Work" - by Phillip C. Schlechty	August 2010	June 2013
3C	All stakeholders will analysis the use of district and campus benchmarks, formative assessments, and summative assessments data to make data driven decision.	As more data is collected and stored, stakeholders at all levels ask for examples of how to use data effectively to guide instruction and improvement.	"Doing What Works" Research Based Education Practices	August 2010	June 2013
3C	Data will be available to teachers quickly in district profiles, or through reports from district and state databases.	Provide opportunity for staff to review, modify, and adjust needed changes to improve instruction and student performance and teacher behaviors.	"Doing What Works" Research Based Education Practices	August 2010	June 2013
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Critical Success Factor 4: Improve Learning Time					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
4A	Academic Learning Time protected during the instructional school day by reducing interruptions	If learners spend more time at learning and use that time well, then they will learn more effectively.	Academic learning time (ALT) is the amount of time a student spends attending to relevant academic tasks while performing those tasks with a high rate of success (Caldwell, Huitt, and Graeber, 1982; Berliner, 1984)	August 2010	June 30, 2013
4A	Bell to Bell instruction with warm up activities, engaging in curriculum, instruction, and assessment.	If learners spend more time at learning and use that time well, then they will learn more effectively.	Academic learning time (ALT) is the amount of time a student spends attending to relevant academic tasks while performing those tasks with a high rate of success (Caldwell, Huitt, and Graeber, 1982; Berliner, 1984)	August 2010	June 2013
4C	Teachers must meet collaboratively during their common planning five times days a week.	Collaboration is the key to increasing student achievement by reflective practices.	Doing What Works Research Based Education Practices	August 2010	June 2013
4C	Teachers will attend two weekly 90 minutes training and receive additional compensation.	Encourage teachers to take risks, try new instructional strategies and propose instructional innovation in their classrooms.	Doing What Works Research Based Education Practices	August 2010	June 2013

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Critical Success Factor 5: Increase Parent/Community Involvement					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
5A	Establish systems of gathering feedback from parents and school staff for identifying numerous ways parents can choose to volunteer.	Facilitate regular, two-way, and meaningful communications between home and school.	Freiberg, H. Jerome. <u>School Climate: Measuring, Improving and Sustaining Healthy Learning Environments</u> . London: Routledge Falmer, 1999.	August 2010	June 2013
5A	Encourage parent participation in community service, service-learning, field-trips, and project-based learning activities.	Ensure that parents/guardians are welcome in the school and seek their support and assistance in various ways.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5A	Develop network to link all families with school or district parent representatives and liaisons.	Advocacy and Decision -- Making include parents/guardians as partners in the decisions that affect children/families.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Establish a school-wide volunteer program to encourage parents, teachers, and administrators to cooperate and participate in building strong, supportive relationships.	Ensure that parents/guardians are welcome in the school and seek their support and assistance in various ways.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5C	Establish a resource center for parents and volunteers as a meeting place, work-site' or workshop area.	Ensure that parents/guardians are welcome in the school and seek their support and assistance in various ways.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5A	Recruit parents to volunteer as patrols, monitors, proctors, or other activities to assist in the school.	Welcome parents in the school and seek their support and assistance in a variety of ways.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5A	Develop network to link all families with school or district parent representatives and liaisons.	Advocacy and Decision -- Making include parents/guardians as partners in the decisions that affect children/families.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
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Critical Success Factor 5: Increase Parent/Community Involvement					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
5B	Include parents in the active recruitment of other parents for involvement of their programs aligned to school goals.	Advocacy and Decision - Making include parents/guardians as partners in the decisions that affect children and families.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Have appropriate representation of parents on various committees and improvement.	Advocacy and Decision - Making include parents/guardians as partners in the decisions that affect children and families.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Provide training to families about internet access. Monitor their student's attendance and academic progress.	Advocacy and Decision - Making include parents/guardians as partners in the decisions that affect children and families.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Establish systems of communication that will ensure that all information about policies, procedures, and expectations are available to all parents.	Facilitate regular, two-way, and meaningful communications between home and school.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Provide information and guidance; understanding standards, curriculum, and assessments.	Facilitate regular, two-way, and meaningful communications between home and school.	The Education Alliance: Teaching Diverse Learners	August 2010	June 2013
5B	Establish a school-wide system of sharing information that is ongoing, timely, and understandable to parents/guardians throughout the year.	Facilitate regular, two-way, meaningful communications between home and school.	Freiberg, H. Jerome. <u>School Climate: Measuring, Improving and Sustaining Healthy Learning Environments</u> . London: Routledge Falmer, 1999.	August 2010	June 2013
5B	Hold conferences as requested by parents or school based on students' individual needs.	Facilitate regular, two-way, meaningful communications between home and school.	The Education Alliance: Teaching Diverse Learners	August 2010	June 2013
Add additional pages as needed.					

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Texas Title I Priority Schools Grant Schedule #4B—Program Description					
Part 3: Intervention Model					
Section D: Improvement Activities and Timeline (cont.)					
Critical Success Factor 5: Increase Parent/Community Involvement -					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
5B	Hold school and community-based meetings to help parents understand schools needs and expectations at different levels of the educational process.	Community Collaboration: Collaborate with community agencies and other organizations to provide resources to strength students.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5B	Establish and maintain partnership with business, faith-based organization	Community Collaboration: Collaborate with community agencies and other organizations to provide resources to strength students.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
5C	Provide training for school community so that they share a common set of knowledge, standards, and expectations	Community Collaboration: Collaborate with community agencies and other organizations to provide resources to strength students.	The Education Alliance: Teaching Diverse Learners	August 2010	June 2013
5C	Provide resources directories and service learning opportunities to enhance involvement with community by students, families, and school.	Community Collaboration: Collaborate with community agencies and other organizations to provide resources to strength students.	The Education Alliance: Teaching Diverse Learners	August 2010	June 2013
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Part 3: Intervention Model					
Section D: Improvement Activities and Timeline (cont.)					
Critical Success Factor 6: Improve School Climate					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
6A	Provide an atmosphere where students want to attend school by providing a way out for the dropout through student interest surveys.	Improved school/class attendance increases students' ability to perform due to more time on task.	The School's Practitioner's Concise Companion to Presenting Dropout and Attendance Problems – Franklin, Meares, and Harris	August 2010	June 2013
6A	Design frequent opportunities to build Home-School Partnerships to improve student achievement and parent participation.	Increased parent engagement is linked to improved student performance and attendance in schools.	Programs for At-Risk Students – Rita Sullivan	August 2010	June 2013
6B	Create/implement an effective School- wide Discipline Management Plan	Decrease the number of disruptions with strategies proven to redirect off-task behavior by teacher and student.	Conscious Discipline: 7 Basic Skills for Brain Smart Classroom Management- Becky Bailey	August 2010	June 2013
6C	All staff will engaged in a Campus Book Study	Staff will learn how to use learning environment assessments to improve classroom and the school climate	Freiberg, H. Jerome. <u>School Climate: Measuring, Improving and Sustaining Healthy Learning Environments.</u> London: Routledge Falmer, 1999.	August 2010	June 2013
6C	Provide extra -curricular activities that promote an atmosphere that engages the interest of the "whole child."	Extra-curricular activities help students learn time management, get involved in diverse interest, support their versatility on scholarship applications, and allow students to learn about long-term commitment, and raises self-esteem.	Extra-curricular Activities in The High School by Charles Foster.	August 2010	June 2013
6C	Collaborate with student groups to lobby and work for school improvement.	Welcome students are partners in the school and seek their support and assistance in a variety of ways.	The Education Alliance: Teaching Diverse Learners -- Family and Community	August 2010	June 2013
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Part 3: Intervention Model					
Section D: Improvement Activities and Timeline (cont.)					
Critical Success Factor 7: Increase Teacher Quality					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
7A	Create comprehensive induction system in which mentoring and formative assessment are key components	Retention of effective teachers and induction of new teachers will reduce teacher turnover and serve the school well.	National Comprehensive Center for Teacher Quality Key Issue: Increasing Teacher Retention to Facilitate Equitable Distribution of Effective Teachers. May 2009 Molly Lasagna Learning Points Associates http://www.tqsource.org/publications/KeyIssue_TeacherRetention.pdf	August 2010	June 2013
7B	Provide professional development to teachers includes learning needs for novices, 2 nd years, and leadership skill for experienced teachers.	Committing to improving retention will communicate to teachers that the ultimate goal is improving student instruction and raise student achievement.	National Comprehensive Center for Teacher Quality Key Issue: Increasing Teacher Retention to Facilitate Equitable Distribution of Effective Teachers. May 2009 Molly Lasagna Learning Points Associates http://www.tqsource.org/publications/KeyIssue_TeacherRetention.pdf	August 2010	June 2013
7C	Create school climate that fosters collaboration. Create diversified pay structure that rewards quality performance. Provide advancement and leadership opportunities for teachers.	Retaining quality teachers and the effective induction of new teachers create stability and growth among the teaching force.	National Comprehensive Center for Teacher Quality Key Issue: Increasing Teacher Retention to Facilitate Equitable Distribution of Effective Teachers. May 2009 Molly Lasagna Learning Points Associates http://www.tqsource.org/publications/KeyIssue_TeacherRetention.pdf	August 2010	June 2013

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Section D: Other Improvement Activities and Timeline (cont.)					
CSF Milestone	Additional Improvement Activity	Rationale	Supporting Research	Timeline Begin Date	Timeline End Date
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Part 4: Waiver Requests		
Applicants must check the waivers in which the LEA/campus intends to implement.		
☒ Extending the period of availability of school improvement funds. <i>This waiver extends the "life" of the funds for two additional years; allowing the state to fund the grant period for three years to the LEA on behalf of the eligible campus, as long as the campus meets the requirements of implementation of the grant program.</i> Note: Since TEA has requested and received a waiver of the period of availability of these school improvement grant funds, this waiver automatically applies to all LEAs in the State and <i>must</i> be checked. ☐ "Starting over" in the school improvement timeline for Tier I and Tier II Title I participating schools implementing a turnaround or restart model. <i>Under this waiver, the LEA with an eligible Tier I or Tier II campus implementing the turnaround model or restart model may have their School Improvement status reset regardless of the actual AYP status and other school improvement interventions, such as School Choice and Supplemental Education Services (SES) would not be applicable. This waiver allows the campus two years to effectively implement the selected turnaround or restart model of reform without additional statutory school improvement interventions being required.</i> ☐ Implementing a school wide program in a Tier I or Tier II Title I participating school that does not meet the 40 percent poverty eligibility threshold. <i>This waiver allows a Tier I Title I campus that otherwise does not qualify to operate a Schoolwide program to do so in order to implement the Tier I reform model selected.</i>		

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Schedule #4C—Performance Assessment and Evaluation		
Part 1: Component Description. By submitting this application, the applicant agrees to comply with any reporting and evaluation requirements that TEA may establish and to submit the reports in the format TEA requests. (Response limited to one page each, font size no smaller than 9 pt, Arial or Verdana)		
Section A: Ongoing Monitoring/Continuous Improvement - Describe the LEA/campus' process for providing ongoing monitoring of grant activities to ensure continuous improvement.		
The proposed project's evaluation plan includes both formative and summative components to ensure ongoing monitoring for continuous improvement. The overall responsibility for implementing the project's evaluation plan, at the campus level, is the responsibility of the Project Administrator (PA). The PA will work closely with the Houston ISD Research and Accountability Department to facilitate the implementation and monitoring of the proposed project. As PA with assistance of the Houston ISD Research and Accountability Department, the PA will report, where appropriate on a quarterly and end-of-year basis, both formative and summative program findings to the Campus Principal and school staff, parents and community members, key partners, the Houston ISD Superintendent of Schools and Board of Trustees, and the Texas Education Agency.		
The products of the ongoing monitoring and formative evaluation will be used to provide timely, regular, and useful feedback to the district and school community on the success and progress of implementation, as well as to identify areas for needed improvement. The plan for ongoing monitoring for continuous improvement will be based on the stated goals and objectives, as outlined previously. The proposed project's processes will be continually reviewed and improved.		
In order to ensure that a process for ongoing monitoring for continuous improvement is implemented, the evaluation design will follow an action plan, at the campus level that:		
• Will convene regular meetings with staff and other stakeholders for discussing monitoring and progress; • Based on these meetings with stakeholders for discussing monitoring and progress, program modifications, as needed, will be formulated and implemented; • Surveys will be provided, at any time, to elicit feedback from students, parents, and other stakeholders; and • For issues that cannot be resolved at the campus level, the Campus Principal will report these challenges, in a timely manner, to the Superintendent of Schools for quick resolution.		
The plan will articulate policies and procedures for facilitating ongoing monitoring and continuous improvement will be made available and will be communicated to all staff and stakeholders at the beginning of the project's grant period, as well as available for reference, at any time, by making a request to the PA.		

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Section B: Formative Evaluation- Describe the LEA/campus' process for formative evaluation, including how the results of the evaluation will be used to improve the grant program		
The proposed project's evaluation plan includes both formative and summative components. A goals-based approach will be used to evaluate the proposed project. The overall evaluation will be a multiple operation framework that will present and clarify, as appropriate, data outcomes to produce a comprehensive evaluation. Quantitative and qualitative methodologies will be used in data collection and analysis, based on which continuous improvement mechanisms that will be implemented.		
The overall responsibility for implementing the project's evaluation plan, at the campus level, is the responsibility of the Project Administrator (PA). The PA will work closely with the Houston ISD Research and Accountability Department to facilitate the implementation of the formative evaluation.		
The formative evaluation will be conducted to determine if the proposed activities are indeed being implemented, as described in the project's goals and objectives, and whether or not they are contributing to the overall success of the program. Progress reports will be prepared by the PA, with the assistance of the Houston ISD Research and Accountability Department, and will be submitted in a timely manner to TEA, as required.		
The PA will utilize the goals and objectives to assess the following general indicators:		
(1) To what extent are the activities of the proposed program being implemented as planned; (2) To what extent are the objectives of the proposed program being met; (3) To what extent is the quality of the professional development meeting the needs of the teachers and school; (4) To what degree has the proposed program stimulated systemic changes in the teacher knowledge, skills, and practice as it relates to improving the quality of education; (5) To what degree has this program impacted student achievement; and (6) To what extent has parent participation increased?		
The formative evaluation plan will also assess all grant-related activities, as implemented. The formative evaluation reports will provide detailed analysis and project findings on the following:		
• Quality, type, and degree of planning, implementation, and evaluation of project activities; • Quality, type, and degree of collaboration with project partners; • Quality and level of communication with and reporting to management on the progress of the project and any problems encountered; • Quality, type, and degree of participatory involvement practiced by the principals, teachers, parents, and, when appropriate, students; • Quality, type, and level of professional development activities; • Quality, type, and level of services actually provided to the targeted population; • Quality and type of curriculum that is utilized; • Quality and type of instruction; • Quality of any products or documents developed as part of the project; • Strengths and weaknesses of the project design, implementation, and evaluation; and • Extent to which recommendations for modifying or improving the program as a result of on-going evaluation activities were implemented.		

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Section C: Qualitative and Quantitative Data Collection Methods- Describe the LEA/campus' process for data collection methods to be implemented and 1) how the data will be disaggregated; 2) used to improve instruction; and 3) obtain continuous improvement results		
The overall responsibility for implementing the project's qualitative and quantitative data collection methods, at the campus level, is the responsibility of the Project Administrator (PA). The PA will work closely with the Houston ISD Research and Accountability Department to facilitate the implementation of the qualitative and quantitative data collection methods.		
Under the guidance of the Houston ISD Research and Accountability Department, all data, via established, standardized protocols at the participating campus, will be collected, analyzed, and reported by comparing baseline data to demonstrated quantitative and qualitative performance and processes within the school for each year of the grant period. A timeline of key evaluation dates will be established. Prior to project implementation, the PA, principal, key partners, and the Houston ISD Research and Accountability Department, will meet to establish and implement the evaluation design to ensure the smooth collection, analysis, and reporting of all quantitative and qualitative findings.		
The quantitative and qualitative data that will be collected, as part of the evaluation plan, includes data from student performance indicators (e.g., overall student academic achievement; state assessment tests; percentage of students performing at/above grade level; promotion rates) implementation indicators (e.g., how well the project is implemented in meeting objectives on time and within budget), including staff development, parent involvement and training, and program context indicators. The evaluation plan will aggregate and disaggregate measures of student academic achievement, by student populations. Data will be collected for multiple sub-populations of students.		
Data collection methods will include project records, student records, test results, and survey results – including but not limited to: Student and faculty climate surveys conducted annually; student achievement and test scores reported and evaluated by grading period, semester, and annually; numbers of parents, community members, students and faculty participating in project activities reported annually; and student surveys and evaluation of work-based learning experiences reported by semester.		
Data collection methods are designed to elicit feedback and performance assessment from all stakeholders, and address all strategies and activities related to the project. Depending on the scale level of the data collected, qualitative and/or quantitative analytical procedures may be used to process and present the product evaluation findings.		

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Describe the process to be implemented to develop the campus' performance goals. Include the groups participating in the development of the goals. Responses are limited to two pages , front side only, with a font size no smaller than 9 point (Arial or Verdana).		
The process to be implemented by which Dowling Middle School will continuously develop the campus' performance goals includes input from the campus leadership team, campus teacher leaders, groups of student and parent stakeholders, district-level administrators, and other community members. The premise behind Site-based Decision Making (SBDM) is that the most effective decisions in improving student performance and enhancing accountability are made by those individuals who will actually implement the decisions. In the case of preparing these campus performance goals, campus level staff have a greater ability to identify problems, collaborate for solutions, and create positive results because they are the individuals with first hand knowledge of campus needs. Once the entire staff has been involved in studying the data, prioritizing, and determining the areas that need to be addressed, the Site-based Decision Making Committee (SDMC) begins their work of putting a plan together to address the identified needs. The plan will then go back to the staff as a whole for agreement and implementation. The campus principal will initiate the process by soliciting input from parents by way of a focus group that asks questions concentrating on: ▪ What information and data can and should teachers provide parents, and how can this information best be presented? ▪ Can teachers and school staff co-create with families' mechanisms and processes to communicate and collaborate to improve student achievement? ▪ What resources should the school have beyond learning in the classroom to support student success (e.g., out-of-school time opportunities in community)? By garnering the attention of parents on how parents feel they can best be served by the campus with regards to their child's progress will assist the campus with increased parent engagement and effect achievement in the process. Parents will also be presented with baseline data, what it means to the campus accountability rating, and what it means more personally, to their child and their child's academic progress. The results and findings of the focus group will be compiled and presented by the principal to the leadership team. The campus principal will conduct a similar focus group for students ensuring that student voices are included in the campus transformation process. The questions leading the discussion in the student focus groups are: ▪ What's going well in our school? ▪ If you could change one thing in our school, what would it be? ▪ What improvement should be made in our community or world and how can you help? ▪ What do you feel could help you learn? ▪ Do you feel safe within the current school climate? Data will then be generated and issues/concerns will be prioritized. Research shows that If we really want students to own their own learning, then we need to give them opportunities and the responsibility to be involved in decisions that affect them (National Association of Secondary School Principals, 2008; National Association of Student Councils, 2008). Next, the leadership team will be apprised of the feedback from parents and students by the principal. Discussions will be held among this team regarding the newly compiled qualitative data from the students and parents. Each member of the leadership team will then meet with teacher leaders within each of the campus PLCs for data driven decision making to take place. Each PLC (whether organized into interdisciplinary teams or departmental teams) will have to utilize the qualitative data in conjunction with the qualitative data (current student achievement data) to assess the status of the campus needs.		

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Describe the process to be implemented to develop the campus' performance goals. Include the groups participating in the development of the goals. Responses are limited to two pages , front side only, with a font size no smaller than 9 point (Arial or Verdana).											
PLCs will focus on the following topics/questions in reviewing and making data-driven decisions regarding student learning outcomes, instructional practices, and other research-based strategies centered on positive student achievement and growth:											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 5px;">Faculty topic of review</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;">▪ State Curricular objectives</td> </tr> <tr> <td style="padding: 5px;">▪ Assessments (formal-informal)</td> </tr> <tr> <td style="padding: 5px;">▪ Re-teaching – Instructional Techniques – Intensive Tutoring – Reassessment</td> </tr> <tr> <td style="padding: 5px;">▪ Differentiate Instruction (increase rigor and expectations)</td> </tr> </tbody> </table>	Faculty topic of review	▪ State Curricular objectives	▪ Assessments (formal-informal)	▪ Re-teaching – Instructional Techniques – Intensive Tutoring – Reassessment	▪ Differentiate Instruction (increase rigor and expectations)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 5px;">PLC Questions</th> </tr> </thead> <tbody> <tr> <td style="padding: 5px;"><i>What do students need to know and be able to do?</i></td> </tr> <tr> <td style="padding: 5px;"><i>How will we know when they have learned it?</i></td> </tr> <tr> <td style="padding: 5px;"><i>What will we do when they have not learned it?</i></td> </tr> <tr> <td style="padding: 5px;"><i>What will we do when they already know it?</i></td> </tr> </tbody> </table>	PLC Questions	<i>What do students need to know and be able to do?</i>	<i>How will we know when they have learned it?</i>	<i>What will we do when they have not learned it?</i>	<i>What will we do when they already know it?</i>
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Once each PLC has reviewed and discussed the data, prioritized recommendations should be drafted by each PLC and forwarded to the campus principal and SDMC for further review and discussion. <u>The SDMC and campus administration will be charged with critically looking at the:</u> 1. extent to which strategies and activities were implemented as planned to achieve the stated goals, as indicated through the documentation of student and adult participation rates, student academic achievement (i.e., classroom grades, pre- and post-tests, and overall performance on all TAKS tests and other assessment tests), student promotion/retention rates, student disciplinary infraction rates, student enrollment and participation rosters in activities, teacher observations, surveys of program participants, and TEA's progress reports; 2. effectiveness of strategies and activities in achieving goals documented through: comparison of various assessments, increased student/parent participation rates, increased community involvement, decreased retention and disciplinary rates, increased student academic achievement and more students performing at or above grade level on the state assessment; 3. impact of strategies and activities on participants, periodic surveys, questionnaires, evaluations, and needs assessments, will be completed by parents, teachers, students, and community leaders. Attendance sheets will document the level of participation; and finally 4. extent to which the performance targets were met. Annually, the members of the SDMC will formatively monitor the reform implementation activities of the grant during to address the progress made on the performance goals. The campus principal with the assistance of the technical assistance provider will keep the Transformation School Improvement Officer abreast of progress on a continuous basis. Feedback will be continually gathered, disseminated, discussed, and acted upon to ensure continuous improvement and accountability.											

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Part 3: Annual Performance Goals						
Improve Academic Performance – Enter the annual goals for student achievement, on both the State's assessments and other measures identified by the LEA, to which the LEA is holding the campus accountable						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Improve Student Achievement in Reading/ELA	TAKS	81%	83%	85%	90%
2	Improve Student Achievement in Mathematics	TAKS	66%	70%	75%	80%
3	Improve Student Achievement in Science	TAKS	61%	65%	75%	80%
4						
5						
Increase the Use of Quality Data to Drive Instruction – Enter the annual goals for increasing the use of quality data to drive instruction, to which the LEA is holding the campus accountable.						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Increase the use of Quality Data Driven Instruction	Walkthroughs/ Lesson Plans	80%	85%	90%	95%
2	Increase the use of student performance discussions	PLC Agenda, minutes, and sign in sheets	85%	90%	95%	100%
3	Increase the timely use of Curriculum Based Assessments (CBA)	Campus Online Report	85%	90%	95%	100%
4						
5						

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Increase Leadership Effectiveness— Enter the annual goals for increasing the effectiveness of campus leadership, to which the LEA is holding the campus accountable.						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Professional Development	Agenda/Sign-In Sheets	80%	85%	90%	95%
2	Book Studies	Agenda/Sign-In Sheets	80%	85%	90%	95%
3	Supervision, monitoring quality instruction, team collaboration, effective assessment of student learning	-PDAS -Classroom Observations -Walkthroughs	50%	80%	90%	95%
4	Integrate research based strategies into Professional Development	Evaluation Feedback Annual Surveys Student Data	50%	80%	90%	95%
5	Increase Professional Communities Collaborative Planning and Learning Time	- PLC agenda, minutes, and sign in sheets	50%	80%	85%	90%
6	Creating Community Oriented Environment	Evaluation Feedback Annual Surveys Data Analysis	50%	80%	85%	90%
Increase Learning Time – Enter the annual goals for increasing learning time on the campus, to which the LEA is holding the campus accountable.						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Provide Saturday Tutoring	Campus Report	48 hours	48 hours	48 hours	48 hours
2	Provide After-school Tutoring	Campus Report	85 hours	85 hours	85 hours	85 hours
3	Provide In house Tutoring	Campus Report	140 hours	320 hours	320 hours	320 hours
4						
5						

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Texas Title I Priority Schools Grant

Schedule # 4C—Performance Assessment and Evaluation

Part 3: Annual Performance Goals

Increase Parent/Stakeholder Involvement – Enter the annual goals for Increasing parent and community involvement, to which the LEA is holding the campus accountable.

#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	TAKS Family Night	Sign-In sheets/ Agendas	30%	40%	50%	60%
2	Open House	Sign-In Sheets/ Agendas	40%	50%	60%	70%
3	PAC Meeting	Sign-In Sheets/Agendas	10%	20%	25%	30%
4	Parents included in decision Making Committees about School Improvement plans	Needs Assessment Survey Student Data	10%	30%	50%	70%
5	Increase parent participation in a school wide volunteer program to encourage and build strong and supportive relationships	Evaluation Feedback Annual Surveys	2%	15%	20%	30%
6	Establish a school-wide system of sharing information that is going timely and on-understandable to parents.	Newsletters Connect -Ed PTO Meeting	N/A	30%	70%	90%
7	Hold school-wide meetings to help parents understand school needs and expectations process.	PTO Meeting Sign In sheets Evaluation Feedback	3%	25%	50%	70%

Improve School Climate – Enter the annual goals for Improving the school climate, to which the LEA is holding the campus accountable.

#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Attendance	Student Data	94.6%	96%	97%	98%
2	Decrease Number of Discipline Referrals	Student Data	40%	30%	25%	20%
3	Improvement In school climate	Parent Student Survey	25%	50%	75%	90%
4	Improvement In school climate	Faculty and Staff Survey	40%	60%	75%	90%

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Schedule # 4C—Performance Assessment and Evaluation						
Part 3: Annual Performance Goals						
Increase Teacher Quality – Enter the annual goals for increasing teacher quality by measures identified by the LEA, to which the LEA is holding the campus accountable.						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1	Informal Teacher Assessments	Walkthroughs	80%	85%	90%	95%
2	Professional Development	Sign-In Sheets	85%	90%	95%	100%
3						
4						
5						
Other – Enter any other annual goals for improvement to which the LEA is holding the campus accountable.						
#	Performance Measure	Assessment Instrument/ Tool	Most Recent Year Performance	Year 1 Progress Goal	Year 2 Progress Goal	Year 3 Progress Goal
1						
2						
3						
4						
5						

NIA

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Schedule # 4D—Equitable Access and Participation: Barriers and Strategies				
No Barriers				
#	No Barriers	Students	Teachers	Others
000	The applicant assures that no barriers exist to equitable access and participation for any groups.	☐	☐	☐
Barrier: Gender-Specific Bias				
#	Strategies for Gender-specific Bias	Students	Teachers	Others
A01	Expand opportunities for historically underrepresented groups to fully participate	☒	☐	☐
A02	Provide staff development on eliminating gender bias	☐	☐	☐
A03	Ensure strategies and materials used with students do not promote gender bias	☐	☐	☐
A04	Develop and implement a plan to eliminate existing discrimination and the effects of past discrimination on the basis of gender	☐	☐	☐
A05	Ensure compliance with the requirements in Title IX of the Education Amendments of 1972, which prohibits discrimination on the basis of gender	☐	☐	☐
A06	Ensure students and parents are fully informed of their rights and responsibilities with regard to participation in the program	☐	☐	☒
A99	Other (Specify)	☐	☐	☐
Barrier: Cultural, Linguistic, or Economic Diversity				
#	Strategies for Cultural, Linguistic, or Economic Diversity	Students	Teachers	Others
B01	Provide program information/materials in home language	☐	☐	☐
B02	Provide interpreter/translator at program activities	☐	☐	☒
B03	Increase awareness and appreciation of cultural and linguistic diversity through a variety of activities, publications, etc.	☐	☐	☐
B04	Communicate to students, teachers, and other program beneficiaries an appreciation of students' and families' linguistic and cultural backgrounds	☒	☐	☐
B05	Develop/maintain community involvement/participation in program activities	☐	☐	☐
B06	Provide staff development on effective teaching strategies for diverse populations	☐	☐	☐
B07	Ensure staff development is sensitive to cultural and linguistic differences and communicates an appreciation for diversity	☒	☒	☒
B08	Seek technical assistance from Education Service Center, Technical Assistance Center, Title I, Part A School Support Team, or other provider	☐	☐	☐
B09	Provide parenting training	☐	☐	☒
B10	Provide a parent/family center	☐	☐	☐
B11	Involve parents from a variety of backgrounds in decision making	☐	☒	☒
B12	Offer "flexible" opportunities for parent involvement including home learning activities and other activities that don't require parents to come to the school	☐	☐	☒
B13	Provide child care for parents participating in school activities	☐	☐	☒
B14	Acknowledge and include family members' diverse skills, talents, and knowledge in school activities	☒	☒	☒
B15	Provide adult education, including GED and/or ESL classes, or family literacy program	☐	☐	☒
B16	Offer computer literacy courses for parents and other program beneficiaries	☐	☐	☒

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Schedule # 4D—Equitable Access and Participation: Barriers and Strategies				
Barrier: Cultural, Linguistic, or Economic Diversity (cont.)				
#	Strategies for Cultural, Linguistic, or Economic Diversity	Students	Teachers	Others
B17	Conduct an outreach program for traditionally "hard to reach" parents	☒	☒	☒
B18	Coordinate with community centers/programs	☐	☐	☐
B19	Seek collaboration/assistance from business, industry, or institution of higher education	☐	☐	☐
B20	Develop and implement a plan to eliminate existing discrimination and the effects of past discrimination on the basis of race, national origin, and color	☐	☐	☐
B21	Ensure compliance with the requirements in Title VI of the Civil Rights Act of 1964, which prohibits discrimination on the basis of race, national origin, and color	☐	☐	☐
B22	Ensure students, teachers, and other program beneficiaries are informed of their rights and responsibilities with regard to participation in the program	☐	☐	☐
B23	Provide mediation training on a regular basis to assist in resolving disputes and complaints	☒	☒	☒
B99	Other (Specify)	☐	☐	☐
Barrier: Gang-Related Activities				
#	Strategies for Gang-related Activities	Students	Teachers	Others
C01	Provide early intervention.	☐	☐	☐
C02	Provide Counseling.	☐	☐	☐
C03	Conduct home visits by staff.	☐	☐	☐
C04	Provide flexibility in scheduling activities.	☐	☐	☐
C05	Recruit volunteers to assist in promoting gang-free communities.	☐	☐	☐
C06	Provide mentor program.	☐	☐	☐
C07	Provide before/after school recreational, instructional, cultural, or artistic programs/activities.	☒	☒	☒
C08	Provide community service programs/activities.	☐	☐	☐
C09	Conduct parent/teacher conferences.	☐	☐	☐
C10	Strengthen school/parent compacts.	☐	☐	☐
C11	Establish partnerships with law enforcement agencies.	☐	☐	☐
C12	Provide conflict resolution/peer mediation strategies/programs.	☒	☒	☒
C13	Seek collaboration/assistance from business, industry, or institution of higher education.	☐	☐	☐
C14	Provide training/information to teachers, school staff, & parents to deal with gang-related issues.	☐	☐	☐
C99	Other (Specify)	☐	☐	☐
Barrier: Drug-Related Activities				
#	Strategies for Drug-related Activities	Students	Teachers	Others
D01	Provide early identification/intervention.	☐	☐	☐
D02	Provide Counseling.	☒	☒	☒
D03	Conduct home visits by staff.	☐	☐	☐
D04	Recruit volunteers to assist in promoting drug-free schools and communities.	☐	☐	☐
D05	Provide mentor program.	☒	☒	☒

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Schedule # 4D—Equitable Access and Participation: Barriers and Strategies		
Barrier: Drug-Related Activities (cont.)		
D06	Provide before/after school recreational, instructional, cultural, or artistic programs/activities	☐
D07	Provide community service programs/activities	☐
D08	Provide comprehensive health education programs.	☐
D09	Conduct parent/teacher conferences.	☐
D10	Establish school/parent compacts.	☒
D11	Develop/maintain community partnerships.	☐
D12	Provide conflict resolution/peer mediation strategies/programs.	☐
D13	Seek collaboration/assistance from business, industry, or institution of higher education.	☐
D14	Provide training/information to teachers, school staff, & parents to deal with drug-related issues.	☐
D15	Seek Collaboration/assistance from business, industry, or institution of higher education.	☒
D99	Other (Specify)	☐
Barrier: Visual Impairments		
#	Strategies for Visual Impairments	Students Teachers Others
E01	Provide early identification and intervention.	☐
E02	Provide Program materials/Information in Braille.	☐
E03	Provide program materials/Information in large type.	☐
E04	Provide program materials/Information on tape.	☐
E99	Other (Specify)	☐
Barrier: Hearing Impairments		
#	Strategies for Hearing Impairments	Students Teachers Others
F01	Provide early identification and intervention.	☐
F02	Provide interpreters at program activities.	☐
F99	Other (Specify)	☐
Barrier: Learning Disabilities		
#	Strategies for Learning Disabilities	Students Teachers Others
G01	Provide early identification and intervention.	☐
G02	Expand tutorial/mentor programs.	☐
G03	Provide staff development in identification practices and effective teaching strategies.	☐
G04	Provide training for parents in early identification and intervention.	☐
G99	Other (Specify)	☐
Barrier: Other Physical Disabilities or Constraints		
#	Strategies for Other Physical Disabilities or Constraints	Students Teachers Others
H01	Develop and implement a plan to achieve full participation by students with other physical disabilities/constraints.	☐
H99	Other (Specify)	☐

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Schedule # 4D—Equitable Access and Participation: Barriers and Strategies				
Barrier: Absenteeism/Tuancy				
#	Strategies for Absenteeism/Tuancy	Students	Teachers	Others
K01	Provide early identification/intervention.	☐	☐	☐
K02	Develop and implement a truancy intervention plan.	☐	☐	☐
K03	Conduct home visits by staff.	☒	☒	☒
K04	Recruit volunteers to assist in promoting school attendance.	☐	☐	☐
K05	Provide mentor program.	☒	☒	☒
K06	Provide before/after school recreational or educational activities.	☒	☒	☒
K07	Conduct parent/teacher conferences.	☒	☒	☒
K08	Strengthen school/parent compacts.	☒	☒	☒
K09	Develop/maintain community partnerships.	☐	☐	☐
K10	Coordinate with health and social services agencies.	☐	☐	☐
K11	Coordinate with the juvenile justice system.	☐	☐	☐
K12	Seek collaboration/assistance from business, industry, or institution of higher education.	☒	☒	☒
K99	Other (Specify)	☐	☐	☐
Barrier: High Mobility Rates				
#	Strategies for High Mobility Rates	Students	Teachers	Others
L01	Coordinate with social services agencies	☒	☒	☒
L02	Establish partnerships with parents of highly mobile families.	☒	☒	☒
L03	Establish/maintain timely record transferal system.	☐	☐	☐
L99	Other (Specify)	☐	☐	☐
Barrier: Lack of Support from Parents				
#	Strategies for Lack of Support from Parents	Students	Teachers	Others
M01	Develop and implement a plan to increase support from parents.	☐	☐	☐
M02	Conduct home visits by staff.	☐	☐	☐
M03	Recruit volunteers to actively participate in school activities.	☐	☐	☐
M04	Conduct parent/teacher conferences.	☐	☐	☐
M05	Establish school/parent compacts.	☒	☒	☒
M06	Provide parenting training.	☐	☐	☐
M07	Provide a parent/family center.	☐	☐	☐
M08	Provide program materials/information in home language.	☐	☐	☐
M09	Involve parents from a variety of backgrounds in school decision making.	☐	☐	☐
M10	Offer "flexible" opportunities for involvement, including home learning activities and other activities that don't require coming to school.	☐	☐	☐
M11	Provide child care for parents participating in school activities.	☐	☐	☐
M12	Acknowledge and include family members' diverse skills, talents, acknowledge in school activities.	☒	☒	☒
M13	Provide adult education, including GED and/or ESL classes, or family literacy program.	☒	☒	☒
M14	Conduct an outreach program for traditionally "hard to reach" parents.	☐	☐	☐
M99	Other (Specify)	☐	☐	☐

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Schedule # 4D—Equitable Access and Participation: Barriers and Strategies				
Barrier: Shortage of Qualified Personnel				
#	Strategies for Shortage of Qualified Personnel	Students	Teachers	Others
N01	Develop and implement a plan to recruit and retain qualified personnel.	☐	☐	☐
N02	Recruit and retain teachers from a variety of racial, ethnic, and language minority groups.	☐	☐	☐
N03	Provide mentor program for new teachers.	☐	☐	☐
N04	Provide intern program for new teachers.	☐	☐	☐
N05	Provide professional development in a variety of formats for personnel.	☐	☒	☐
N06	Collaborate with colleges/universities with teacher preparation programs.	☐	☐	☐
N99	Other (Specify) _____	☐	☐	☐
Barrier: Lack of Knowledge Regarding Program Benefits				
#	Strategies for Lack of Knowledge regarding Program Benefits	Students	Teachers	Others
P01	Develop and implement a plan to inform program beneficiaries of program activities & benefits.	☐	☐	☐
P02	Publish newsletter/brochures to inform program beneficiaries of activities and benefits.	☒	☒	☒
P03	Provide announcements to local radio stations & newspapers about program activities/benefits.	☒	☒	☒
P99	Other (Specify) _____	☐	☐	☐
Barrier: Lack of Transportation to Program Activities				
#	Strategies for Lack of Transportation to Program Activities	Students	Teachers	Others
Q01	Provide transportation for parents and other program beneficiaries to activities.	☐	☐	☐
Q02	Offer "flexible" opportunities for involvement, including home learning activities and other activities that don't require coming to school.	☐	☐	☐
Q03	Conduct program activities in community centers and other neighborhood locations.	☐	☐	☐
Q04	Other (Specify) _____	☐	☐	☐
Barrier: Other Barrier				
#	Strategies for Other Barrier	Students	Teachers	Others
Z99	Other Barrier: _____	☐	☐	☐
	Other Strategy: _____			

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Texas Title I Priority Schools Grant Schedule #5—Program Budget Summary				
Program Authority: P.L. 107-110, Section 1003(g), as amended by ARRA, P.L. 111-5 CFDA # 84.388A & 84.377A		Fund Code ARRA (CFDA# 84.388A): 286 Regular (CFDA# 84.377A): 276		
Project Period: August 1, 2010 through June 30, 2013				
Class/Object Code and Description	Campus Grant Costs	LEA Admin Grant Costs	Pre-Award Cost	Total Grant Funds Budgeted
Payroll Costs	5B 6100	3,734,372	84,300	3,818,672
Professional and Contracted Services	5C 6200	1,960,993		1,960,993
Supplies and Materials	5D 6300	65,235		65,235
Other Operating Costs	5E 6400	3,000		3,000
Capital Outlay (Exclusive of 6619 and 6629) (15XX for charter schools only)	5G 6600/15XX			
	Total Direct Costs	\$ 5,763,600	84,300	5,847,900
	2.535% Indirect Costs		152,100	152,100
Grand Total				
Total Budgeted Costs:		\$ 5,763,600	\$ 236,400	\$ 6,000,000
Administrative Cost Calculation				
Enter total amount from Schedule #5 Budget Summary, Last Column, Total Budgeted Costs				6,000,000
Multiply by .05 (.05% limit)				X .05
Enter Maximum Allowable for Administration, including Indirect Costs				\$ 300,000

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Texas Title I Priority Schools Grant Schedule #5—Program Budget Summary		
Budget Request by Grant Year: Enter the amount of grant funds requested for each year of the three year grant period.		
Year 1: SY 2010-2011 \$2,000,000 Year 2: SY 2011-2012 \$2,000,000 * Year 3: SY 2012-2013 \$2,000,000 * * Any Budget Request entered for funds in Year 2 and/or Year 3 constitutes the LEA/campus requesting approval of the waiver for extending the period of availability of these grant funds, whether indicated on Schedule #4B—Program Description: Waiver Requests or not.		
Provide any necessary explanation or clarification of budgeted costs		
<u>Payroll Costs: \$3,818,672</u> - Data Specialist (\$6,500 X 3 Years = \$19,500) – LEA Admin. Grant Costs - Math Specialist (\$6,500 X 3 Years = \$19,500) – LEA Admin. Grant Costs - Reading Specialist (\$6,500 X 3 Years = \$19,500) – LEA Admin. Grant Costs - Transformation Manager (\$8,600 X 3 Years = \$25,800) – LEA Admin. Grant Costs - Professional Staff Extra-Duty Pay (\$1,228,124 X 3 Years = \$3,684,372) - Principal Incentive to turnaround campus to be paid in three increments of \$5,000 for year 1, \$5,000 for year 2, and \$10,000 for year 3 for a total of \$20,000.		
<u>Professional and Contracted Services: \$1,960,993</u> - Community in Schools for Parent Engagement @ \$100,000 per year for 3 years = \$300,000 - Training in Creating a Positive School Climate & Culture for both teachers and parents @ \$120,000 per year for 3 years = \$360,000 - Training in data-driven decision making and reflective practices @ \$100,331 per year for 3 years = \$300,993 - Extended core content tutoring @ \$1,000,000 for 3 years		
<u>Supplies and Materials: \$65,235</u> Instructional supplies for classroom teachers @ \$21,745 per year X 3 Years = \$65,235		
<u>Other Operating Costs: \$3,000</u> Site Visits @ \$1000 per year for 3 Years for a total of \$3,000		
<u>Capital Outlay: \$0</u> There is no capital outlay requested.		
Total Direct Costs: \$5,932,200		
Indirect Costs @ 2.535%: \$152,100		
Total Budgeted Costs for 3 Years; \$6,000,000		

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Texas Title I Priority Schools Grant Schedule #5B--Payroll Costs (6100)					
Budgeted Costs					
Employee Position Titles	Justification	#Full-Time Effort	#Part-Time Effort	Pre-Award	Amount Budgeted
Instruction					
1	Teacher			\$	\$
2	Educational Aide				
3	Tutor				
Program Management and Administration					
4	Project Director				
5	Project Coordinator				
6	Teacher Facilitator				
7	Teacher Supervisor				
8	Secretary/Administrative Assistant				
9	Data Entry Clerk				
10	Grant Accountant/Bookkeeper				
11	Evaluator/Evaluation Specialist				
Auxiliary					
12	Counselor				
13	Social Worker				
14	Child Care Provider				
15	Community Liaison/Parent Coordinator				
16	Bus Driver				
17	Cafeteria Staff				
18	Librarian				
19	School Nurse				
Other Employee Positions					
22	Title: Data Specialist (\$6,500 X 3 Years = \$19,500)	1			19,500
23	Title: Math Specialist (\$6,500 X 3 Years = \$19,500)	1			19,500
24	Title: Reading Specialist (\$6,500 X 3 Years = \$19,500)	1			19,500
25	Title: Transformation Manager (\$8,600 X 3 Years = \$25,800)	1			25,800
26	Subtotal Employee Costs			\$	\$84,300
Substitute, Extra-Duty, Benefits					
27	6112 Substitute Pay (\$10,000 X 3 Years = \$30,000)				\$ 30,000
28	6119 Professional Staff Extra-Duty Pay (\$1,228,124 X 3 Years = \$3,684,372) and Principal's incentive to turnaround school @ \$20,000 for the 3 years*				3,704,372
29	6121 Support Staff Extra-Duty Pay				
30	6140 Employee Benefits				
31	Subtotal Substitute, Extra-Duty, Benefits Costs			\$	3,734,372
32	Grand Total Payroll Budget (line 26 + line 31)			\$	\$ 3,818,672

***Principal incentive** to turnaround campus to be paid in three increments of \$5,000 for year 1, \$5,000 for year 2, and \$10,000 for year 3 for a total of \$20,000.

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Schedule #5C- Itemized 6200 Professional and Contracted Services Costs Requiring Specific Approval					
Expense Item Description				Pre-Award	Total Amount Budgeted
6212	Audit Costs (other than audits required under OMB Circular A-133)			\$	\$
	Specify purpose: _____				
6269	Rental or Lease of Buildings, Space in Buildings, or Land				
	Specify purpose and provide calculations: _____				
6299	Contracted Publication and Printing Costs (specific approval required only for nonprofit charter schools)				
	Specify purpose: _____				
6299	Scholarships and Fellowships (not allowed for nonprofit charter schools)				
	Specify purpose: _____				
Subtotal					
6200 – Professional and Contracted Services Cost Requiring Specific Approval					
Professional and Consulting Services (6219/6239) Less than \$10,000					
#	Topic/Purpose/Service			Total Contracted Amount	Pre-Award
1.				\$	\$
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					
10.					
Subtotal					
Professional and Consulting Services Less than \$10,000				\$	\$
Professional and Consulting Services (6219) Greater than or Equal to \$10,000					
1. Description of Professional or Consulting Service (Topic/Purpose/Service):					
Community in Schools for Parent Engagement @ \$100,000 per year for 3 years = \$300,000					
Contractor's Cost Breakdown of Service to be Provided			# Positions	Total Contracted Amount	Pre-Award
Contractor's Payroll Costs				\$ 300,000	\$
Title: Community in Schools					
Subgrants, Subcontracts, Subcontracted Services					
Supplies and Materials					
Other Operating Costs					
Capital Outlay (Subgrants Only)					
Indirect Cost (_____ %)					
Total Payment:				\$ 300,000	\$
					\$ 300,000

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Schedule #5C- Itemized 6200 Professional and Contracted Services Costs Requiring Specific Approval (cont.)				
Professional and Consulting Services (6219) Greater than or Equal to \$10,000 (cont.)				
2. Description of Professional or Consulting Service (Topic/Purpose/Service): Training in Creating a Positive School Climate & Culture for both teachers and parents @ \$120,000 per year for 3 years = \$360,000				
Contractor's Cost Breakdown of Service to be Provided	# Positions	Total Contracted Amount	Pre-Award	Total Amount Budgeted
Contractor's Payroll Costs Title: _____		\$ 360000	\$	\$ 360,000
Subgrants, Subcontracts, Subcontracted Services				
Supplies and Materials				
Other Operating Costs				
Capital Outlay (Subgrants Only)				
Indirect Cost (____%)				
Total Payment:		\$ 360,000	\$	\$ 360,000
3. Description of Professional or Consulting Service (Topic/Purpose/Service): Training in data-driven decision making and reflective practices @ \$100,331 per year for 3 years = \$300,993				
Contractor's Cost Breakdown of Service to be Provided	# Positions	Total Contracted Amount	Pre-Award	Total Amount Budgeted
Contractor's Payroll Costs Title: _____		\$ 300,993	\$	\$300,993
Subgrants, Subcontracts, Subcontracted Services				
Supplies and Materials				
Other Operating Costs				
Capital Outlay (Subgrants Only)				
Indirect Cost (____%)				
Total Payment:		\$ 300,993	\$	\$ 300,993
4. Description of Professional or Consulting Service (Topic/Purpose/Service): Extended core content tutoring @ \$1,000,000 for 3 years				
Contractor's Cost Breakdown of Service to be Provided	# Positions	Total Contracted Amount	Pre-Award	Total Amount Budgeted
Contractor's Payroll Costs Title: _____		\$ 1,000,000	\$	\$ 1,000,000
Subgrants, Subcontracts, Subcontracted Services				
Supplies and Materials				
Other Operating Costs				
Capital Outlay (Subgrants Only)				
Indirect Cost (____%)				
Total Payment:		\$ 1,000,000	\$	\$ 1,000,000
Subtotal: Professional and Consulting Services Greater Than or Equal to \$10,000:		\$1,960,993	\$	\$1,960,993
Subtotal of Professional and Contracted Services Costs Requiring Specific Approval:				
Subtotal of Professional and Consulting Services or Subgrants Less than \$10,000:				
Subtotal of Professional and Consulting Services Greater than or Equal to \$10,000:		\$1,960,993	\$	\$1,960,993
Remaining 6200- Professional and Contracted Services that do not require specific approval:				
Grand Total:		\$1,960,993	\$	\$1,960,993

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Schedule #5D - Itemized 6300 Supplies and Materials Costs Requiring Specific Approval						
Expense Item Description				Pre-Award	Total Budgeted	
6399	Technology Hardware- Not Capitalized			\$	\$	
	#	Type	Purpose			Quantity
	1					
	2					
	3					
	4					
6399	Technology Software- Not Capitalized					
6399	Supplies and Materials Associated with Advisory Council or Committee					
Total Supplies and Materials Requiring Specific Approval:						
Remaining 6300- Supplies and Materials that do not require specific approval:					\$65,235*	
Grand Total				\$	\$65,235	

*Instructional supplies for classroom teachers @ \$21,745 per year X 3 Years = \$65,235

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by telephone/e-mail/FAX on _____ by _____ of TEA.			Amendment No.	
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Schedule #5E - Itemized 6400 Other Operating Costs Requiring Specific Approval				
Expense Item Description			Pre-Award	Total Budgeted
6411	Out of State Travel for Employees (Includes registration fees)			
	Specify purpose: _____			
6412	Travel for Students (Includes registration fees; does not include field trips) (specific approval required only for nonprofit charter schools)			
	Specify purpose: _____			
6413	Stipends for Non-Employees (specific approval required only for nonprofit charter schools)			
	Specify purpose: _____			
6419	Travel for Non-Employees (Includes registration fees; does not include field trips) (specific approval required only for nonprofit charter schools)			
	Specify purpose: _____			
6411/ 6419	Travel Costs for Executive Director (6411), Superintendents (6411), or Board Members (6419) (Includes registration fees)			
	Specify purpose: _____			
6429	Actual losses which could have been covered by permissible insurance			
6490	Indemnification Compensation for Loss or Damage			
6490	Advisory Council/Committee Travel or Other Expenses (explain purpose of Committee on Schedule #4B-Program Description: Project Management)			
	Membership Dues in Civic or Community Organizations (Not allowable for University applicants)			
	Specify name and purpose of organization: _____			
6499	Publication and Printing Costs- if reimbursed (specific approval required only for nonprofit charter schools)			
	Specify purpose: _____			
Total 64XX- Operating Costs Requiring specific approval:				
Remaining 6400 - Other Operating Costs that do not require specific approval:				3,000*
Grand Total			\$	\$ 3,000

*Site Visits @ \$1000 per year for 3 Years for a total of \$3,000

For TEA Use Only Adjustments and/or annotations made on this page have been confirmed with _____ by telephone/e-mail/FAX on _____ by _____ of TEA.	TEXAS EDUCATION AGENCY Standard Application System (SAS) School Years 2010-2013	<u>101-912</u> County-District No. _____ Amendment No.			
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Schedule #5G - Itemized 6600/15XX Capital Outlay- Capitalized Assets Regardless of Unit Cost (15XX is for use by Charter Schools sponsored by a nonprofit organization)					
	Description/Purpose	Unit Cost	Quantity	Pre-Award	Total Budgeted
6699/15XX- Library Books and Media (capitalized and controlled by library)					
1					
66XX/15XX- Technology Hardware - Capitalized					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
66XX/15XX- Technology Software- Capitalized					
12					
13					
14					
15					
16					
17					
18					
66XX/15XX- Equipment and Furniture					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
Capital expenditures for improvements to land, buildings, or equipment which materially increase their value or useful life.					
29					
Grand Total					
Total 6600/15XX- Capital Outlay Costs:					0

SCHEDULE #6A GENERAL PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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Statement of provisions and assurances for the program(s) in this application:

A. Terms defined: As used in these Provisions and Assurances,

- Contract means the entire document, and all of TEA's attachments, appendices, schedules (including but not limited to the General Provisions and the Special Provisions), amendments and extensions of or to the Standard Contract;
- Agency or TEA means the Texas Education Agency;
- Contractor means the party or parties to this contract other than Agency; including its or their officers, directors, employees, agents, representatives, consultants and subcontractors, and subcontractors' officers, directors, employees, agents, representatives and consultants;
- Project Administrator means the person representing Agency or Contractor, as indicated by the contract, for the purposes of administering the contract project;
- Contract Project means the purpose intended to be achieved through the contract of which these Provisions and Assurances are a part;
- Applicant means the same as Contractor;
- SAS means the Standard Application System of which the application document is a part;
- "Application" means the entire package submitted by the Applicant including the schedules contained in the application and so indicated on the General Information page of the application package;
- Amendment means an application that is revised in budget categories and/or in program activities. It includes both the original application and any subsequent amendments; or extensions thereto;
- Works means all tangible or intangible material, products, ideas, documents or works of authorship prepared or created by Contractor for or on behalf of TEA at any time after the beginning date of the Contract (Works includes but is not limited to computer software, data, information, images, illustrations, designs, graphics, drawings, educational materials, assessment forms, testing materials, logos, trademarks, patentable materials, etc.); and,
- Intellectual Property Rights means the worldwide intangible legal rights or interests evidenced by or embodied in: (a) any idea, design, concept, method, process, technique, apparatus, invention, discovery, or improvement, including any patents, trade secrets, and know-how; (b) any work of authorship, including any copyrights, moral rights or neighboring rights; (c) any trademark, service mark, trade dress, trade name, or other indicia of source or origin; (d) domain name registrations; and (e) any other similar rights. The Intellectual Property Rights of a party include all worldwide intangible legal rights or interests that the party may have acquired by assignment or license with the right to grant sublicenses.
- Grant means the same as Contract;
- Grantee means the same as Contractor;
- Grantor means the same as Agency; and
- DCC means the Document Control Center of Agency.

B. Contingency: This contract is executed by Agency subject to the availability of funds appropriated by legislative act for the purposes stated. All amendments and/or extensions or subsequent contracts entered into for the same or continued purposes are executed contingent upon the availability of appropriated funds. Notwithstanding any other provision in this contract or any other document, this contract is void upon appropriated funds becoming unavailable. In addition, this contract may be terminated by Agency at any time for any reason upon notice to Contractor. Expenditures and/or activities for which Contractor may claim reimbursement shall not be accrued or claimed subsequent to receipt of such notice from Agency. This contract may be extended or otherwise amended only by formal written amendment properly executed by both Agency and Contractor. No other agreement, written or oral, purporting to alter or amend this contract shall be valid.

C. Contractor's Application: Furnished to Agency in response to a request for application, is incorporated in this contract by reference for all necessary purposes. It is specifically provided, however, that the provisions of this contract shall prevail in all cases of conflict arising from the terms of Contractor's application whether such application is a written part of this contract or is attached as a separate document.

D. Requirements, Terms, Conditions, and Assurances: Which are stated in the Request for Application, in response to which Applicant is submitting this application, are incorporated herein by reference for all purposes although the current General Provisions shall prevail in the event of conflict. The instructions to the Standard Application System, as well as the General and Fiscal Guidelines and Program Guidelines, are incorporated herein by reference.

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- E. Signature Authority; Final Expression; Superseding Document:** Applicant certifies that the person signing this application has been properly delegated this authority. The Contract represents the final and complete expression of the terms of agreement between the parties. The Contract supersedes any previous understandings or negotiations between the parties. Any representations, oral statements, promises or warranties that differ from the Contract shall have no force or effect. The Contract may be modified, amended or extended only by formal written amendment properly executed by both TEA and Contractor.
- F. State of Texas Laws:** In the conduct of the contract project, Contractor shall be subject to Texas State Board of Education and Commissioner rules pertaining to this contract and the contract project and to the laws of the State of Texas governing this contract and the contract project. This contract constitutes the entire agreement between Agency and Contractor for the accomplishment of the contract project. This contract shall be interpreted according to the laws of the State of Texas except as may be otherwise provided for in this contract.
- G. Monitoring:** Desk reviews or on-site monitoring reviews may be conducted by Agency to determine compliance with the approved application and the applicable statute(s), law(s), regulations, and guidelines.
- H. Sanctions for Failure to Perform or for Noncompliance:** If Contractor, in Agency's sole determination, fails or refuses for any reason to comply with or perform any of its obligations under this contract, Agency may impose such sanctions as it may deem appropriate. This includes but is not limited to the withholding of payments to Contractor until Contractor complies; the cancellation, termination, or suspension of this contract in whole or in part; and the seeking of other remedies as may be provided by this contract or by law. Any cancellation, termination, or suspension of this contract, if imposed, shall become effective at the close of business on the day of Contractor's receipt of written notice thereof from Agency.
- I. Contract Cancellation, etc.:** If this contract is canceled, terminated, or suspended by Agency prior to its expiration date, the reasonable monetary value of services properly performed by Contractor pursuant to this contract prior to such cancellation, termination or suspension shall be determined by Agency and paid to Contractor as soon as reasonably possible.
- J. Indemnification:**
For local educational agencies (LEAs), regional education service centers (ESCs), and institutions of higher education (IHEs) and state agencies: Contractor, to the extent permitted by law, shall hold Agency harmless from and shall indemnify Agency against any and all claims, demands, and causes of action of whatever kind or nature asserted by any third party and occurring or in any way incident to, arising from, or in connection with, any acts of Contractor, its agents, employees, and subcontractors, done in the conduct of the contract project.
For all other grantees, subgrantees, contractors, and subcontractors, including nonprofit organizations and for-profit businesses: Contractor shall hold Agency harmless from and shall indemnify Agency against any and all claims, demands, and causes of action of whatever kind or nature asserted by any third party and occurring or in any way incident to, arising from, or in connection with, any acts of Contractor, its agents, employees, and subcontractors, done in the conduct of the contract project.
- K. Encumbrances/Obligations:** All encumbrances/obligations shall occur on or between the beginning and ending dates of the contract. All goods must be received and all services rendered and subsequently liquidated (recorded as an expenditure or accounts payable) within the contract dates. In no manner shall encumbrances be considered or reflected as accounts payable or as expenditures, and an encumbrance cannot be considered an expenditure or accounts payable until the goods have been received and the services have been rendered. Obligations that are liquidated and recognized as expenditures must meet the allowable cost principles in OMB Circular A-87, A-21, or A-122 (as applicable) and program rules, regulations, and guidelines contained elsewhere. This applies to all grant programs, including state and federal, discretionary and formula.

SCHEDULE #6A – cont. GENERAL PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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- L. Financial Management and Accounting:** Grantee assures it will maintain a financial management system that complies with federal standards established in 34 CFR 80.20 and 74.21 and that provides for accurate, current, and complete disclosure of the financial results of each grant project. The financial management system records will identify adequately the source and application of funds and will contain information pertaining to grant awards, authorizations, obligations, unobligated balances, assets, outlays (i.e., expenditures), income, and interest. Fiscal control and accounting procedures will permit the tracing of funds to a level of expenditure adequate to establish that funds have been used in accordance with the approved grant application. The applicant agrees to maintain effective control over and accountability for all funds, property, and other assets. Public school districts, open enrollment charter schools, and regional education service centers in Texas must comply with the accounting requirements in the Financial Accounting and Reporting (FAR) module of the *Financial Accountability System Resource Guide*, Texas Education Agency (34 CFR 74.21; 34 CFR 80.20; TEA *Financial Accountability System Resource Guide*).
- M. Expenditure Reports:** Contractor shall submit expenditure reports in the time and manner requested by Agency as specified in the instructions to the Standard Application System (SAS) which are incorporated by reference. Unless otherwise specified, interim reports are due to TEA within 15 days after the end of each reporting period. Unless otherwise specified, the final expenditure report is due within 30 days after the ending date of the grant. Revised expenditure reports, where the grantee is claiming additional expenditures beyond that originally requested, must be submitted within 60 days after the ending date of the grant, or as specified in the applicable Program Guidelines.
- N. Refunds Due to TEA:** If Agency determines that Agency is due a refund of money paid to Contractor pursuant to this contract, Contractor shall pay the money due to Agency within 30 days of Contractor's receipt of written notice that such money is due to Agency. If Contractor fails to make timely payment, Agency may obtain such money from Contractor by any means permitted by law, including but not limited to offset, counterclaim, cancellation, termination, suspension, total withholding, and/or disapproval of all or any subsequent applications for said funds.
- O. Records Retention:** Contractor shall maintain its records and accounts in a manner which shall assure a full accounting for all funds received and expended by Contractor in connection with the contract project. These records and accounts shall be retained by Contractor and made available for programmatic or financial audit by Agency and by others authorized by law or regulation to make such an audit for a period of not less than five years from the date of completion of the contract project or the date of the receipt by Agency of Contractor's final claim for payment or final expenditure report in connection with this contract, whichever is later. If an audit has been announced, the records shall be retained until such audit has been completed.
- Contractor understands that acceptance of funds under this contract acts as acceptance of the authority of the State Auditor's office, or any successor agency, to conduct an audit or investigation in connection with those funds. Contractor further agrees to cooperate fully with the State Auditor's Office or its successor in the conduct of the audit or investigation, including providing all records requested. Contractor will ensure that this clause concerning the authority to audit funds received indirectly by subcontractors through Contractor and the requirements to cooperate is included in any subcontract it awards.
- P. Time and Effort Recordkeeping:** For those personnel whose salaries are prorated between or among different funding sources, time and effort records will be maintained by Applicant that will confirm the services provided within each funding source. Applicant must adjust payroll records and expenditures based on this documentation. This requirement applies to all projects, regardless of funding source, unless otherwise specified. For federally funded projects, time and effort records must be in accordance with the requirements in the applicable OMB cost principles.
- Q. Forms, Assurances, and Reports:** Contractor shall timely make and file with the proper authorities all forms, assurances and reports required by federal laws and regulations. Agency shall be responsible for reporting to the proper authorities any failure by Contractor to comply with the foregoing laws and regulations coming to Agency's attention, and may deny payment or recover payments made by Agency to Contractor in the event of Contractor's failure so to comply.
- R. Intellectual Property Ownership:** Contractor agrees that all Works are, upon creation, works made for hire and the sole property of TEA. If the Works are, under applicable law, not considered works made for hire, Contractor hereby assigns to TEA all worldwide ownership of all rights, including the Intellectual Property Rights, in the Works, without the necessity of any further consideration, and TEA can obtain and hold in its own name all such rights to the Works. Contractor agrees to maintain written agreements with all officers, directors, employees, agents, representatives and subcontractors engaged by Contractor for the Contract Project, granting Contractor rights sufficient to support the performance and grant of rights to TEA by Contractor. Copies of such agreements shall be provided to TEA promptly upon request.

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Contractor warrants that (i) it has the authority to grant the rights herein granted, (ii) it has not assigned or transferred any right, title, or interest to the Works or Intellectual Property Rights that would conflict with its obligations under the Contract, and Contractor will not enter into any such agreements, and (iii) the Works will be original and will not infringe any Intellectual property rights of any other person or entity. These warranties will survive the termination of the Contract. If any preexisting rights are embodied in the Works, Contractor grants to TEA the irrevocable, perpetual, non-exclusive, worldwide, royalty-free right and license to (i) use, execute, reproduce, display, perform, distribute copies of, and prepare derivative works based upon such preexisting rights and any derivative works thereof and (ii) authorize others to do any or all of the foregoing. Contractor agrees to notify TEA on delivery of the Works if they include any such preexisting rights. On request, Contractor will provide TEA with documentation indicating a third party's written approval for Contractor to use any preexisting rights that may be embodied or reflected in the Works.

For School Districts and Nonprofit Organizations: The foregoing Intellectual Property Ownership provisions apply to any school districts, nonprofit organizations, and their employees, agents, representatives, consultants and subcontractors. If a school district or nonprofit organization or any of its subcontractor(s) wish to obtain a license agreement to use, advertise, offer for sale, sell, distribute, publicly display, publicly perform or reproduce the Works, or make derivative works from the Works, then express written permission must first be obtained from the TEA Copyright Office.

For Education Service Centers (ESCs): The foregoing Intellectual Property Ownership provisions apply to an Education Service Center (ESC) and its employees, agents, representatives, consultants, and subcontractors. If an ESC or any of its subcontractor(s) wish to obtain a license agreement to use, advertise, offer for sale, sell, distribute, publicly display, publicly perform or reproduce the Works, or make derivative works from the Works, then express written permission must first be obtained from the TEA Copyright Office.

For Colleges and Universities: The foregoing Intellectual Property Ownership provisions apply to any colleges and universities and their employees, agents, representatives, consultants, and subcontractors; provided, that for all Works and derivative works created or conceived by colleges or universities under the Contract, they are granted a non-exclusive, non-transferable, royalty-free license to use the Works for their own academic and educational purposes only. The license for academic and educational purposes specifically excludes advertising, offering for sale, selling, distributing, publicly displaying, publicly performing, or reproducing the Works, or making derivative works from the Works that are created or conceived under this Contract and colleges and universities and their employees, agents, representatives, consultants, and subcontractors are prohibited from engaging in these uses and activities with regard to the Works unless the prior express written permission of the TEA Copyright Office is obtained.

- S. Unfair Business Practices:** By signing this Contract, Contractor, if other than a state agency, certifies that Contractor, within the preceding 12 months, has not been found guilty, in a judicial or state agency administrative proceeding, of unfair business practices. Contractor, if other than a state agency, also certifies that no officer of its company has, within the preceding 12 months, served as an officer in another company which has been found, in a judicial or state agency administrative proceeding, to be guilty of unfair business practices.

Contractor, whether a state agency or not a state agency, certifies that no funds provided under this Contract shall be used to purchase supplies, equipment, or services from any companies found to be guilty of unfair business practices within 12 months from the determination of guilt.

- T. Subcontracting:** Contractor shall not assign or subcontract any of its rights or responsibilities under this contract, except as may be otherwise provided for in this application, without prior formal written amendment to this contract properly executed by both Agency and Contractor.

- U. Use of Consultants:** Notwithstanding any other provision of this application, Applicant shall not use or pay any consultant in the conduct of this application if the services to be rendered by any such consultant can be provided by Applicant's employees.

- V. Capital Outlay:** If Contractor purchases capital outlay (furniture and/or equipment) to accomplish the objective(s) of the project, title will remain with Contractor for the period of the contract. Agency reserves the right to transfer capital outlay items for contract noncompliance during the contract period or as needed after the ending date of the contract. This provision applies to any and all furniture and/or equipment regardless of unit price and how the item is classified in Contractor's accounting record.

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- W. Agency Property (terms):** In the event of loss, damage or destruction of any property owned by or loaned by Agency while in the custody or control of Contractor, its employees, agents, consultants or subcontractors, Contractor shall indemnify Agency and pay to Agency the full value of or the full cost of repair or replacement of such property, whichever is the greater, within 30 days of Contractor's receipt of written notice of Agency's determination of the amount due. This applies whether the property is developed or purchased by Contractor pursuant to this contract or is provided by Agency to Contractor for use in the contract project. If Contractor fails to make timely payment, Agency may obtain such money from Contractor by any means permitted by law, including but not limited to offset or counterclaim against any money otherwise due to Contractor by Agency.
- X. Travel Costs:** Amounts authorized for maximum recovery for travel and per diem costs against any state or federal funding source are restricted to those amounts which are approved in the State of Texas Appropriations Bill in effect for the particular funding period. Any amount over this limit must come from local funding sources. Applicant must recover funds at a lesser rate if local policy amounts are less than the maximum allowed by the state. Out-of-state travel may not exceed the federal government rate for the locale. Travel allowances are not allowable costs.
- Y. Funds for Religious Worship, Instruction:** No funds will be used to pay for religious worship, instruction, or proselytization, or for any equipment or supplies for such, or for any construction, remodeling, repair, operation, or maintenance of any facility or part of a facility to be used for religious worship, instruction, or proselytization (34 CFR 76.532 and P. L. 107-110, section 9505).
- Z. Disclosure of Gifts and Campaign Contributions:** The grantee shall file disclosures of gifts and campaign contributions as required by State Board of Education Operating Rule 4.3, which is incorporated as if set out in full. The grantee has a continuing obligation to make disclosures through the term of the contract. Failure to comply with State Board of Education Operating Rule 4.3 is grounds for canceling the grant.
- AA. Submission of Audit Reports to TEA:** **Grantees which are public school districts and open enrollment charter schools** agree to submit the required annual audit report, including the reporting package required under OMB Circular A-133, if an audit is required to be conducted in accordance with OMB Circular A-133, to the TEA Division of School Financial Audits in the time and manner requested by the Agency.
- Grantees which are **nonprofit organizations (other than charter schools) and universities/colleges** that expend \$500,000 or more total in federal awards in any fiscal year and are thus required to conduct a Single Audit or program-specific audit in accordance with the requirements in OMB Circular A-133, agree to submit a copy of such audit to TEA when the schedule of findings and questioned costs disclosed audit findings relating to any federal awards provided by TEA. A copy of such audit shall also be submitted to TEA if the summary schedule of prior audit findings reported the status of any audit findings relating to any federal awards provided by TEA.
- A **nonprofit organization or university/college** grantee shall provide written notification to TEA that an audit was conducted in accordance with OMB Circular A-133 when the schedule of findings and questioned costs disclosed no audit findings related to any federal awards provided by TEA or when the summary schedule of prior audit findings did not report on the status of any prior audit findings related to any federal awards provided by TEA. Nonprofit organizations (other than charter schools) and universities/colleges shall submit the audit report to the TEA Division of Discretionary Grants. Audit reports must be submitted to TEA within 30 days of receipt of the report from the auditor. Failure to submit a copy of the audit to TEA could result in a reduction of funds paid to the grantee, a refund to TEA, termination of the grant, and/or ineligibility to receive additional grant awards from TEA.
- BB. Federal Rules, Laws, and Regulations That Apply to all Federal Programs:** Contractor shall be subject to and shall abide by all federal laws, rules and regulations pertaining to the contract project, including but not limited to:
- 1. Americans With Disabilities Act**, P. L. 101-336, 42 U.S.C. sec. 12101, and the regulations effectuating its provisions contained in 28 CFR Parts 35 and 36, 29 CFR Part 1630, and 47 CFR Parts 0 and 64;
 - Title VI of the Civil Rights Act of 1964**, as amended (prohibition of discrimination by race, color, or national origin), and the regulations effectuating its provisions contained in 34 CFR Part 100;
 - Title IX of the Education Amendments of 1972**, as amended (prohibition of sex discrimination in educational institutions) and the regulations effectuating its provisions contained in 34 CFR Part 106, if Contractor is an educational institution;
 - Section 504 of the Rehabilitation Act of 1973**, as amended (nondiscrimination on the basis of handicapping condition), and the regulations effectuating its provisions contained in 34 CFR Part 104 and 105;

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5. the **Age Discrimination Act of 1975**, as amended (prohibition of discrimination on basis of age), and any regulations issued thereunder, including the provisions contained in 34 CFR Part 110;
6. the **Family Educational Rights and Privacy Act (FERPA) of 1975**, as amended (ensures access to educational records for students and parents while protecting the privacy of such records), and any regulations issued thereunder, including **Privacy Rights of Parents and Students** (34 CFR Part 99), if Contractor is an educational institution (20 USC 1232g);
7. Section 509 of H.R. 5233 as incorporated by reference in P. L. 99-500 and P. L. 99-591 (**prohibition against the use of federal grant funds to influence legislation pending before Congress**);
8. **Pro-Children Act of 2001**, which states that no person shall permit smoking within any indoor facility owned or leased or contracted and utilized for the provision of routine or regular kindergarten, elementary, or secondary education or library services to children [P. L. 107-110, Section 4303(a)]. In addition, no person shall permit smoking within any indoor facility (or portion of such a facility) owned or leased or contracted and utilized for the provision of regular or routine health care or day care or early childhood development (Head Start) services [P. L. 107-110, Section 4303(b)(1)]. Any failure to comply with a prohibition in this Act shall be considered to be a violation of this Act and any person subject to such prohibition who commits such violation may be liable to the United States for a civil penalty, as determined by the Secretary of Education (P. L. 107-110, Section 4303(e)(1)).
9. **Fair Labor Standards Act (29 USC 207), Davis Bacon Act (40 USC 276(a), and Contract Work Hours and Safety Standards Act (40 USC 327 et seq.)**, as applicable, and their implementing regulations in 29 CFR 500-899, 29 CFR Parts 1,3,5, and 7, and 29 CFR Parts 5 and 1926, respectively.
10. **Buy America Act:** Contractor certifies that it is in compliance with the Buy America Act in that each end product purchased under any federally funded supply contract exceeding \$2,500 is considered to have been substantially produced or manufactured in the United States. End products exempt from this requirement are those for which the cost would be unreasonable, products manufactured in the U. S. that are not of satisfactory quality, or products for which the agency head determines that domestic preference would be inconsistent with the public interest. Contractor also certifies that documentation will be maintained that documents compliance with this requirement (FAR 25.1-.2).
11. P.L. 103-227, Title X, Miscellaneous Provisions of the GOALS 2000: Educate America Act; P.L. 103-382, Title XIV, General Provisions of the Elementary and Secondary Education Act, as amended; and General Education Provisions Act, as amended.

CC. Federal Regulations Applicable to All Federal Programs:

1. **For Local Educational Agencies (LEAs):** 28 CFR 35 Subparts A-E, 28 CFR 36 Subparts C & D, Appendix A, 29 CFR 1630, 34 CFR 75 or 76 as applicable, 77, 79, 80, 81, 82, 85, 97, 98, 99, 104, 47 CFR 0 and 64, and OMB Circulars A-87 (Cost Principles), A-133 (Audits), and A-102 (Uniform Administrative Requirements);
2. **For Education Service Centers (ESCs):** 28 CFR 35 Subparts A-E, 28 CFR 36 Subparts C & D, Appendix A, 29 CFR 1630, 34 CFR 75 or 76 as applicable, 77, 79, 80, 81, 82, 85, 97, 98, 99, 104, 47 CFR 0 and 64, and OMB Circulars A-87 (Cost Principles), A-133 (Audits), and A-102 (Uniform Administrative Requirements);
3. **For Institutions of Higher Education (IHEs):** 28 CFR 35 Subparts A-E, 28 CFR 36 Subparts C & D, Appendix A, 29 CFR 1630, 34 CFR 74, 77, 79, 81, 82, 85, 86, 97, 98, 99, 104, 47 CFR 0 and 64, and OMB Circulars A-21 (Cost Principles), A-133 (Audits), and A-110 (Uniform Administrative Requirements);
4. **For Nonprofit Organizations:** 28 CFR 35 Subparts A-E, 28 CFR 36 Subparts C & D, Appendix A, 29 CFR 1630, 34 CFR 74, 77, 79, 81, 82, 85, 97, 98, 99, 104, 47 CFR 0 and 64, and OMB Circulars A-122 (Cost Principles), A-133 (Audits), and A-110 (Uniform Administrative Requirements);
5. **For State Agencies:** 28 CFR 35 Subparts A-E, 28 CFR 36 Subparts C & D, Appendix A, 29 CFR 1630, 34 CFR 76, 80, 81, 82, 85, 97, 98, 99, 104, 47 CFR 0 and 64, OMB Circulars A-87 (Cost Principles), A-133 (Audits), and A-102 (Uniform Administrative Requirements); and
6. **For Commercial (for-profit) Organizations:** 29 CFR 1630 and 48 CFR Part 31.

DD. General Education Provisions Act (GEPA), as Amended, Applicable to All Federal Programs Funded or Administered Through or By the U. S. Department of Education:

1. **Participation in Planning:** Applicant will provide reasonable opportunities for the participation by teachers, parents, and other interested parties, organizations, and individuals in the planning for and operation of each program described in this application (20 USC 1232(e)).

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2. **Availability of Information:** Any application, evaluation, periodic program plan, or report relating to each program described in this application will be made readily available to parents and other members of the general public (20 USC 1232(e)).
 3. **Sharing of Information:** Contractor certifies that it has adopted effective procedures for acquiring and disseminating to teachers and administrators participating in each program described in this application significant information from educational research, demonstrations, and similar projects, and for adopting, where appropriate, promising educational practices developed through such projects (20 USC 1232(e)).
 4. **Prohibition of Funds for Busing:** The applicant certifies that no federal funds (except for funds appropriated specifically for this purpose) will be used for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to overcome racial imbalance in any school or school system, or for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to carry out a plan of racial desegregation of any school or school system (20 USC 1228).
 5. **Direct Financial Benefit:** Contractor certifies that funds expended under any federal program will not be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the purchasing entity or its employees or any affiliate of such an organization [20 USC 1232(b)(8)].
- EE. Payment for Services:** Payment for service(s) described in this Contract is contingent upon satisfactory completion of the service(s). Satisfaction will be determined by TEA's Project Administrator, in his sole discretion but in accordance with reasonable standards and upon advice of his superiors in TEA, if necessary.
- FF. Family Code Applicability:** By signing this Contract, Contractor, if other than a state agency, certifies that under Section 231.006, Family Code, that Contractor is not ineligible to receive payment under this Contract and acknowledges that this Contract may be terminated and payment may be withheld if this certification is inaccurate. TEA reserves the right to terminate this Contract if Contractor is found to be ineligible to receive payment. If Contractor is found to be ineligible to receive payment and the Contract is terminated, Contractor is liable to TEA for attorney's fees, the costs necessary to complete the Contract, including the cost of advertising and awarding a second contract, and any other damages or relief provided by law or equity.
- GG. Interpretation:** In the case of conflicts arising in the interpretation of wording and/or meaning of various sections, parts, Appendices, General Provisions, Special Provisions, Exhibits, and Attachments or other documents, the TEA Contract and its General Provisions, Appendices and Special Provisions shall take precedence over all other documents which are a part of this contract.
- HH. Registered Lobbyists:** No state or federal funds transferred to a contractor/grantee may be used to hire a registered lobbyist.
- II. Test Administration and Security:** This contract is executed by Agency subject to assurance by Contractor that it has at all times been and shall remain in full compliance with Title 19, Texas Administrative Code Chapter 101, and all requirements and procedures for maintaining test security specified in any test administration materials in the possession or control of Contractor, or any school, campus, or program operated by Contractor. Notwithstanding any other provision in this contract or any other document, this contract is void upon notice by Agency, in its sole discretion, that Contractor or any school, campus, or program operated by Contractor has at any time committed a material violation of Title 19, Texas Administrative Code Chapter 101, or any requirement or procedure for maintaining test security specified in any test administration materials in the possession or control of Contractor, or any school, campus, or program operated by Contractor. Expenditures and/or activities for which Contractor may claim reimbursement shall not be accrued or claimed subsequent to receipt of such notice from Agency.
- JJ. Social Security Numbers:** Social Security numbers will not be provided by TEA as a part of this agreement. TEA is not requiring or requesting school districts or other grantees to provide Social Security numbers as a part of this agreement.
- KK. Student-identifying Information:** Contractor agrees that in executing tasks on behalf of TEA, Contractor will not use any student-identifying information in any way that violates the provisions of FERPA and will destroy or return all student-identifying information to TEA within thirty (30) days of project completion.

SCHEDULE #6A – cont. GENERAL PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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LL. High-Risk Status, Special Conditions, and Enforcement Actions: Pursuant to the provisions in 34 CFR 80.12 and 74.14, a grantee may be identified by TEA as high-risk if the grantee has a history of unsatisfactory performance; is not financially stable; has a financial management system that does not meet federal financial management standards or the requirements in TEA's Financial Accounting and Reporting (FAR) module; has not conformed to terms and conditions of previous awards; or is otherwise not responsible. TEA may impose one or more special conditions or restrictions on a high-risk grantee, including payment on a reimbursement basis; withholding authority to proceed to the next phase until receipt of evidence of acceptable performance within a given funding period; requiring additional, more detailed financial reports; additional project monitoring; requiring the grantee to obtain technical or management assistance; establishing additional prior approvals; or other conditions that may be legally available. A grantee identified as high-risk will be notified in writing by TEA of the special conditions imposed and the process for removing the high-risk status and special conditions.

Pursuant to the provisions in 34 CFR 80.43 and 74.62, if a grantee materially fails to comply with any term of an award, whether stated in a federal statute or regulation, an assurance, in a grant application, or elsewhere, TEA may take one or more of the following enforcement actions as appropriate in the circumstances: temporarily withhold cash payments pending correction of the deficiency or more severe enforcement action; disallow all or part of the cost of an activity or action not in compliance; wholly or partly suspend or terminate the current award; withhold further awards for the program; or take other remedies that may be legally available. If an enforcement action is imposed, the grantee will be notified in writing by TEA of the actions imposed and the process for remedying the noncompliance or removing the enforcement actions.

TEA reserves the right to not award a discretionary grant to a high-risk grantee or to a grantee that is materially non-compliant with the terms and conditions of another award.

Rev. 03/2010

The signing of Schedule #1 - General Information by applicant indicates acceptance of and compliance with all requirements described on this schedule.
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SCHEDULE #6 B Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transactions	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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This certification is required by the Department of Education regulations implementing Executive Order 12549, Debarment and Suspension, 34 CFR Part 85, for all lower tier transactions meeting the threshold and tier requirements stated at Section 85.11.

Terms defined: As used in these Provisions and Assurances

- "Covered Transaction"— A transaction under Federal non-procurement programs, which can be either a primary covered transaction or a lower tier covered transaction.
 - "Lower Tier Covered Transaction"— (1) Any transaction between a participant and a person other than a procurement contract for goods or services, regardless of type, under a primary covered transaction; (2) Any procurement contract for goods or services between a participant and a person, regardless of type, expected to equal or exceed the Federal procurement small purchase threshold of \$25,000; (3) Any procurement contract for goods or services between a participant and a person under a covered transaction, regardless of amount.
 - "Participant"— Any person who submits a proposal for, enters into, or reasonably may be expected to enter into a covered transaction, including an agent or representative of another participant.
 - "Principal"— An officer, director, owner, partner, principal investigator, or other person within a participant with management or supervisory responsibilities related to a covered transaction; or a consultant or other person, whether or not employed by the participant or paid with Federal funds, who (1) is in a position to handle Federal funds; (2) is in a position to influence or control the use of those funds; or (3) occupies a technical or professional position capable of substantially influencing the development or outcome of an activity required to perform the covered transaction.
 - "Excluded Parties List System (EPLS)"— The list maintained and disseminated by the General Services Administration (GSA) containing names and other information about persons who are ineligible.
 - "Debarment"— Action taken by a debarring official (Federal agency) to exclude a person (recipient) from participating in covered transactions.
 - "Suspension"— An action taken that immediately prohibits a person from participating in covered transactions for a temporary period, pending completion of an agency investigation and any judicial or administrative proceedings that may ensue.
 - "Ineligible" generally refers to a person who is either excluded or disqualified.
 - "Person"— Any individual, corporation, partnership, association, unit of government or legal entity, however organized, except: foreign governments or foreign governmental entities, public international organizations, foreign government owned (in whole or in part) or controlled entities, and entities consisting wholly or partially of foreign governments or foreign governmental entities.
 - "Proposal"—A solicited or unsolicited bid, application, request, invitation to consider or similar communication by or on behalf of a person seeking to participate or to receive a benefit, directly or indirectly, in or under a covered transaction.
 - "Voluntarily Excluded"—A status of nonparticipation or limited participation in covered transactions assumed by a person pursuant to the terms of a settlement.
1. By signing SAS Schedule #1 and submitting this proposal, the prospective lower tier participant is providing the certification set out below.
 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
 3. The prospective lower tier participant shall provide immediate written notice to the person to whom this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
 4. The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded", as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
 5. The prospective lower tier participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.

SCHEDULE #6B Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion—Lower Tier Covered Transactions	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013 Required for all federal grants regardless of the dollar amount	<u>101-912</u> County-District No.
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6. The prospective lower tier participant further agrees by submitting this proposal that it will include the clause titled ***Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions***, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the Non-procurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

- (1) The prospective lower tier participant certifies, by signature on SAS Schedule #1 and by submission of this proposal, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

ED 80-0014, 9/90 (Replaces GCS-009 (REV.12/88), which is obsolete)

68 FR 66544, 66611, 66612, 66613, 66614, November 26, 2003

As amended by the Texas Education Agency (04/02)

The signing of Schedule #1--General Information by applicant indicates acceptance of all requirements described on this schedule.

SCHEDULE #6C. Lobbying Certification	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013 Required for all federally funded grants greater than \$100,000.	<u>101-912</u> County-District No.
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Submission of this certification covers all federal programs in this application, is required by the U. S. Department of Education and Section 1352, Title 31, of the United States Code, and is a prerequisite for making or entering into a subgrant or subcontract over \$100,000 with any organization. (Read instructions for this schedule for further information.)

The applicant certifies by signature on Schedule #1 - General Information, to the best of his or her knowledge and belief, that:

- (1) No federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal grant or cooperative agreement.
- (2) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (See **Schedule #6D - Disclosure of Lobbying Activities.**)
- (3) The applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact on which the U. S. Department of Education and the Texas Education Agency relied when they made or entered into this grant or contract. Any organization that fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Dept. of Education form #ED 80-0008
 As amended by the Texas Education Agency

11/89
 03/90

The signing of Schedule #1--General Information by applicant indicates acceptance of all requirements described on this schedule.

SCHEDULE #6D - Disclosure of Lobbying Activities	TEXAS EDUCATION AGENCY	
	Standard Application System	
	School Years 2010-2013	<u>101-912</u> County-District No.
Texas Title I Priority Schools Grant		

Complete this form to disclose lobbying activities for lobbying services procured (pursuant to 31 U.S.C. 1352). This disclosure form is required for any federal grant/contract received in excess of \$100,000 and on any subgrant/subcontract made by the grantee/contractor. (Read the instructions for this schedule for further information.)
Do not sign and submit this disclosure form unless lobbying activities are being disclosed.

Federal Program:			
Name:			
1. Type of Federal Action ☐ a. Contract ☐ b. Grant	2. Status of Federal Action: ☐ a. Bid/Offer/Application ☐ b. Initial award ☐ c. Post-award	3. Report Type: ☐ a. Initial filing ☐ b. Material change For Material Change Only: Year: _____ Quarter: _____ Date of last Report: _____	
4. Name and Address of Reporting Entity: ☐ Subawardee Tier (if known): _____ Congressional District (if known): _____		5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime: Texas Education Agency 1701 N. Congress Avenue Austin, Texas 78701 Congressional District (if known): <u>21</u>	
6. Federal Department/Agency:		7. Federal Program Name/Description:	
		CFDA Number, if applicable: _____	
8. Federal Action Number, if known:		9. Award Amount, if known: \$ _____	
10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI):		10. b. Individuals Performing Services (including address if different from No. 10a; last name, first name, MI):	
(Attach Continuation Sheet(s), if necessary)			
[ITEMS 11-15 REMOVED]			
16. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.		Signature: _____	
		Name: _____	
		Title: _____	
		Telephone# _____	Date: _____
Federal Use Only:		Standard Form LLL	

SCHEDULE #6E NCLB ACT PROVISIONS & ASSURANCES		<u>101-912</u> County-District No.
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The following special provisions apply to all programs funded under the Elementary and Secondary Education Act, as amended by P. L. 107-110, No Child Left Behind (NCLB) Act of 2001. By signing Schedule #1 of this SAS, the applicant is assuring it is in compliance with the following provisions:

- A. Each such program will be administered in accordance with all applicable statutes, regulations, program plans, and applications.
- B. The control of funds provided under each such program and title to property acquired with program funds will be in a public agency or in a nonprofit private agency, institution, organization, or Indian tribe, if the law authorizing the program provides for assistance to such entities.
- C. The public agency, nonprofit private agency, institution, or organization, or Indian tribe will administer such funds and property to the extent required by the authorizing statutes.
- D. The applicant will adopt and use proper methods of administering each such program, including the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out each program and the correction of deficiencies in program operations that are identified through audits, monitoring, or evaluation.
- E. The applicant will cooperate in carrying out any evaluation of each such program conducted by or for the Texas Education Agency, the Secretary of Education or other federal officials.
- F. The applicant will use such fiscal control and fund accounting procedures as will ensure proper disbursement of, and accounting for, federal funds paid to such applicant under each such program.
- G. The applicant will submit such reports to the Texas Education Agency (which shall make the reports available to the Governor) and the Secretary of Education, as the Texas Education Agency and the Secretary of Education may require to enable the Texas Education Agency and the Secretary of Education to perform their duties under each such program.
- H. The applicant will maintain such records, provide such information, and afford access to the records as the Agency (after consultation with the Governor) or the Secretary may find necessary to carry out the Agency's or the Secretary's duties.
- I. Before the application was submitted, the applicant afforded a reasonable opportunity for public comment on the application and has considered such comment.
- J. **Gun-Free Schools Act:** The local education agency assures that it is in compliance with Section 37.007(e) of the Texas Education Code, which requires expulsion of a student who brings to school or possesses at school a firearm as defined by 18 U.S.C. Section 2891 [pursuant to the requirements in P. L. 107-110, Section 4141(d)(1)]. In addition, the local educational agency certifies that it has a policy requiring referral to the criminal justice or juvenile delinquency system of any student who brings a firearm or weapon to school [P. L. 107-110, Section 4141(h)(1)].
- K. **Student Records Transfer:** The local educational agency shall ensure that a student's records and, if applicable, a student's individualized education program as defined in section 602(11) of the Individuals with Disabilities Education Act, are transferred to a charter school upon the transfer of the student to the charter school, and to another public school upon the transfer of the student from a charter school to another public school, in accordance with applicable state law (P. L. 107-110, section 5208).
- L. **Consolidation of Administrative Funds:** A local educational agency, with the approval of TEA, may consolidate and use for the administration of one or more programs under the No Child Left Behind Act not more than the percentage, established in each program, of the total available for the local educational agency under those programs. A local educational agency that consolidates administrative funds shall not use any other funds under the programs included in the consolidation for administration for that fiscal year. Consolidated administrative funds shall be used for the administration of the programs covered and may be used for coordination of these programs with other federal and non-federal programs and for dissemination of information regarding model programs and practices.
- M. **Privacy of Assessment Results:** Any results from an individual assessment referred to in the No Child Left Behind Act of a student that become part of the education records of the student shall have the protections provided in section 444 of the General Education Provisions Act [P. L. 107-110, section 9523 and the Family Educational Rights and Privacy Act (FERPA) of 1975, as amended].

SCHEDULE #6E – cont. NCLB ACT PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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- N. School Prayer:** The local educational agency certifies that it is in compliance with Section 25.901 of the Texas Education Code. In addition, as a condition of receiving funds under the No Child Left Behind Act, the local educational agency certifies that no policy of the local educational agency prevents, or otherwise denies participation in, constitutionally protected prayer in public elementary schools and secondary schools, as detailed in the guidance provided by the U. S. Secretary of Education pertaining to such. The state educational agency shall report to the Secretary of Education each year a list of those local educational agencies that have not filed this assurance or against which complaints have been made to the State educational agency that the local educational agencies are not in compliance with this requirement (P. L. 107-110, section 9524(b)).
- O. Equal Access to Public Schools Facilities – Boy Scouts of America Equal Access Act:** No public elementary school, public secondary school or local educational agency that has a designated open forum or a limited public forum and that receives funds made available from the U. S. Department of Education shall deny equal access or a fair opportunity to meet, or to discriminate against, any group officially affiliated with the Boy Scouts of America, or any other youth group listed in Title 36 of the United States Code (as a patriotic society), that wishes to conduct a meeting within that designated open forum or limited public forum, including denying such access or opportunity or discriminating for reasons based on the membership or leadership criteria or oath of allegiance to God and country of the Boy Scouts of America or of the youth group listed in Title 36 of the United States Code (as a patriotic society). For the purposes of this section, an elementary school or secondary school has a limited public forum whenever the school involved grants an offering to, or opportunity for, one or more outside youth or community groups to meet on school premises or in school facilities before or after the hours during which attendance at the school is compulsory. Nothing in this section shall be construed to require any school, agency, or a school served by an agency to sponsor any group officially affiliated with the Boy Scouts of America, or any other youth group listed in Title 36 of the United States Code (as a patriotic society). Compliance with this provision will be enforced through rules and orders issued by the Office for Civil Rights. If the public school or agency does not comply with the rules or orders, no funds made available through the Department of Education shall be provided by a school that fails to comply with such rules or orders or to any agency or school served by an agency that fails to comply with such rules or orders (P. L. 107-110, section 9525).
- P. General Prohibitions:** None of the funds authorized under the No Child Left Behind Act shall be used to develop or distribute materials, or operate programs or courses of instruction directed at youth, that are designed to promote or encourage sexual activity, whether homosexual or heterosexual; to distribute or to aid in the distribution by any organization of legally obscene materials to minors on school grounds; to provide sex education or HIV-prevention education in schools that instruction is age appropriate and includes the health benefits of abstinence; or to operate a program of contraceptive distribution in schools (P. L. 107-110, section 9526).
- Q. Armed Forces Recruiter Access to Students and Student Recruiting Information:** In accordance with guidance issued by the U. S. Department of Education, each local educational agency receiving assistance under the No Child Left Behind Act shall provide, on a request made by military recruiters or an institution of higher education, access to secondary school students names, address, and telephone listings, upon prior written consent of a student or the parent of a student. A secondary school student or the parent of the student may request that the student's name, address, and telephone listing not be released without prior written parental consent, and the local educational or private nonprofit school shall notify parents of the option to make a request and shall comply with any request. Each local educational agency receiving assistance under the No Child Left Behind Act shall provide military recruiters the same access to secondary school students as is provided generally to post secondary educational institutions or to prospective employers of those students (P. L. 107-110, section 9528).
- R. Unsafe School Choice Option:** The local educational agency certifies that it shall establish and implement a policy requiring that a student attending a persistently dangerous public elementary school or secondary school, as determined by the Texas Education Agency, or who becomes a victim of a violent criminal offense, while in or on the grounds of a public elementary or secondary school that the student attends, be allowed to attend a safe public elementary or secondary school within the local educational agency, including a public charter school (P. L. 107-110, section 9532).
- S. Civil Rights:** Nothing in the No Child Left Behind Act shall be construed to permit discrimination on the basis of race, color, religion, sex (except as otherwise permitted under Title IX of the Education Amendments of 1972), national origin, or disability in any program funded under the No Child Left Behind Act (P. L. 107-110, section 9534).

SCHEDULE #6E – cont. NCLB ACT PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	101-912 County-District No.
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- T. Student Privacy, Parental Access to Information, and Administration of Certain Physical Examinations to Minors:** The local educational agency assures that it is in compliance with Chapter 26 of the Texas Education Code concerning parental rights and responsibilities. In addition, the local educational agency receiving funds under the No Child Left Behind Act certifies that it shall develop and adopt policies, in consultation with parents, regarding certain rights of a parent to access and inspect information; student privacy; the administration of physical examinations or screenings (except for examinations or screenings required by state law); and the collection, disclosure, or use of personal information collected from students for the purpose of marketing or selling that information. The local educational agency also assures that it is in compliance with the requirements for annually notifying parents of such policies and specific events (P. L. 107-110, Title X, Part F, section 1061).
- U. Assurances related to the education of homeless children and youths:**
- (1) The LEA assures that each child of a homeless individual and each homeless youth shall have equal access to the same free, appropriate public education, including a public preschool education, as provided to other children and youth.
 - (2) The LEA assures that homeless children and youth are afforded the same free, appropriate public education as provided to other children and youth.
 - (3) The LEA assures that it will review and undertake steps to revise any laws, regulations, practices, or policies that may act as a barrier to the enrollment, attendance, or success in school of homeless children and youth.
 - (4) The LEA assures that it will not separate students from the mainstream school environment on the basis of homelessness alone.
 - (5) The LEA assures that homeless children and youth have access to the education and other services that they need to in order to meet the same challenging State student academic achievement standards to which all students are held.
- V. Definitions:** The following terms shall be defined as follows for programs authorized and carried out under the No Child Left Behind Act of 2001:
1. **Charter School:** An open enrollment charter school receiving federal funds of any type must meet the federal definition of a charter school as provided in P. L. 107-110, Section 5210(1). The term "charter school" means a school that:
 - (A) is created by a developer as a public school, or is adapted by a developer from an existing public school, and is **operated under public supervision and control;**
 - (B) operates in pursuit of a specific set of educational objectives determined by the school's developer and agreed to by the authorized public chartering agency [i.e., the State Board of Education (SBOE)];
 - (C) provides a program of elementary or secondary education, or both;
 - (D) is **nonsectarian in its programs, admissions policies, employment practices, and all other operations, and is not affiliated with a sectarian school or religious instruction;**
 - (E) **does not charge tuition;**
 - (F) **complies with the Age Discrimination Act of 1975, Title VI of the Civil Rights Act of 1964, Title IX of the Education Amendments of 1972, section 504 of the Rehabilitation Act of 1973, and Part B of the Individuals with Disabilities Education Act;**
 - (G) is a school to which parents choose to send their children, and that **admits students on the basis of a lottery, if more students apply for admission than can be accommodated;**
 - (H) **agrees to comply with the same Federal and State audit requirements** as do other elementary schools and secondary schools in the State, unless such requirements are specifically waived for the purpose of this program;
 - (I) **meets all applicable Federal, State, and local health and safety requirements;**
 - (J) operates in accordance with State law; and
 - (K) has a written performance contract with the authorized public chartering agency in the State (i.e., SBOE) that includes a description of how student performance will be measured pursuant to State assessments that are required of other schools and pursuant to any other assessments mutually agreeable to the SBOE.
 2. **Community-Based Organization:** A public or private nonprofit organization of demonstrated effectiveness that is representative of a community or significant segment of a community and that provides educational or related services to individuals in the community.
 3. **Core Academic Subjects:** English, reading or language arts, mathematics, science, foreign languages, civics and government, economics, art, history, and geography.

SCHEDULE #6E – cont. NCLB ACT PROVISIONS & ASSURANCES	TEXAS EDUCATION AGENCY Standard Application System School Years 2010-2013	<u>101-912</u> County-District No.
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4. Highly Qualified:

- (A) when used with respect to any public elementary school or secondary school teacher teaching in a State, means that–
- (i) the teacher has obtained full State certification as a teacher (including certification obtained through alternative routes to certification) or passed the State teacher licensing examination, and holds a license to teach in such State, except that when used with respect to any teacher teaching in a public charter school, the term means that the teacher meets the requirements set forth in the State's public charter school law; and
 - (ii) the teacher has not had certification or licensure requirements waived on an emergency, temporary, or provisional basis;
- (B) when used with respect to–
- (i) an elementary school teacher who is new to the profession, means that the teacher–
 - (I) holds at least a bachelor's degree; and
 - (II) has demonstrated, by passing a rigorous State test, subject knowledge and teaching skills in reading, writing, mathematics, and other areas of the basic elementary school curriculum (which may consist of passing a State-required certification or licensing test or tests in reading, writing, mathematics, and other areas of the basic elementary school curriculum); or
 - (ii) a middle or secondary school teacher who is new to the profession, means that the teacher holds at least a bachelor's degree and has demonstrated a high level of competency in each of the academic subjects in which the teacher teaches by–
 - (I) passing a rigorous State academic subject test in each of the academic subjects in which the teacher teaches (which may consist of a passing level of performance on a State-required certification or licensing test or tests in each of the academic subjects in which the teacher teaches); or
 - (II) successful completion, in each of the academic subjects in which the teacher teaches, of an academic major, a graduate degree, coursework equivalent to an undergraduate academic major, or advanced certification or credentialing; and
- (C) when used with respect to an elementary, middle, or secondary school teacher who is not new to the profession, means that the teacher holds at least a bachelor's degree and–
- (i) has met the applicable standard in clause (i) or (ii) of subparagraph (B), which includes an option for a test; or
 - (ii) demonstrates competence in all the academic subjects in which the teacher teaches based on a high objective uniform State standard of evaluation that–
 - (I) is set by the State for both grade appropriate academic subject matter knowledge and teaching skills;
 - (II) is aligned with challenging State academic content and student academic achievement standards and developed in consultation with core content specialists, teachers, principals, and school administrators;
 - (III) provides objective, coherent information about the teacher's attainment of core content knowledge in the academic subjects in which a teacher teaches;
 - (IV) is applied uniformly to all teachers in the same academic subject and the same grade level throughout the State;
 - (V) takes into consideration, but not be based primarily on, the time the teacher has been teaching in the academic subject;
 - (VI) is made available to the public upon request; and
 - (VII) may involve multiple, objective measures of teacher competency.

5. Parental Involvement: The participation of parents in regular, two-way and meaningful communication involving student academic learning and other school activities, including ensuring:

- (A) that parents play an integral role in assisting their child's learning;
- (B) that parents are encouraged to be actively involved in their child's education at school;
- (C) that parents are full partners in their child's education and are included, as appropriate, in decision making and on advisory committees to assist in the education of their child; and
- (D) the carrying out of other activities, such as those described in section 1118 of P. L. 107-110.

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6. Professional Development includes activities that:

- (A) improve and increase teachers' knowledge of the academic subjects the teachers teach, and enable teachers to become highly qualified;
- (B) are an integral part of broad schoolwide and districtwide educational improvement plans;
- (C) give teachers, principals, and administrators the knowledge and skills to provide the students with the opportunity to meet challenging State academic content standards and student academic achievement standards;
- (D) improve classroom management skills;
- (E) are high quality, sustained, intensive, and classroom-focused in order to have a positive and lasting impact on classroom instruction and the teacher's performance in the classroom and are not one-day or short-term workshops or conferences;
- (F) support the recruiting, hiring, and training of highly qualified teachers, including teachers who became highly qualified through State and local alternative routes to certification;
- (G) advance teacher understanding of effective instructional strategies that are:
- (H) based on scientifically based research (except for programs under Title II, Part D, Enhancing Education Through Technology of this Act); and
- (I) strategies for improving student academic achievement or substantially increasing the knowledge and teaching skills of teachers; and
- (J) are aligned with and directly related to State academic content standards, student academic achievement standards, and assessments and the curricula and programs tied to the standards;
- (K) are developed with extensive participation of teachers, principals, parents, and administrators of schools to be served under this Act;
- (L) are designed to give teachers of limited English proficient children, and other teachers and instructional staff, the knowledge and skills to provide instruction and appropriate language and academic support services to those children, including the appropriate use of curricula and assessments;
- (M) to the extent appropriate, provide training for teachers and principals in the use of technology so that technology and technology applications are effectively used in the classroom to improve teaching and learning in the curricula and core academic subjects in which the teachers teach;
- (N) as a whole, are regularly evaluated for their impact on increased teacher effectiveness and improved student academic achievement with the findings of the evaluations used to improve the quality of professional development;
- (O) provide instruction in methods of teaching children with special needs;
- (P) include instruction in the use of data and assessments to inform and instruct classroom practice;
- (Q) include instruction in ways that teachers, principals, pupil services personnel, and school administrators may work more effectively with parents; and
- (R) may include activities that:
 - (i) involve the forming of partnerships with institutions of higher education to establish school-based teacher training programs that provide prospective teachers and beginning teachers with an opportunity to work under the guidance of experienced teachers and college faculty;
 - (ii) create programs to enable paraprofessionals (assisting teachers employed by an LEA receiving assistance under Title I Part A) to obtain the education necessary for those paraprofessionals to become certified and licensed teachers; and
 - (iii) provide follow-up training to teachers who have participated in activities described previously in this definition that are designed to ensure that the knowledge and skills learned by the teachers are implemented in the classroom.

7. Scientifically Based Research:

- (A) means research that involves the application of rigorous, systematic, and objective procedures to obtain reliable and valid knowledge relevant to education activities and programs; and
- (B) includes research that:
 - (i) employs systematic, empirical methods that draw on observation or experiment;
 - (ii) involves rigorous data analyses that are adequate to test the stated hypotheses and justify the general conclusions drawn;
 - (iii) relies on measurements or observational methods that provide reliable and valid data across evaluators and observers, across multiple measurements and observations, and across studies by the same or different investigators;

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- (iv) relies on measurements or observational methods that provide reliable and valid data across evaluators and observers, across multiple measurements and observations, and across studies by the same or different investigators;
- (v) is evaluated using experimental or quasi-experimental designs in which individuals, entities, programs, or activities are assigned to different conditions and with appropriate controls to evaluate the effects of the condition of interest, with a preference for random-assignment experiments, or other designs to the extent that those designs contain within-condition or across-condition controls;
- (vi) ensures that experimental studies are presented in sufficient detail and clarity to allow for replication or, at a minimum, offer the opportunity to build systematically on their findings; and
- (vii) has been accepted by a peer-reviewed journal or approved by a panel of independent experts through a comparably rigorous, objective, and scientific review.

8. Teacher Mentoring: Activities that—

- (A) consist of structured guidance and regular and ongoing support for teachers, especially beginning teachers, that—
 - (i) are designed to help the teachers continue to improve their practice of teaching and to develop their instructional skills; and part of an ongoing developmental induction process that—
 - (I) involves the assistance of an exemplary teacher and other appropriate individuals from a school, local educational agency, or institution of higher education; and
 - (II) may include coaching, classroom observation, team teaching, and reduced teaching loads; and
 - (III) may include the establishment of a partnership by a local educational agency with an institution of higher education.

9. Technology: State-of-the-art technology products and services.

- W. ESEA Performance Goals, Indicators, and Performance Reporting:** The LEA assures it has adopted the five performance goals and the related performance indicators established by the U. S. Department of Education and as submitted in the *Texas Consolidated State Application for Funds Under the No Child Left Behind Act*. The LEA also assures that it will develop and implement procedures for collecting data related to the performance indicators where such data is not already collected through the Academic Excellence Indicator System (AEIS) or PEIMS and that it will report such data to the Agency in the time and manner requested.
- X. Transfer of School Disciplinary Records:** The LEA assures it has a procedure in place to transfer disciplinary records, with respect to a suspension or expulsion, to any private or public elementary school or secondary school for any student who is enrolled or seeks, intends, or is instructed to enroll, on a full- or part-time basis, in the school. This requirement shall not apply to any disciplinary records with respect to a suspension or expulsion that are transferred from a private, parochial or other nonpublic school, person, institution, or other entity, that provides education below the college level (P. L. 107-110, section 4155).

Revised 03/03

The signing of Schedule #1 - General Information by applicant indicates acceptance of and compliance with all requirements described on this schedule.

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Federal Statutory Requirements

- 1) The LEA must demonstrate that the LEA has analyzed the needs of each school and selected an intervention for each school.
- 2) The LEA must demonstrate that it has the capacity to use these grant funds to provide adequate resources and related support to each Tier campus identified in the LEA's application in order to implement, fully and effectively, the required activities of the school intervention model it has selected.
- 3) If the LEA is not applying to serve each Tier I school (through a separate application for each campus), the LEA must explain why it lacks capacity to serve each Tier I school.
- 4) The LEA must describe actions it has taken, or will take, to design and implement interventions consistent with the final federal requirements, including the services the campus will receive or the activities the campus will implement.
- 5) The LEA must describe actions it has taken, or will take, to recruit, screen, and select external providers, if applicable, to ensure their quality.
- 6) The LEA must describe actions it has taken, or will take, to align other resources with the interventions.
- 7) The LEA must describe actions it has taken, or will take, to modify its practices or policies, if necessary, to enable its schools to implement the interventions fully and effectively.
- 8) The LEA must describe actions it has taken, or will take, to sustain the reforms after the funding period ends.
- 9) The LEA must include a timeline delineating the steps it will take to implement the selected intervention in each campus.
- 10) The LEA must describe the annual goals for student achievement on the State's assessments in both reading/language arts and mathematics that it has established in order to monitor its Tier I and Tier II schools that receive school improvement funds.
- 11) As appropriate, the LEA must consult with relevant stakeholders regarding the LEA's application and implementation of school improvement models on its campus.
- 12) Applicant provides assurance that financial assistance provided under the grant program will supplement, and not supplant, the amount of state and local funds allocated to the campus.
- 13) Applicant provides assurance that it will use its School Improvement Grant to implement fully and effectively an intervention in each Tier I and Tier II school that the LEA commits to serve consistent with the final federal requirements.
- 14) Applicant provides assurance that it will establish annual goals for student achievement on the State's assessments in both reading/language arts and mathematics and measure progress on the leading indicators in section III of the final federal requirements in order to monitor each Tier I and Tier II school that it serves with school improvement funds, and establish goals (approved by the TEA) to hold accountable its Tier III schools that receive grant funds.
- 15) Applicant provides assurance that it will, if it implements a restart model in a Tier I or Tier II school, include in its contract or agreement terms and provisions to hold the charter operator, charter management organization (CMO), or education management organization (EMO) accountable for complying with the final federal requirements.
- 16) Applicant provides assurance that it will report to the TEA the school-level data required under section III of the final federal requirements.
- 17) If the LEA/campus selects to implement the **turnaround model**, the campus **must** implement the following federal requirements.
 - a. Replace the principal and grant the principal sufficient operational flexibility (including in staffing, calendars/time, and budgeting) to implement fully a comprehensive approach in order to substantially improve student achievement outcomes and increase high school graduation rates;
 - b. Using locally adopted competencies to measure the effectiveness of staff who can work within the turnaround environment to meet the needs of students;
 1. Screen all existing staff and rehire no more than 50 percent; and
 2. Select new staff.
 - c. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in the turnaround school;
 - d. Provide staff ongoing, high-quality, job-embedded professional development that is aligned with the school's comprehensive instructional program and designed with school staff to ensure that they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies;
 - e. Adopt a new governance structure, which may include, but is not limited to, requiring the school to report to a

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new "turnaround office" in the LEA or SEA, hire a "turnaround leader" who reports directly to the Superintendent or Chief Academic Officer, or enter into a multi-year contract with the LEA or SEA to obtain added flexibility in exchange for greater accountability;

- f. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards;
 - g. Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students;
 - h. Establish schedules and implement strategies that provide increased learning time (as defined in this notice); and
 - i. Provide appropriate social-emotional and community-oriented services and supports for students.
- 18) If the LEA/campus selects to implement the **turnaround model**, the campus **may** implement the following federal requirements.
1. Any of the required and permissible activities under the transformation model; or
 2. A new school model (e.g., themed, dual language academy).
- 19) If the LEA/campus selects to implement the school **closure model**, the campus **must** implement the following requirement.
- a. Enroll the students who attended that school in other schools in the LEA that are higher achieving within reasonable proximity to the closed school and may include, but are not limited to, charter schools or new schools for which achievement data are not yet available.
 - b. A grant for school closure is a one-year grant without the possibility of continued funding.
- 20) If the LEA/campus selects to implement the **restart model**, the campus **must** implement the following federal requirements.
- a. Convert or close and reopen the school under a charter school operator, a charter management organization (CMO), or an education management organization (EMO) that has been selected through a rigorous review process. A CMO is a non-profit organization that operates or manages charter schools by centralizing or sharing certain functions and resources among schools. An EMO is a for-profit or non-profit organization that provides "whole-school operation" services to an LEA.
 - b. Enroll, within the grades it serves, any former student who wishes to attend the school.
- 21) If the LEA/campus selects to implement the **transformation model**, the campus **must** implement the following federal requirements.
1. Develop and increase teacher and school leader effectiveness.
 - (A) Replace the principal who led the school prior to commencement of the transformation model;
 - (B) Use rigorous, transparent, and equitable evaluation systems for teachers and principals that--
 - (1) Take into account data on student growth as a significant factor as well as other factors such as multiple observation-based assessments of performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and
 - (2) Are designed and developed with teacher and principal involvement;
 - (C) Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so;
 - (D) Provide staff ongoing, high-quality, job-embedded professional development (e.g., regarding subject-specific pedagogy, instruction that reflects a deeper understanding of the community served by the school, or differentiated instruction) that is aligned with the school's comprehensive instructional program and designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; and
 - (E) Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation school.
 2. Comprehensive instructional reform strategies.
 - (A) Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; and
 - (B) Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of

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Individual students.

3. Increasing learning time and creating community-oriented schools.
 - (A) Establish schedules and strategies that provide increased learning time; and
 - (B) Provide ongoing mechanisms for family and community engagement.
4. Providing operational flexibility and sustained support.
 - (A) Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and
 - (B) Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school turnaround organization or an EMO).
- 22) An LEA **may** also implement other strategies to develop teachers' and school leaders' effectiveness, such as--
 - (A) Provide additional compensation to attract and retain staff with the skills necessary to meet the needs of the students in a transformation school;
 - (B) Institute a system for measuring changes in instructional practices resulting from professional development; or
 - (C) Ensure that the school is not required to accept a teacher without the mutual consent of the teacher and principal, regardless of the teacher's seniority.
- 23) An LEA **may** also implement comprehensive instructional reform strategies, such as--
 - (A) Conduct periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective;
 - (B) Implement a schoolwide "response-to-intervention" model;
 - (C) Provide additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content;
 - (D) Use and integrate technology-based supports and interventions as part of the instructional program; and
 - (E) In secondary schools--
 - (1) Increase rigor by offering opportunities for students to enroll in advanced coursework (such as Advanced Placement; International Baccalaureate; or science, technology, engineering, and mathematics courses, especially those that incorporate rigorous and relevant project-, inquiry-, or design-based contextual learning opportunities), early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework;
 - (2) Improve student transition from middle to high school through summer transition programs or freshman academies;
 - (3) Increase graduation rates through, for example, credit-recovery programs, re-engagement strategies, smaller learning communities, competency-based instruction and performance-based assessments, and acceleration of basic reading and mathematics skills; or
 - (4) Establish early-warning systems to identify students who may be at risk of failing to achieve to high standards or graduate.
- 24) An LEA **may** also implement other strategies that extend learning time and create community-oriented schools, such as--

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- (A) Partner with parents and parent organizations, faith- and community-based organizations, health clinics, other State or local agencies, and others to create safe school environments that meet students' social, emotional, and health needs;
 - (B) Extend or restructure the school day so as to add time for such strategies as advisory periods that build relationships between students, faculty, and other school staff;
 - (C) Implement approaches to improve school climate and discipline, such as implementing a system of positive behavioral supports or taking steps to eliminate bullying and student harassment; or
 - (D) Expand the school program to offer full-day kindergarten or pre-kindergarten.
- 25) The LEA may also implement other strategies for providing operational flexibility and intensive support, such as--
- (A) Allow the school to be run under a new governance arrangement, such as a turnaround division within the LEA or SEA; or
 - (B) Implement a per-pupil school-based budget formula that is weighted based on student needs.

Statutory Program Assurances

- 1) Applicant provides assurance that financial assistance provided under the grant program will supplement, and not supplant, the amount of state and local funds allocated to the campus.
- 2) Applicant provides assurance that it will use its School Improvement Grant to implement fully and effectively an intervention in each Tier I and Tier II school that the LEA commits to serve consistent with the final federal requirements.
- 3) Applicant provides assurance that it will establish annual goals for student achievement on the State's assessments in both reading/language arts and mathematics and measure progress on the leading indicators in section III of the final federal requirements in order to monitor each Tier I and Tier II school that it serves with school improvement funds, and establish goals (approved by the TEA) to hold accountable its Tier III schools that receive school improvement funds.
- 4) Applicant provides assurance that it will, if it implements a restart model in a Tier I or Tier II school, include in its contract or agreement terms and provisions to hold the charter operator, charter management organization, or education management organization accountable for complying with the final federal requirements.
- 5) Applicant provides assurance that it will report to the TEA the school-level data required under section III of the final federal requirements.
- 6) Applicant provides assurance that it will participate in any evaluation of the grant conducted by the U.S. Department of Education, including its contractors, or the Texas Education Agency, including its contractors.

TEA Program Assurances

1. Before full implementation funds are made available, the grantee must demonstrate that all early implementation activities have been completed. Successful completion of the early implementation will be measured in the **Quarterly Implementation Reports**, the **Model Selection and Description Report**, and through participation in TEA technical assistance. Copies of the above named reports can be found on the TTIPS website at the following link: http://www.tea.state.tx.us/index4.aspx?id=7354&menu_id=798
 - a. The Model Selection and Description Report must be submitted to TEA no later than **February 1, 2011**. This report may be submitted at any time prior to the deadline. Grantees must demonstrate successful completion of the following activities:
 - i. Comprehensive Needs Assessment process.
 - ii. Establish the grant budget by the required categories.
 - iii. Identification and Selection of the intervention model.
 - iv. Development of activities to implement selected intervention model.
 - v. Development of Timeline of Grant Activities.
- 2) The applicant provides assurance that the LEA will designate an individual or office with primary responsibilities for supporting the LEA/campus' school improvement efforts. This individual/office will have primary responsibility and authority for ensuring the effective implementation of the grant option approved by TEA; serve as the district liaison to TEA and those providing technical assistance and/or contracted service to the LEA/campus as part of the approved grant.
- 3) The applicant provides assurance that a team from the grantee LEA/campus will attend and participate in grant orientation meetings, technical assistance meetings, other periodic meetings of grantees, the Texas School Improvement Conference, and sharing of best practices.

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- 4) For LEAs selecting the TEA Designed Model, the applicant must participate in and make use of technical assistance and coaching support provided by TEA, SIRC, and/or its subcontractors.
- 5) The applicant will establish or provide evidence of a system of formative assessment aligned to the Texas Essential Knowledge and Skills which provides robust, targeted data to evaluate the effectiveness of the LEA's curriculum and its alignment with instruction occurring on the campus; assesses progress on student groups' academic achievement at the campus level; and guide instructional decisions by teachers for individual students.
- 6) The applicant will participate in a formative assessment of the LEA's capacity and commitment to carry out the grant intervention models.
- 7) The applicant will provide access for onsite visits to the LEA and campus by TEA, SIRC and its contractors.
- 8) The applicant, if selecting the Restart Model, agrees to contract only with CMO or EMO providers on the State's approved list of CMO and EMO providers.
- 9) The applicant, if selecting the Turnaround Model or Transformation Model (Tiers I and Tiers II only) agrees to the participation of the campus principal or principal candidates in a formative assessment of their turnaround leadership capacity.
- 10) If the LEA/Tier III campus selects to implement the **transformation model**, the campus assures that it will it implement the following federal requirements.
 1. Develop and increase teacher and school leader effectiveness.
 - A. Evaluate the effectiveness of the current principal and use the results of the evaluation to determine whether the principal should be replaced, be retained on the campus, or be provided leadership coaching or training.
 - B. Identify and reward school leaders, teachers, and other staff who, in implementing this model, have increased student achievement and high school graduation rates and identify and remove those who, after ample opportunities have been provided for them to improve their professional practice, have not done so;
 - C. Provide staff ongoing, high-quality, job-embedded professional development (e.g., regarding subject-specific pedagogy, instruction that reflects a deeper understanding of the community served by the school, or differentiated instruction) that is aligned with the school's comprehensive instructional program and designed with school staff to ensure they are equipped to facilitate effective teaching and learning and have the capacity to successfully implement school reform strategies; and
 - D. Implement such strategies as financial incentives, increased opportunities for promotion and career growth, and more flexible work conditions that are designed to recruit, place, and retain staff with the skills necessary to meet the needs of the students in a transformation school based on rigorous, transparent, and equitable evaluation systems for teachers and principals:
 1. Takes into account data on student growth as a factor as well as other factors such as multiple observation-based assessments of student performance and ongoing collections of professional practice reflective of student achievement and increased high school graduation rates; and
 2. Are designed and developed and with teacher and principal involvement
 2. Comprehensive instructional reform strategies.
 - A. Use data to identify and implement an instructional program that is research-based and vertically aligned from one grade to the next as well as aligned with State academic standards; and
 - B. Promote the continuous use of student data (such as from formative, interim, and summative assessments) to inform and differentiate instruction in order to meet the academic needs of individual students.
 3. Increasing learning time and creating community-oriented schools.
 - A. Establish schedules and strategies that provide increased learning time; and
 - B. Provide ongoing mechanisms for family and community engagement.
 4. Providing operational flexibility and sustained support.
 - A. Give the school sufficient operational flexibility (such as staffing, calendars/time, and budgeting) to implement fully a comprehensive approach to substantially improve student achievement outcomes and increase high school graduation rates; and
 - B. Ensure that the school receives ongoing, intensive technical assistance and related support from the LEA, the SEA, or a designated external lead partner organization (such as a school turnaround organization or an EMO).
- 11) An LEA **may** also implement other strategies to develop teachers' and school leaders' effectiveness, such as--
 - A. Provide additional compensation to attract and retain staff with the skills necessary to meet the needs of the students in a transformation school;
 - B. Institute a system for measuring changes in instructional practices resulting from professional development; or
 - C. Ensure that the school is not required to accept a teacher without the mutual consent of the teacher and principal, regardless of the teacher's seniority.

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- 12) An LEA **may** also implement comprehensive instructional reform strategies, such as--
- A. Conduct periodic reviews to ensure that the curriculum is being implemented with fidelity, is having the intended impact on student achievement, and is modified if ineffective;
 - B. Implement a school wide "response-to-intervention" model;
 - C. Provide additional supports and professional development to teachers and principals in order to implement effective strategies to support students with disabilities in the least restrictive environment and to ensure that limited English proficient students acquire language skills to master academic content;
 - D. Use and integrate technology-based supports and interventions as part of the instructional program; and
 - E. In secondary schools--
 - 1) Increase rigor by offering opportunities for students to enroll in advanced coursework (such as advanced Placement; International Baccalaureate; or science, technology, engineering, and mathematics courses, especially those that incorporate rigorous and relevant project-, inquiry-, or design-based contextual learning opportunities), early-college high schools, dual enrollment programs, or thematic learning academies that prepare students for college and careers, including by providing appropriate supports designed to ensure that low-achieving students can take advantage of these programs and coursework;
 - 2) Improve student transition from middle to high school through summer transition programs or freshman academies;
 - 3) Increase graduation rates through, for example, credit-recovery programs, re-engagement strategies, smaller learning communities, competency-based instruction and performance-based assessments, and acceleration of basic reading and mathematics skills; or
 - 4) Establish early-warning systems to identify students who may be at risk of failing to achieve to high standards or graduate.
- 13) An LEA **may** also implement other strategies that extend learning time and create community-oriented schools, such as--
- A. Partner with parents and parent organizations, faith- and community-based organizations, health clinics, other State or local agencies, and others to create safe school environments that meet students' social, emotional, and health needs;
 - B. Extend or restructure the school day so as to add time for such strategies as advisory periods that build relationships between students, faculty, and other school staff;
 - C. Implement approaches to improve school climate and discipline, such as implementing a system of positive behavioral supports or taking steps to eliminate bullying and student harassment; or
 - D. Expand the school program to offer full-day kindergarten or pre-kindergarten.
- 14) The LEA may also implement other strategies for providing operational flexibility and intensive support, such as—
- A. Allow the school to be run under a new governance arrangement, such as a turnaround division within the LEA or SEA; or
 - B. Implement a per-pupil school-based budget formula that is weighted based on student needs.
- 15) The LEA/campus assures TEA that data to meet the following federal requirements will be available and reported as requested.
- a. Number of minutes within the school year.
 - b. Average scale scores on State assessments in reading/language arts and in mathematics, by grade, for the "all students" group, for each achievement quartile, and for each subgroup.
 - c. Number and percentage of students completing advanced coursework (e.g., AP/IB), early-college high schools, or dual enrollment classes. (High Schools Only)
 - d. College enrollment rates. (High Schools Only)
 - e. Teacher Attendance Rate
 - f. Student Completion Rate
 - g. Student Drop-Out Rate
 - h. Locally developed competencies created to identify teacher strengths/weaknesses
 - i. Types of support offered to teachers
 - j. Types of on-going, job-embedded professional development for teachers
 - k. Types of on-going, job-embedded professional development for administrators
 - l. Strategies to increase parent/community involvement
 - m. Strategies which increase student learning time

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By submitting the application for American Recovery and Reinvestment Act (ARRA) funds (P.L. 111-5), the applicant agrees to comply with the following provisions and assurances for all programs authorized in Division A of the ARRA, Title I School Improvement Grant (SIG). The applicant understands that failure to comply with one or more of these provisions and assurances may result in the Texas Education Agency (TEA) taking one or more enforcement actions authorized in Title 34 of the Code of Federal Regulations (CFR) §§ 74.62 and 80.43.

In addition to the standard terms of award, all funding provided under the Recovery Act will be subject to the provisions, assurances and conditions for American Recovery and Reinvestment Act of 2009 (ARRA or Recovery Act).

Terms defined:

RECIPIENT – The term “recipient” means a State and includes the Texas Education Agency (TEA). It also includes any entity that applies for and receives a grant directly from the federal government.

RECOVERY FUNDS –The term “recovery funds” means any funds that are made available from appropriations made under the Recovery Act.

RECOVERY ACT – the American Recovery and Reinvestment Act (ARRA) of 2009 (P.L. 111-5)

STIMULUS FUNDS – The term “stimulus funds” means any funds that are made available from appropriations under the Recovery Act; the term may be used interchangeably with “recovery funds.”

GRANTEE – the subrecipient of TEA and applicant of funds.

A. One-Time Funding: Unless otherwise specified, ARRA funding is considered one-time funding that is expected to be temporary. Grantees should expend funds in ways that do not result in unsustainable continuing commitments after the funding expires. Grantees must move rapidly, while using prudent grant management practices, to develop plans for using funds, consistent with the ARRA’s reporting and accountability requirements, and promptly begin spending funds to help drive the nation’s economic recovery. All ARRA funds must be separately accounted for and tracked in their obligation, expenditure, and reporting.

B. Period of Availability and Encumbrances/Obligations: Unless otherwise specified in the Notice of Grant Award (NOGA), all funds are effective from the beginning date specified on the Notice of Grant Award (NOGA) through June 30, 2013. Carryover of funds will not be available beyond that date. Unobligated/unexpended funds will be returned to the Department of Treasury. The paragraph in the General Provisions pertaining to Encumbrances and Obligations applies as follows:

All encumbrances shall occur on or between the beginning and ending dates of the contract. All goods must be received and services rendered and subsequently liquidated (recorded as an expenditure or accounts payable) within the contract dates. In no manner shall encumbrances be considered or reflected as accounts payable or as expenditures. Obligations that are liquidated and recognized as expenditures must meet the allowable cost principles in OMB Circular A-87, A-21, or A-122 (as applicable) and program rules, regulations, and guidelines contained elsewhere. When an obligation is made is defined in 34 CFR 76.707.

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C. Compliance with Other Provisions and Assurances: All provisions and assurances stated in the General Provisions, Certification Regarding Debarment and Suspension, Lobbying Certification and Disclosure of Lobbying, No Child Left Behind Act (NCLB) Special Provisions and Assurances, and all other program-specific provisions and assurances apply unless they conflict or are superseded by the following terms and conditions implementing the American Recovery and Reinvestment Act of 2009 (ARRA) requirements below. This includes compliance with Title VI of the Civil Rights Act of 1964; Section 504 of the Rehabilitation Act of 1973; Title IX of the Education Amendments of 1972; the Age Discrimination Act of 1975; and all other nondiscrimination provisions. It also includes Title VII of the Civil Rights Act of 1964 (prohibiting race, color, national origin, religion, and sex discrimination in employment; the Americans with Disabilities Act (prohibiting disability discrimination in employment and in services provided by entities receiving federal funds); as well as any other applicable civil rights laws. **By submitting this application, the applicant agrees to comply with all such provisions and assurances.**

D. Compliance with Other Program Statutes: The applicant agrees to comply with the authorizing program statutes, regulations, non-regulatory guidelines, and other guidance in the implementation of the programs receiving funding under ARRA. This includes compliance with comparability; supplement, not supplant; maintenance of effort (MOE); equitable participation for private nonprofit school students and teachers; and all other program-specific provisions and requirements. All such are hereby incorporated by reference.

E. DUNS Number: All entities receiving any federal funds, including ARRA funds, are required to have a DUNS (Dunn & Bradstreet) number. The DUNS number serves as the grantee organization's unique identifier for reporting federal funds received and expended. TEA must use this same DUNS number to report grant awards and expenditures for subrecipients under ARRA to the website specifically provided for in ARRA – <http://www.FederalReporting.gov/>. The assignment of a DUNS number is a condition of award of ARRA funds and must be validated by TEA prior to issuing a NOGA for ARRA funds. (Section 1512[c][4] of ARRA and the federal Office of Management and Budget (OMB) to comply with the Federal Funding Accountability and Transparency Act [FFATA], P.L. 109-282. OMB adopted the DUNS number as the "unique identifier" required for reporting under FFATA).

F. Central Contractor Registration (CCR): All grantees receiving ARRA funds, as well as any other federal funds, are required to register and maintain current registration with the Central Contractor Registration (CCR) database at <http://www.ccr.gov>. Registration in CCR is a condition of award of ARRA funds and must be validated by TEA prior to issuing a NOGA for ARRA funds.

G. ARRA Reporting Requirements: The federal Office of Management and Budget (OMB) has issued guidance related to reporting the use of ARRA funds to the various websites. The definition of terms and data elements, as well as any specific instructions for reporting, including required formats, are provided in separate guidance issued by the TEA.

The Texas Education Agency as a direct recipient of funds is required to report certain information at certain intervals throughout the grant period as required in Section 1512 of ARRA. In order to meet the reporting requirements, grantees will be required to provide certain information to TEA. By submitting this application, the grantee agrees to provide information in the form, time, and manner requested so that TEA can meet its reporting requirements and deadlines.

- 1. Separate Tracking and Monitoring of ARRA Funds:** ARRA funds must be separately tracked and monitored independently of any non-Recovery Act funding. Grantees must submit certain information to TEA in order for TEA to comply with quarterly reporting requirements established in Section 1512 of the Recovery Act. Recovery Act-related reporting requirements are incorporated as a special condition of this award.

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3. **Quarterly Reporting for ARRA:** Not later than 10 calendar days after the end of each calendar quarter, TEA and any other **direct** recipient of ARRA funds must report to the U.S. Department of Education with regard to recovery funds received in accordance with number 4 below (Section 1512[c]). (A "direct recipient" is a state agency or any entity that applies for and receives funds **directly** from a federal government agency.) TEA must obtain certain information from grantees in order to comply with this reporting requirement. By submitting this application, the grantee agrees to submit information to TEA in the time, form, and manner requested.

4. **Data Elements for Quarterly Reporting for ARRA:** In accordance with Section 1512(c) of ARRA and the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), TEA is required to provide quarterly reports to the U.S. Department of Education or through a central government-wide portal (<http://www.FederalReporting.gov>). The information adopted by OMB contains the following data elements: submitting this application, the grantee agrees to submit the information to TEA in the time, form, and manner requested. The information may change pending final adoption by OMB.

For each grant that equals or exceeds \$25,000 in total grant award amount:

- a. The grantee organization's DUNS number (TEA will need to collect this information from grantees)
- b. The grant award number (i.e., NOGA ID number) assigned by TEA (TEA will have this information on file)
- c. The legal name of the grantee organization (as registered in the Central Contractor Registration (CCR), if registered) (TEA will have this information on file provided it is the same legal name on file with TEA)
- d. The physical location (street address) (as listed in the CCR, if registered) (TEA will have this information on file provided it is the same street address on file with TEA)
- e. The (federal) Congressional district number
- f. The grantee organization type (i.e., independent school district, nonprofit organization, etc) (TEA will have this information on file)
- g. The total amount of the grant award (TEA will have this information on file)
- h. The total amount paid to the grantee as of date of report (TEA will have this information on file)
- i. The physical location (street address) of the primary place of performance of the grant (TEA will have this information on file provided it is the same address on file with TEA.)
- j. An evaluation (i.e., status report) of the completion status of the project or activity (for example, Not Started; Less than 50% Completed; Completed 50% or More; Fully Completed) (It is not clear at this time whether this information will need to be collected from the grantees. TEA will notify the grantee in the event status information needs to be collected.)
- k. An estimate of the number of jobs created and the number of jobs retained by the project or activity and a brief description of the types of those jobs (i.e., job titles) (TEA will need to collect this information from grantees.)
- l. The names and total compensation of the five most highly compensated officers of the grantee organization if the organization in its preceding year received 80% or more of its annual gross revenues in Federal awards and \$25,000,000 or more in annual gross revenues from Federal awards and the public does not have access to information about the compensation of senior executives. (It is not known at this time whether this data element will be required once the data elements are finalized by OMB. If it is required in the final data elements, TEA will need to collect this information from the grantees.)

For grants that equal less than \$25,000 in total grant award amount or for grantees that in the previous tax year had gross income under \$300,000, amounts will be reported in the aggregate according to the following:

- a. The total number of grants awarded less than \$25,000 (TEA will have this information file)
- b. The total award (aggregate) amount for all grants less than \$25,000 (TEA will have this information on file)
- c. The total (aggregate) amount paid to grantees for all grants less than \$25,000 as of date of report (TEA will have this information on file)

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5. **Posting the Information on Public Website:** Not later than 30 days after the end of each calendar quarter, each federal agency, including the U.S. Department of Education, shall make the information in those reports publicly available by posting the information on the designated public website (Section 1512[d]). Grantees will not be required to post information on the public website unless they apply for and receive other ARRA grants directly from a federal government agency.

Once OMB adopts the final data reporting elements and TEA receives specific instructions for reporting, TEA will provide subsequent guidance to grantees related to required reporting information.

H. Electronic Drawdown of ARRA Funds from TEA and Use of FAR Fund Codes: Recipients will draw down ARRA funds on an award-specific basis. **Pooling of ARRA award funds with other funds for drawdown or other purposes is not permitted.** Recipients must account for each ARRA award separately by referencing the assigned FAR (Financial Accounting and Resource) fund code for each award.

I. Availability of Records: The applicant agrees to make all financial and programmatic records available in detail for inspection by TEA auditors, local independent auditors, and the offices described below.

1. **Examination of Records:** The Comptroller General of the United States and any of its employees, contractors, agents, representatives, or designees, may examine any records related to obligations and use by any state or local government of funds made available under ARRA. (Section 901[b]).
2. **Access of Government Accountability Office (GAO):** Each contract and each subcontract awarded using funds made available under ARRA shall provide that the Comptroller General of the United States and his representatives are authorized to examine any records of the contractor or any of its subcontractors, or any State or local agency administering such contract, that directly pertain to, and involve transactions relating to, the contract or subcontract and to interview any officer or employee of the contractor or any of its subcontractors, or of any State or local government agency administering the contract, regarding such transactions (Section 902).
3. **Reviews by Inspector General:** The inspector general of the U.S. Department of Education shall review, as appropriate, any concerns raised by the public about specific investments using funds made available in this Act. Any findings of such reviews shall be relayed immediately to the Secretary of Education. In addition, the findings of such reviews, along with any audits conducted by any inspector general of ARRA funds, shall be posted on the inspector general's website and linked to the recovery.gov website, except that portions of reports may be redacted to the extent the portions would disclose information that is protected from public disclosure under sections 552 and 552a of Title 5, United States Code (Section 1514).
4. **Access of Offices of Inspector General to Certain Records and Employees:** With respect to each contract or grant awarded using ARRA funds, any representative of an Inspector General of the U.S. Department of Education or other appropriate federal agency is authorized to examine any records of the contractor or grantee, any of its subcontractors or subgrantees, or any State or local agency administering such contract, that pertain to, and involve transactions relating to, the contract, subcontract, grant, or subgrant, and to interview any officer or employee of the contractor, grantee, subgrantee, or agency regarding such transactions (Section 1515).
5. **Recovery Accountability and Transparency Board:** Section 1521 of the ARRA establishes the Recovery Accountability and Transparency Board to coordinate and conduct oversight of ARRA funds to prevent fraud, waste, and abuse. The Board shall submit "flash reports" on potential management and funding problems that require immediate attention; quarterly reports; and annual reports to the President and Congress, including the Committees on Appropriations of the Senate and House of Representatives, summarizing the findings on the use of ARRA funds. The Board may conduct its own independent audits and reviews of ARRA funds. All reports shall be made publicly available on the www.recovery.gov website established by the Board. See paragraphs J and K below with regard to reports of suspected fraud or abuse (Sections 1523 and 1524).

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6. **Recovery Independent Advisory Panel:** Section 1541 of the ARRA establishes the Recovery Independent Advisory Panel to make recommendations to the Recovery Accountability and Transparency Board on action the Board could take to prevent fraud, waste, and abuse relating to ARRA funds.

J. Disclosure of Fraud or Misconduct: Each grantee awarded funds made available under the ARRA shall promptly refer to the USDE Office of Inspector General any credible evidence that a principal, employee, agent, contractor, subrecipient, subcontractor, or other person has submitted a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving those funds.

K. Protection for Whistleblowers: Section 1553 of the ARRA provides protection for State and local government and contractor whistleblowers. Any employee of any employer receiving ARRA funds may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing, including a disclosure made in the ordinary course of an employee's duties, to the Recovery Accountability and Transparency Board, an inspector general, the U. S. Comptroller General, a member of Congress, a State or federal regulatory or law enforcement agency, a person with supervisory authority over the employee (or such other person working for the employer who has the authority to investigate, discover, or terminate misconduct), a court or grand jury, the head of a federal agency, or their representatives, information that the employee reasonably believes is evidence of (1) gross mismanagement of an agency contract or grant relating to ARRA funds; (2) a gross waste of ARRA funds; (3) a substantial and specific danger to public health or safety related to the implementation or use of ARRA funds; (4) an abuse of authority related to the implementation or use of ARRA funds; or (5) a violation of law, rule, or regulation related to an agency contract (including the competition for or negotiation of a contract), or grant, awarded or issued relating to ARRA funds. A person who believes that he or she has been subjected to a reprisal may submit a complaint regarding the reprisal to the inspector general for the appropriate federal agency (in most cases, the U.S. Department of Education).

L. Use of Funds: The grantee agrees to comply with the applicable federal cost principles in the obligation and expenditure of ARRA funds as identified in the General Provisions and Assurances as well as other limitations or restrictions and expenditures identified therein. The grantee also agrees to the following:

1. **Consolidation of ARRA Administrative Funds:** Due to the significant reporting requirements under ARRA, **it is not known at this time whether grantees may consolidate ARRA administrative funds with other NCLB consolidated administrative funds.** TEA will issue further guidance with regard to this provision once guidance is provided by the U.S. Department of Education. If ARRA funds are permitted to be consolidated with other NCLB consolidated administrative funds, grantees must still be able to report the types and number of jobs that were created or saved with ARRA funds.
2. **Combining ARRA funds on a Schoolwide Program.** ARRA funds are permitted to be used on a Title I Part A schoolwide Campus/Program, funds may be combined with other funding sources, but grantees still must be able to identify precisely the items of obligation and expenditure for ARRA reporting. You must also be able to report the types and number of jobs that were created or saved with ARRA funds.
3. **Special Contracting Provisions:** To the maximum extent possible, contracts funded under the ARRA shall be awarded as fixed-price contracts through the use of competitive procedures. A summary of any new contract awarded with ARRA funds that is not fixed-price and not awarded using competitive procedures shall be posted in a special section of the www.recovery.gov website established by the Recovery Accountability and Transparency Board (Section 1554).

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4. **Use of Funds for Certain Expenditures Prohibited:** ARRA funds shall not be used for any casino or other gambling establishment, aquarium, zoo, golf course, or swimming pool (Section 1604).
5. **Use of Funds for Construction Prohibited:** Unless specifically authorized in the applicable program statute, regulations, guidelines, Request for Application (RFA), TEA Standard Application System (SAS), the approved grant application, or other written authorization, none of the ARRA additional formula funds shall be used for construction, remodeling, or renovation.
6. **Buy American - Use of American Iron, Steel, and Manufactured Goods:** If construction is allowed and approved pursuant to the previous paragraph pertaining to "Use of Funds for Construction Prohibited", none of the funds may be used for the construction, alteration, maintenance, or repair of a public building or public work unless all of the iron, steel, and manufactured goods used in the project are produced in the United States unless waived by the Secretary of Education (Section 1605).
7. **Wage Rate Requirements for Contracted Laborers and Mechanics- Compliance with the Davis-Bacon Act:** Subject to further clarification issued by the Office of Management and Budget, and notwithstanding any other provision of law and in a manner consistent with other provisions of ARRA, all laborers and mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this award shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat. 1267; 5 U.S.C. App.) and section 3145 of title 40, United States Code (Section 1606).

M. Compliance with OMB Circular A-133 Audits and Schedule of Expenditures of Federal Awards:

Grantees agree to separately identify the expenditures for each grant award funded under ARRA as required by Office of Management and Budget Circular A-133, "Audits of States, Local Governments, and Non-Profit Organizations" and to comply with all other provisions of the Single Audit Act and OMB Circular A-133.

The signing of Schedule #1 - General Information by applicant indicates acceptance of and compliance with all requirements described on this schedule